State of Minnesota
Consolidated Annual Performance and Evaluation Report for Federal Fiscal Year 2012

Submitted to the U. S. Department of Housing and Urban Development December 2012
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Executive Summary

Minnesota has three fundamental objectives in the distribution of housing and community development resources: to create suitable living environments, to provide decent affordable housing, and to create economic opportunities within the state.

The Minnesota Consolidated Housing and Community Development Plan presents the state’s strategy (a five-year plan with annual action updates) for using federal funds to meet these objectives and serves as a condition for receiving funding under: the Small Cities Development Program (SCDP), HOME Investment Partnerships Program, Housing Opportunities for Persons with AIDS (HOPWA), and Emergency Solutions Grants (ESG). The state has drafted a new five-year plan, the Consolidated Housing and Community Development Plan, FY 2012-2016, which was submitted to the U.S. Department of Housing and Urban Development in January of 2012.

The Consolidated Annual Performance and Evaluation Report (CAPER) provides information with which to measure state agency performance in meeting objectives established in the previous year’s action plan. The state drafted this CAPER for submission to the U.S. Department of Housing and Urban Development in December 2012.

The largest number of households that the state assisted in 2012 using HUD resources was through Emergency Solutions Grants (ESG) to assist people experiencing homelessness. Assistance helps cover operating costs for emergency shelter facilities as well as supportive services to individuals or families; ESG funding is also used for homelessness prevention and rapid re-housing activities. The largest dollar amount that the state provided in 2012 using HUD resources was through the Small Cities Development Program to assist communities with public facilities projects, economic development, and housing rehabilitation. In working toward its goals in FFY 2012, HUD allocated and the state disbursed federal funds in the following amounts:

<table>
<thead>
<tr>
<th>Program</th>
<th>HUD Allocation to Minnesota</th>
<th>State Expenditure for Assistance to Households</th>
</tr>
</thead>
<tbody>
<tr>
<td>Small Cities Development</td>
<td>$16,736,169</td>
<td>$16,851,345 (awarded)</td>
</tr>
<tr>
<td>HOME</td>
<td>$6,154,154</td>
<td>$4,779,515</td>
</tr>
<tr>
<td>Emergency Solutions Grants</td>
<td>$2,223,294</td>
<td>$1,178,236</td>
</tr>
<tr>
<td>HOPWA*</td>
<td>$142,672</td>
<td>$126,808</td>
</tr>
</tbody>
</table>

*In FY11, the HOPWA Sponsor grant term was changed from a December – November funding term to an October – September funding term.
Introduction

Minnesota Housing, the Minnesota Department of Employment and Economic Development (DEED), and the Minnesota Department of Human Services (DHS) submit the Consolidated Annual Performance and Evaluation Report (CAPER) to the U. S. Department of Housing and Urban Development (HUD) for Federal Fiscal Year 2012 on behalf of the State of Minnesota. This is in fulfillment of HUD’s requirements for the consolidating planning and fund application process as provided by Code of Federal Regulations, title 24, section 91.520.

The Minnesota Consolidated Housing and Community Development Plan presents the state’s strategy for using federal funds to meet specific housing and community development needs under the Small Cities Development Program (SCDP), HOME Investment Partnerships Program, Housing Opportunities for Persons with AIDS (HOPWA), and Emergency Solutions Grants (ESG).

This CAPER summarizes assistance provided through state agencies in Federal Fiscal Year 2012 (October 1, 2011 through September 30, 2012). The report includes a discussion of the resources available to the state, the way in which state agencies used those resources, the number and types of households assisted, and how other public policies affected affordable and supportive housing and community development (note the Self-Evaluation section and program narratives). Information in this report should enable a comparison between anticipated and actual assistance activities, as well as progress toward meeting objectives identified in the last five-year plan, Consolidated Housing and Community Development Plan, FY 2012-2016 and the Annual Action Plan for 2012 found here: http://www.mnhousing.gov/idc/groups/administration/documents/document/mhfa_012105.pdf

Federal assistance reported in the CAPER generally is available across the state. (By statute, Minnesota’s CDBG program is not available in entitlement communities and HOPWA is not available in the 13-County Twin Cities area.) Entitlement communities or counties directly receive CDBG funding allocations from HUD and therefore are not covered by the state’s CDBG program. The entitlement communities are: the cities of Bloomington, Coon Rapids, Eden Prairie, Minneapolis, Minnetonka, Plymouth, Saint Paul, Moorhead, Saint Cloud, Rochester, Duluth, Mankato, North Mankato, Woodbury, and the counties of Anoka, Dakota, Hennepin, Ramsey, Washington, and Saint Louis.

For the Emergency Solutions Grant (ESG) program, shelter funds were available statewide (with priority given to non-entitlement areas) but funds awarded for re-housing were not available to these communities receiving their own ESG allocations from HUD: Minneapolis, Hennepin County, Saint Paul, Duluth, and Saint Louis County.
Access to Data
The following reports are available upon request from Minnesota Housing, DEED, or DHS:

- PR06—a list of all projects for a plan year by project number, including disbursements by program for each project’s activities.
- PR12—a financial report for ESG.
- PR23—a series of reports that present demographic data on CDBG and HOME beneficiaries, including household distributions by race/ethnicity and income group.

IDIS reports may be obtained from Minnesota Housing at: 400 Sibley, Suite 300, Saint Paul, MN 55101 or by calling 1-800-657-3769 (toll free) or 651-296-7608, Fax 651-296-08139, TTY/TDD 651-297-2361 or by e-mail to mn.housing@state.mn.us. Additional information on HOME and HOPWA funding selections also is available from: http://www.mnhousing.gov

Information and details concerning residential and rental rehabilitation projects for 2012 can also be found in DEED’s Performance Evaluation Report (PER). The PER as well as information regarding job creation and community development can be obtained by contacting DEED at: Minnesota Department of Employment and Economic Development, Main Office, 332 Minnesota Street, Suite E-200, Saint Paul, MN 55101 or 651-259-7114, 888-GET-JOBS (438-5627), Fax 651-296-0994, TTY/TDD 651-282-5909. Additional information also is available at: http://www.positivelyminnesota.com/Government/Financial_Assistance/Community_Development_Funding/Small_Cities_Development_Program.aspx.

Additional information regarding ESG projects or activities is available through DHS at: Minnesota Department of Human Services, Office of Economic Opportunity, P.O. Box 64962, Saint Paul, MN 55164-0962 or (651) 431-3815.

I. Summary of Resources and Distribution of Funds
This section discusses funds from HUD and other sources.

HUD Resources
In working toward its goals in FFY 2012, the state received allocations from HUD and spent federal funds in the following amounts:

Federal Funds Allocated to the State of Minnesota in FFY 2012

<table>
<thead>
<tr>
<th>Program</th>
<th>HUD Allocation to Minnesota</th>
<th>State Expenditure for Assistance to Households</th>
</tr>
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<td>$126,808</td>
</tr>
</tbody>
</table>

*In FY11, the HOPWA Sponsor grant term was changed from a December – November funding term to an October – September funding term.
The CAPER does not report on Minnesota’s performance under economic stimulus programs created by the Housing and Economic Recovery Act of 2008 (HERA) or the American Recovery and Reinvestment Act of 2009 (ARRA), or Neighborhood Stabilization. Jurisdictions report on these programs separately according to the respective program requirements. For more information on these federal resources allocated to the state, visit this website: http://www.mnhousing.gov/

Minnesota historically has received the largest amount of assistance from HUD for housing and community development under the Small Cities Development Program (SCDP), administered through the Department of Employment and Economic Development (DEED).

Eligible applicants for the SCDP funds are cities, counties and townships in areas of the state that do not qualify as entitlement entities and, therefore, are not eligible to receive an allocation of Community Development Block Grant funds directly from HUD. Eligible SCDP applicants are cities with a population under 50,000 and counties and townships with an unincorporated population of fewer than 200,000.

The state awards SCDP funds to applicants on a competitive basis. Communities may use funds for public facilities, economic development, downtown commercial revitalization, residential housing rehabilitation (owner and renter occupied), new housing construction, and relief from flood or other natural disasters. In order to be considered eligible, an applicant must be in substantial compliance with all applicable State and Federal laws, regulations and Executive Orders that pertain to the CDBG Small Cities Development.
2012 Awarded Projects
Small Cities Development Program

[Map of Minnesota with marked counties and cities]

Department of Employment and Economic Development

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HOME funds historically have comprised Minnesota’s second largest allocation of federal grant assistance from HUD. Minnesota Housing has provided HOME funds for a variety of activities, including downpayment assistance, single and multifamily rehabilitation, and operating expense payments for Community Housing Development Organizations. Note that HOME funds for entry cost assistance and rehabilitation of owner-occupied and rental properties were available throughout the state.

The share of low-income cost-burdened households is a proxy of the need for housing assistance. (Households are considered cost-burdened if they pay more than 30 percent of their income for housing.)

### Distribution of HOME Assistance by Region in Minnesota, 2012

<table>
<thead>
<tr>
<th>Region</th>
<th>Share of Low-Income Cost-Burdened Households</th>
<th>Share of HOME Units</th>
</tr>
</thead>
<tbody>
<tr>
<td>West Central</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Southwest</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Southeast</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Northwest</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Northeast</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Metro</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Central</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Source: Census Bureau, *American Community Survey, 2006-2010*

Minnesota Housing also receives the state’s allocation of HOPWA formula funds from HUD. The agency administers HOPWA funds in cooperation with the Minnesota HIV Housing Coalition in Greater Minnesota. The City of Minneapolis receives and allocates funds in the 13-county Twin Cities area.

The Department of Human Services (DHS) administers federal funds from HUD under the Emergency Solutions Grant (ESG). ESG provides assistance to grantees throughout the state for the operating costs of shelters and essential services. The state selects grantees on a competitive basis. The reporting year for the CAPER overlaps two ESG state fiscal years, 2012 (7/1/2011– 6/30/2012) and 2013 (7/1/2012– 6/30/2013). In addition, the FFY2011 ESG Second Allocation ($698,437 for Rapid Re-Housing) was awarded for the period 5/1/2012 – 12/31/2013 and the FFY2012 ESG funding ($983,046) for Rapid Re-Housing and Prevention: Re-Housing was awarded for the period 7/1/2012 – 12/31/2013. The following table shows all ESG funding awards for both of these State Fiscal Years (SFY2012 and SFY2013):
<table>
<thead>
<tr>
<th>Agency</th>
<th>SFY2012 ESG allocation</th>
<th>SFY2013 ESG allocation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Amherst H. Wilder Foundation</td>
<td>-</td>
<td>$19,547</td>
</tr>
<tr>
<td>Anoka County Community Action Program, Inc.</td>
<td>$40,000</td>
<td>40,000</td>
</tr>
<tr>
<td>Arrowhead Economic Opportunity Agency, Inc.</td>
<td>55,000</td>
<td>135,000</td>
</tr>
<tr>
<td>Avenues for Homeless Youth</td>
<td>39,250</td>
<td>39,250</td>
</tr>
<tr>
<td>Bi-County Community Action Program, Inc.</td>
<td>-</td>
<td>81,400</td>
</tr>
<tr>
<td>Care and Share Center, Inc.</td>
<td>69,841</td>
<td>69,841</td>
</tr>
<tr>
<td>Catholic Charities - Hope Street</td>
<td>39,000</td>
<td>39,000</td>
</tr>
<tr>
<td>Catholic Charities of the Archdiocese of St Paul and Minneapolis</td>
<td>39,325</td>
<td>39,325</td>
</tr>
<tr>
<td>Churches United for the Homeless</td>
<td>80,000</td>
<td>80,000</td>
</tr>
<tr>
<td>Churches United in Ministry (CHUM)</td>
<td>35,000</td>
<td>35,000</td>
</tr>
<tr>
<td>Community Action Center of Northfield, Inc.</td>
<td>21,250</td>
<td>21,250</td>
</tr>
<tr>
<td>Dakota County Social Services</td>
<td>-</td>
<td>70,840</td>
</tr>
<tr>
<td>East Metro Women's Council</td>
<td>-</td>
<td>80,000</td>
</tr>
<tr>
<td>Evergreen House</td>
<td>-</td>
<td>40,000</td>
</tr>
<tr>
<td>Face to Face Health and Counseling Center</td>
<td>-</td>
<td>91,400</td>
</tr>
<tr>
<td>Heartland Community Action Agency, Inc.</td>
<td>27,500</td>
<td>87,500</td>
</tr>
<tr>
<td>Inter-County Community Council, Inc.</td>
<td>15,000</td>
<td>15,000</td>
</tr>
<tr>
<td>KOOTASCA Community Action, Inc.</td>
<td>-</td>
<td>102,215</td>
</tr>
<tr>
<td>Lakes and Pines Community Action Council, Inc.</td>
<td>72,500</td>
<td>172,500</td>
</tr>
<tr>
<td>Lakes and Prairies Community Action Partnership</td>
<td>-</td>
<td>162,800</td>
</tr>
<tr>
<td>Lutheran Social Service of Minnesota (St. Paul - TLPY)</td>
<td>26,250</td>
<td>26,250</td>
</tr>
<tr>
<td>Lutheran Social Service of Minnesota (Brainerd)</td>
<td>-</td>
<td>106,820</td>
</tr>
<tr>
<td>Mahube-Otwa Community Action Partnership, Inc.</td>
<td>-</td>
<td>132,500</td>
</tr>
<tr>
<td>New Pathways</td>
<td>40,000</td>
<td>40,000</td>
</tr>
<tr>
<td>Northwest Community Action Agency</td>
<td>15,000</td>
<td>15,000</td>
</tr>
<tr>
<td>Our Savior's Outreach Ministries</td>
<td>27,340</td>
<td>27,340</td>
</tr>
<tr>
<td>Ours to Serve House of Hospitality, Inc.</td>
<td>60,000</td>
<td>60,000</td>
</tr>
<tr>
<td>Partners for Affordable Housing</td>
<td>56,876</td>
<td>136,876</td>
</tr>
<tr>
<td>Prairie Five Community Action Council</td>
<td>7,500</td>
<td>7,500</td>
</tr>
<tr>
<td>Red Lake Homeless Shelter, Inc.</td>
<td>106,540</td>
<td>50,000</td>
</tr>
<tr>
<td>Rise Housing Services, Inc.</td>
<td>30,000</td>
<td>30,000</td>
</tr>
<tr>
<td>Ruth's House of Hope</td>
<td>20,000</td>
<td>20,000</td>
</tr>
<tr>
<td>Saint Paul Area Council of Churches</td>
<td>52,000</td>
<td>52,000</td>
</tr>
<tr>
<td>Salvation Army (Brainerd)</td>
<td>5,000</td>
<td>5,000</td>
</tr>
<tr>
<td>Salvation Army (Rochester)</td>
<td>82,100</td>
<td>5,000</td>
</tr>
<tr>
<td>Salvation Army (St. Cloud)</td>
<td>50,000</td>
<td>50,000</td>
</tr>
<tr>
<td>Scott-Carver-Dakota CAP Agency, Inc.</td>
<td>40,000</td>
<td>101,400</td>
</tr>
<tr>
<td>Semcac</td>
<td>26,000</td>
<td>26,000</td>
</tr>
<tr>
<td>Simpson Housing Services, Inc.</td>
<td>27,430</td>
<td>27,430</td>
</tr>
<tr>
<td>St. Stephen's Human Services</td>
<td>27,430</td>
<td>27,430</td>
</tr>
<tr>
<td>The Refuge</td>
<td>40,000</td>
<td>40,000</td>
</tr>
<tr>
<td>Three Rivers Community Action, Inc.</td>
<td>-</td>
<td>73,000</td>
</tr>
<tr>
<td>Western Community Action, Inc.</td>
<td>94,460</td>
<td>22,500</td>
</tr>
</tbody>
</table>
Other Resources
Minnesota also provides housing and community development assistance through a variety of state or agency-funded programs or through the sale of tax-exempt revenue bonds. Activities include: entry cost assistance, counseling, and homeownership financing to first-time buyers; loans to homeowners to improve the property they occupy; foreclosure remediation and counseling; deferred loans or grants to communities to meet local revitalization needs; construction, acquisition, and rehabilitation of affordable rental housing through first mortgages, deferred loans, and operating subsidies to housing sponsors; rental assistance; and homelessness prevention and assistance. The state also provides assistance to local nonprofit organizations to increase their capacity to meet local housing needs.

The state also receives allocations of federal funds to provide assistance that do not fall under HUD reporting guidelines for the CAPER and therefore are not included in the assessment of the state’s performance toward meeting goals for housing and community development assistance. A discussion of these resources follows.

Department of Human Services
DHS receives funding allocations from the U.S. Department of Health and Human Services, Substance Abuse and Mental Health Services Administration (SAMHSA) under Projects for Assistance in Transition from Homelessness (PATH). PATH funds provide a variety of individual program specific services including outreach, screening and treatment, referrals, housing assistance, support services, linkage with mental health services, and other types of assistance for homeless adults with a serious mental illness. In FFY 2012, Minnesota received $818,000 of PATH funds available through SAMHSA.

The Minnesota Department of Human Services served an average of 18,200 elderly and disabled people per month in 2011 (most current data) under the state-funded Group Residential Housing program (GRH). This is an income supplement program that pays room-and-board costs for low-income adults who have been placed in a licensed or registered setting with which a county human service agency has negotiated a monthly rate.

Department of Commerce
The U.S. Departments of Energy and Health and Human Services award funds to the state for weatherization, energy assistance, and energy-related repairs. The Minnesota Department of Commerce received and allocated more than $116.8 million in federal funds for energy assistance in 2012. Commerce also receives an appropriation of funds from the Minnesota Legislature.

Department of Public Safety
The Minnesota Department of Public Safety receives federal funds annually from the Department of Justice, a portion of which may be used to provide operating assistance and other related assistance to emergency shelters for battered women and their children.

Minnesota Housing
Minnesota Housing is the state’s principal allocator of federal Low Income Housing Tax Credits and sells revenue bonds, both of which are activities important to the provision of affordable
housing and are authorized in the federal tax code. In 2012, the state and its suballocators awarded $11.8 million in credits for the development of more than 1,000 units of affordable rental housing.

Minnesota Housing’s Affordable Housing Plan for FFY 2012, included $75 million in federal Section 8 Housing Assistance Payments that assisted more than 12,000 households occupying Minnesota Housing-financed rental housing built under the New Construction component of the Section 8 program. It also included $105 million in federal Section 8 Housing Assistance Payments for more than 18,000 households occupying HUD or conventionally financed housing and $1.6 million in federal Section 236 funds in FFY 2011 for interest reduction payments on Minnesota Housing-financed developments.

HUD allocated more than $55 million to Minnesota for the Emergency Homeowners’ Loan Program (EHLP) in 2011 to provide foreclosure assistance for up to 840 primarily unemployed or underemployed homeowners. In 2012, more than 202 qualifying households received $3.8 million in EHLP loans at no interest.

Minnesota Housing received $4.75 million in Neighborhood Stabilization funds (NSP3) in 2011. Information on the use of NSP1 and NSP3 funds may be found at: 

Under Round Six of the federally funded National Foreclosure Mitigation Counseling Program (NFMC), NeighborWorks awarded Minnesota Housing $1,197,102 in 2012. The nonprofit Homeownership Center provided foreclosure counseling to nearly 15,000 Minnesota homeowners in 2012, supported in part by NFMC funds.

Minnesota Housing budgeted more than $126 million in 2012, primarily in state and agency resources, to mitigate the impact of mortgage foreclosures.

Department of Employment and Economic Development

With various DEED funding sources and programs available, communities can undertake activities to strengthen communities and their housing stock in a coordinated and simultaneous approach. DEED provides resources for cleanup of contaminated sites through its state-funded Contaminated Cleanup and Redevelopment. The Public Facilities Authority lends funds to communities for sewer improvement and water improvement projects.

U.S. Department of Housing and Urban Development

HUD finances rental housing development in Minnesota through Section 202 Supportive Housing for the Elderly and Section 811 Supportive Housing for Persons with Disabilities. The following projects received funding awards in 2011:
HUD Awards for Affordable Housing Assistance in Minnesota, FFY 2011

<table>
<thead>
<tr>
<th>Description</th>
<th>Project location</th>
<th>Number of units</th>
<th>Capital advance</th>
<th>Three-year Rental Subsidy</th>
</tr>
</thead>
<tbody>
<tr>
<td>Section 202</td>
<td>Chaska</td>
<td>54</td>
<td>$7,860,100</td>
<td>$670,800</td>
</tr>
<tr>
<td>Section 202</td>
<td>Minneapolis</td>
<td>60</td>
<td>$8,733,400</td>
<td>$745,200</td>
</tr>
<tr>
<td>Section 202</td>
<td>Rochester</td>
<td>40</td>
<td>$5,225,700</td>
<td>$496,800</td>
</tr>
<tr>
<td>Section 202</td>
<td>Saint Paul</td>
<td>50</td>
<td>$7,307,800</td>
<td>$608,700</td>
</tr>
</tbody>
</table>

No awards were made in FFY 2012 under either Section 202 or Section 811.

II. General CAPER Narratives
A. Assessment of the Three- to Five-Year Goals and Objectives

Minnesota’s objectives in the distribution of housing and community development resources are to create suitable living environments; provide decent, affordable housing; and create economic opportunities within the state. The Priority Housing Activities/Investment Plan Table on page 37 shows how the state performed in meeting its one-year goals for assisting households. An economy that continues to be slow and a difficult housing market have affected housing and community development activities.

State agencies provide a large amount of assistance for affordable housing in Minnesota. The most abundant resource available to states for affordable housing continues to be proceeds from the sale of revenue bonds. Appropriations from the Minnesota Legislature are an important resource that supports housing and community development programs, and Minnesota Housing uses some of its own resources, such as reserves, to provide additional leverage in projects that may not have access to adequate sources of funding for affordable housing or housing for people with special needs.

The largest number of people that the state assists with the federal resources to which this report pertains is through ESG, assisting people experiencing homelessness. Assistance includes operating and supportive service costs for emergency shelter facilities and rental assistance and housing relocation and stabilization services for homeless persons and those at imminent risk of homelessness who need to be re-housed.

The largest amount of assistance that the state provides with these federal resources is through the Small Cities Development Program funded by CDBG, particularly for the rehabilitation of owner-occupied homes and the improvement of public facilities.

The Self-Evaluation section provides a more detailed analysis of the state’s progress toward meeting goals.


**B. Affirmatively Furthering Fair Housing**

In exchange for federal funds, the State of Minnesota is required to submit to the U.S. Department of Housing and Urban Development (HUD) certification that it is affirmatively furthering fair housing. This certification has three elements and requires that the State:

- Complete an Analysis of Impediments to Fair Housing Choice (AI);
- Take actions to overcome the effects of any impediments identified through the analysis; and
- Maintain records reflecting the actions taken in response to the analysis.

HUD defines impediments to fair housing choice in terms of their applicability to local, state and federal law. In Minnesota, impediments to fair housing choice include:

- Any actions, omissions or decisions taken because of race, color, religion, national origin, sex, familial status, mental or physical disability, ancestry, sexual orientation, gender identity, and spousal affiliation (protected classes) which restrict housing choices or the availability of housing choice; and
- Any actions, omissions or decisions which have the effect of restricting housing choices or the availability of housing choice on the protected classes previously listed.

Minnesota Housing’s program procedural manuals for lending or development promote and require compliance with fair housing laws and regulations. When applicable, Minnesota Housing requires Affirmative Fair Housing Marketing Plans for the marketing and occupancy of assisted units in developments of five units or more. Owners must update these marketing plans once every five years.

In accordance with the applicable statutes and regulations governing the Consolidated Plan, DEED certifies that the State will affirmatively further fair housing. DEED, Minnesota Housing, and DHS have conducted an AI within the state, will take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard. A full disclosure of the impediments can be found in the 2012 Analysis of Impediments to Fair Housing Choice for Minnesota.

Annual summaries of actions the state has taken to address impediments have been included as part of the CAPER report since 2002. See actions listed under Impediment 1 on the following pages to identify actions the state took in 2012 to affirmatively further fair housing.

The current Analysis of Impediments to Fair Housing Choice is available from Minnesota Housing upon request or may be found at (see Appendix B): [http://www.mnhousing.gov/idc/groups/administration/documents/document/mhfa_012107.pdf](http://www.mnhousing.gov/idc/groups/administration/documents/document/mhfa_012107.pdf)
As a point of reference for this summary, 43 percent of households in Minnesota have incomes less than $50,000. The chart shows the distribution of these households by race.

**Distribution of All Minnesota Households with Incomes Less than $50,000 by Race, 2011**

- **White**: 787,797, 85.8%
- **Black/African American**: 64,961, 7.1%
- **American Indian**: 13,152, 1.4%
- **Asian**: 25,584, 2.8%
- **Other races**: 12,395, 1.4%
- **Two or more races**: 13,781, 2%

Source: 2011 American Community Survey, US Census Bureau

The following is a summary of actions the state has taken to address impediments to fair housing in 2012:
## Impediments to Fair Housing Choice and Suggested Actions

### Private Sector Impediments, Actions, and Measurable Objectives

**Federal Fiscal Year 2012**

**Impediment 1:** Lack of understanding of fair housing and affirmatively furthering fair housing

<table>
<thead>
<tr>
<th>Action 1.1: Ensure that Minnesota Housing-financed rental developments have Affirmative Fair Housing Marketing Plans (AFHMPs).</th>
<th>Measurable Objective 1.1: Number of approved AFHMPs.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Results:</td>
<td>53 developments (amortizing &amp; deferred loans) or 2,418 units. Developments receiving only LIHTC assistance (no amortizing or deferred loans) – 4 developments or 239 units. TOTAL 57 AFHMPs.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Action 1.2: Review AFHMPs of Section 8 developments for which Minnesota Housing manages the subsidy at least every 5 years.</th>
<th>Measurable Objective 1.2: Number of AFHMPs reviewed and, if necessary, modified.</th>
</tr>
</thead>
</table>
| Results: | Asset Mgmt reviewed, modified, if needed, and approved AFHMPs as required:  
- Traditional Contract Administration (TCA) Section 8 = 234  
- Performance Based Contract Administration (PBCA) = 346 |

<table>
<thead>
<tr>
<th>Action 1.3: Explore a model to identify non Section 8 multifamily developments with AFHMPs that may be out of date and require review and modification.</th>
<th>Measurable Objective 1.3: A model is developed and implemented.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Results:</td>
<td>Nothing to report this year.</td>
</tr>
</tbody>
</table>
**Action 1.4:** Review marketing activities for compliance with the AFHMP and that the fair housing logo is used in advertising materials.

**Measurable Objective 1.4:** Number of reviews of AFHMPs.

**Results:**

- Asset Management: Asset Management reviewed marketing activities for compliance on 447 developments (this total includes the Section 8 TCA developments referenced in Action 1.2).
- PBCA: 346 properties were monitored for AFHMP’s
  - 13 properties with deficiencies
  - 11 properties have resolved their findings
  - *all but two of the properties have resolved the findings/issues*
  - 6 properties had issues with advertising (signage and logo).

**Action 1.5:** Distribute flyers and education materials at annual conferences, public venues, and other opportunities.

**Measurable Objective 1.5:** Number of materials distributed.

**Results:**

<table>
<thead>
<tr>
<th>Title</th>
<th>Distributed</th>
</tr>
</thead>
<tbody>
<tr>
<td>Are You a Victim of Housing Discrimination? (Maroon/Yellow Brochure)</td>
<td>100 English 55 Spanish</td>
</tr>
<tr>
<td>Equal Opportunity Poster</td>
<td>5 posters</td>
</tr>
<tr>
<td>Residents Rights and Responsibility (Blue Poster)</td>
<td>178 posters</td>
</tr>
</tbody>
</table>
### Private Sector Impediments, Actions, and Measurable Objectives

**Federal Fiscal Year 2012**

| Action 1.6: | Measurable Objective 1.6.1: | Document meetings with the Association.  
**Measurable Objective 1.6.2:** Publicize the availability of training to Minnesota Housing-associated rental property owners or managers. |
|---|---|---|
| Explore with the Minnesota Multi Housing Association possibilities for training multifamily property owners, managers, and service providers. | Measurable Objective 1.6.1: Document meetings with the Association.  
**Measurable Objective 1.6.2:** Publicize the availability of training to Minnesota Housing-associated rental property owners or managers. |
| Results: | Nothing to report this year. |

<table>
<thead>
<tr>
<th>Action 1.7:</th>
<th>Measurable Objective 1.7: Provide funding to HousingLink.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Support HousingLink’s efforts to educate owners and tenants on fair housing.</td>
<td>Measurable Objective 1.7: Provide funding to HousingLink.</td>
</tr>
<tr>
<td>Results:</td>
<td>Entered into Operational Technical Assistance Grant for $160,000.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Action 1.8:</th>
<th>Measurable Objective 1.8: Developers and owners will be encouraged to use the work product when it becomes available.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Implement the Sustainable Communities grant to develop best practices and fair housing resources for rental owners.</td>
<td>Measurable Objective 1.8: Developers and owners will be encouraged to use the work product when it becomes available.</td>
</tr>
<tr>
<td>Results:</td>
<td>Nothing to report this year. Product expected to be available in 2013.</td>
</tr>
</tbody>
</table>

### Impediment 2: Discriminatory terms and conditions in rental markets

<table>
<thead>
<tr>
<th>Action 2.1:</th>
<th>Measurable Objective 2.1: Support the “Working Together” conference.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Conduct outreach and education activities for housing providers.</td>
<td>Measurable Objective 2.1: Support the “Working Together” conference.</td>
</tr>
<tr>
<td>Results:</td>
<td>Entered into Operational Technical Assistance Grant for $160,000 with HousingLink.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Action 2.2:</th>
<th>Measurable Objective 2.2: Provide funding to HousingLink.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Support HousingLink’s efforts to educate owners and tenants on fair housing.</td>
<td>Measurable Objective 2.2: Provide funding to HousingLink.</td>
</tr>
<tr>
<td>Results:</td>
<td>Entered into Operational Technical Assistance Grant for $160,000.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Action 2.3:</th>
<th>Measurable Objective 2.3: Results of the reviews.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Review policies of Section 8, LIHTC, and HOME assisted housing for discriminatory terms and conditions in leases, house rules and tenant selection plans and occupancy policies.</td>
<td>Measurable Objective 2.3: Results of the reviews.</td>
</tr>
</tbody>
</table>
| Results: | PBCA Management & Occupancy Reviews performed during 11/1/2011 – 10/31/2012: 346. Any findings/deficiencies noted would be monitored for an appropriate corrective action and potentially reported directly to HUD, if appropriate.  
Deferred Loan, Section 8 and HTC Compliance: 213  
Monitored by Compliance staff that had one or any combination of HOME, HTC and/or Section 8. |
# IMPEDIMENTS TO FAIR HOUSING CHOICE AND SUGGESTED ACTIONS

## Private Sector Impediments, Actions, and Measurable Objectives

**Federal Fiscal Year 2012**

**Impediment 3**: Failure to make reasonable accommodation or modification

| Action 3.1: Conduct outreach and education activities for housing providers. | Measurable Objective 3.1.1: Support the “Working Together” conference.  
Measurable Objective 3.1.2: Ensure that rent-assisted housing have formal grievance procedures that provide resolution of complaints alleging discrimination based on disability. |
|---|---|
| Results: | 3.1.1 Helped to organize, co-host Working Together Conference held March, 2012.  
3.1.2 Section 8 rental assistance programs require a grievance procedures for certain aspects of program participation. MHFA staff perform reviews annually on these properties and review their compliance with this requirement. |

<table>
<thead>
<tr>
<th>Action 3.2: Support HousingLink’s efforts to educate owners and tenants on fair housing issues, including reasonable accommodation.</th>
<th>Measurable Objective 3.2: Provide funding to HousingLink.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Results:</td>
<td>Entered into Operational Technical Assistance Grant for $160,000.</td>
</tr>
</tbody>
</table>

**Impediment 4**: Discriminatory refusal to rent

<table>
<thead>
<tr>
<th>Action 4.1: Conduct outreach and education activities for housing providers.</th>
<th>Measurable Objective 4.1: Support the “Working Together” conference.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Results:</td>
<td>Helped to organize, co-host Working Together Conference held March, 2012.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Action 4.2: Periodically review occupancy of Tax Credit developments and evaluate whether households of color and disabled persons are under-represented.</th>
<th>Measurable Objective 4.2: Production of periodic report.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Results:</td>
<td>Produced <em>A Review of Rental Housing with Tax Credits</em>. The report may be obtained from Minnesota Housing Research staff or accessed at: <a href="http://www.mnhousing.gov/idc/groups/secure/documents/admin/mhfa_006740.pdf">http://www.mnhousing.gov/idc/groups/secure/documents/admin/mhfa_006740.pdf</a></td>
</tr>
</tbody>
</table>

| Action 4.3: Discuss with the Minnesota Dept of Human Rights how testing and enforcement can be supported by DEED, DHS, and Minnesota Housing. | Measurable Objective 4.3.1: Determine appropriate support roles of DEED, Minnesota Housing, and DHS  
Measurable Objective 4.3.2: Execute support roles. |
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Results:</td>
<td>No action to report this year.</td>
</tr>
</tbody>
</table>
### Impediment 5: Failure to comply with federal and state accessibility standards

<table>
<thead>
<tr>
<th>Action 5.1: Conduct outreach and education activities for housing providers.</th>
<th>Measurable Objective 5.1: Number of outreach and education activities conducted.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Results:</td>
<td>No action to report at this time.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Action 5.2: Ensure that multifamily developments newly-financed by Minnesota Housing comply with applicable building codes and accessibility and visitability standards.</th>
<th>Measurable Objective 5.2: Number of financed developments that are found to be compliant.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Results:</td>
<td>23 developments (amortizing &amp; deferred loans) received a certificate of occupancy or substantial completion (1,312 units). TOTAL 23 developments were found to be compliant.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Action 5.3: Consult with representatives of the disability community to understand the type of housing discrimination the disabled population experiences and to consider whether there are strategies for how Minnesota Housing’s programs can be marketed to owners and developers who are identified as having failed to comply with accessibility standards.</th>
<th>Measurable Objective 5.3: Conduct at least one meeting with disability community representatives and consider implementing viable strategies.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Results:</td>
<td>No action to report this year.</td>
</tr>
</tbody>
</table>

### Impediment 6: Steering in the home purchase and rental markets

<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Measurable Objective 6.1(b): Funding support for the Minnesota Home Ownership Center.</td>
</tr>
<tr>
<td></td>
<td>Measurable Objective 6.1(c): Number of realtors and lenders of color who have been trained on Minnesota Housing homebuyer programs.</td>
</tr>
<tr>
<td>Results:</td>
<td>(a) Advisory council meetings attended: 10.</td>
</tr>
<tr>
<td></td>
<td>(b) $110,000 in technical assistance (in addition to homeowner/homebuyer resources)</td>
</tr>
<tr>
<td></td>
<td>(c) Number of realtors and lenders of color who have been trained: 150.</td>
</tr>
</tbody>
</table>
### Impediment 6: Steering in the home purchase and rental markets

<table>
<thead>
<tr>
<th>Action 6.2: Market mortgages and downpayment assistance to households of color.</th>
<th>Measurable Objective 6.2: Number of loans to households of color that are made or purchased by Minnesota Housing.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Results:</td>
<td>530 loans or 22.8 percent of all households receiving a Minnesota Housing first mortgage in 2012.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Action 6.3: Educate homebuyers on the responsibilities and roles of realtors, lenders, and other actors who will be involved in their home buying experience so that they may recognize steering should it occur.</th>
<th>Measurable Objective 6.3: Number of persons attending Home Ownership Center homebuyer training.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Results:</td>
<td>5,782 received homebuyer training and 2,148 received pre-purchase counseling in 2012.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Action 6.4: Provide housing subsidy for persons with serious mental illness (exclusive to rental assistance).</th>
<th>Measurable Objective 6.4: Number of households receiving assistance.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Results:</td>
<td>Data show 2,346 disabled adults assisted in 2012 (in long-term supportive housing for the homeless).</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Action 6.5: Provide permanent supportive housing for persons and families with mental illness, substance abuse disorders, or HIV/AIDS who are homeless or at risk of homelessness.</th>
<th>Measurable Objective 6.5: Number of households provided permanent supportive housing.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Results:</td>
<td>In State Fiscal Year 2012, the state assisted 3,021 long-term homeless households with supportive housing.</td>
</tr>
</tbody>
</table>

### Impediment 7: Denial of home purchase loans

<table>
<thead>
<tr>
<th>Action 7.1: Enhance homebuyer understanding of real estate transactions, provide information on resources available to them if they are denied credit or feel they are discriminated against in the mortgage market, and establishing and keeping good credit through education and training.</th>
<th>Measurable Objective 7.1: Number of persons attending Home Ownership Center homebuyer training.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Results:</td>
<td>5,782 received homebuyer training and 2,148 received pre-purchase counseling in 2012.</td>
</tr>
</tbody>
</table>
### Impediments to Fair Housing Choice and Suggested Actions

**Private Sector Impediments, Actions, and Measurable Objectives**  
**Federal Fiscal Year 2012**

| Action 7.2: Reach out to lenders, realtors, and emerging market communities through industry and emerging market community events to make them aware of Minnesota Housing’s first time homebuyer programs. | Measurable Objective 7.2.(a): Number of events attended or sponsored.  
**Measurable Objective 7.2.(b)** Percent of loans made to emerging market borrowers. |
|---|---|
| **Results:** | (a) Number of realtors and lenders of color who have attended or sponsored: 19 lender trainings, 28 partner real estate partner trainings, 4 nonprofit trainings.  
(b) 22.8 percent of all households receiving a Minnesota Housing first mortgage in 2012. |

**Impediment 8: Predatory-style lending activities**

<table>
<thead>
<tr>
<th>Action 8.1: Enhance homebuyer understanding of real estate transactions so that they may recognize predatory lending and provide resources for them to discuss possible predatory loan products.</th>
<th>Measurable Objective 8.1: Number of attendees of homeownership training.</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Results:</strong></td>
<td>5,782 received homebuyer training and 2,148 received pre-purchase counseling in 2012.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Action 8.2: Limit subordinations of HOME HELP downpayment assistance loans to mortgages at prevailing rates and fees for the borrower’s risk category.</th>
<th>Measurable Objective 8.2: The number of requests for subordination to predatory loans that are rejected.</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Results:</strong></td>
<td>There were no requests for subordinations of HOME HELP loans to predatory loans. Of a total of 501 requests for subordinations of all types of Minnesota Housing single family mortgages, 46 were denied, and two of those were denied because of predatory loan terms of the proposed first mortgage.</td>
</tr>
</tbody>
</table>
### Impediment 1: Insufficient fair housing outreach and education efforts

| Action 1.1: Work with Minnesota NAHRO and the Minnesota Multi Housing Association to provide education to public sector housing providers through at their annual conferences. Action 1.2: Distribute fair housing flyers and education materials at annual conferences, public venues, and other opportunities. | Measurable Objective 1.1: Number of outreach and education activities conducted.  
Measurable Objective 1.2: Number of materials distributed. |
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Results:</td>
<td>Held fair housing sessions at both the Working Together Conference and the NAHRO Fall conference. DEED discussed grantee fair housing responsibilities with 200 of their SCDP grantee staff.</td>
</tr>
</tbody>
</table>

### Impediment 2: Lack of sufficient fair housing testing and enforcement activities

| Action 2.1: Determine with the Minnesota Department of Human Rights the process of testing and enforcement and how it can be supported by DEED, DHS, and Minnesota Housing. | Measurable Objective 2.1.1: Determine appropriate support roles of DEED, Minnesota Housing, and DHS.  
Measurable Objective 2.2.1: Execute support roles. |
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Results:</td>
<td>No action to report this year.</td>
</tr>
</tbody>
</table>

### Impediment 3: NIMBYism (Not in My Backyard) tendencies and planning and zoning decisions affect housing availability

<table>
<thead>
<tr>
<th>Action 3.1: Incent decisions by communities that decrease segregation and increase economic integration of populations</th>
<th>Measurable Objective 3.1: Number of Minnesota Housing developments that are awarded selection points for zoning flexibility and economic integration.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Results:</td>
<td>13 of 43 developments selected.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Action 3.2: Provide internet links and other pre-existing materials to city staff and developers to inform citizens about affordable housing to reduce NIMBYism.</th>
<th>Measurable Objective 3.2: Number of internet links on Minnesota Housing’s webpage, and other materials identified to be made available to city staff and developers.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Results:</td>
<td>No action to report this year.</td>
</tr>
</tbody>
</table>
C. Affordable Housing

HUD does not consistently require jurisdictions participating in each of the various Community Planning and Development programs to collect or report the data necessary to determine housing affordability. Agencies have cooperated to the extent possible in providing information.

Based on data available in IDIS, more than 94 percent of beneficiaries of CDBG housing programs in 2012 had incomes at or below 80 percent of HUD median income, with the greatest number in the lowest income category. More than two-thirds of beneficiaries of non-housing CDBG activities had incomes at or below 80 percent of HUD median income (see below).

**2012 CDBG Beneficiaries by Income Category as of January 31, 2013**

<table>
<thead>
<tr>
<th>Activity</th>
<th>Income Level</th>
<th>Owner Occupied</th>
<th>Renter Occupied</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Number of Households</td>
<td>Percent of Total</td>
<td>Number of Households</td>
</tr>
<tr>
<td>Housing</td>
<td>Extremely Low (&lt;=30%)</td>
<td>40</td>
<td>17.8%</td>
</tr>
<tr>
<td></td>
<td>Low (&gt;30% and &lt;=50%)</td>
<td>79</td>
<td>35.1%</td>
</tr>
<tr>
<td></td>
<td>Mod (&gt;50% and &lt;=80%)</td>
<td>106</td>
<td>47.1%</td>
</tr>
<tr>
<td></td>
<td>Total Low-Mod</td>
<td>225</td>
<td>100.0%</td>
</tr>
<tr>
<td></td>
<td>Non Low Mod (&gt;80%)</td>
<td>0</td>
<td>0.0%</td>
</tr>
<tr>
<td></td>
<td>Total Beneficiaries</td>
<td>225</td>
<td>100.0%</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Activity</th>
<th>Income Level</th>
<th>Owner Occupied</th>
<th>Renter Occupied</th>
<th>Persons</th>
<th>Percent of Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Non</td>
<td>Extremely Low (&lt;=30%)</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0.0%</td>
</tr>
<tr>
<td>Housing</td>
<td>Low (&gt;30% and &lt;=50%)</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0.0%</td>
</tr>
<tr>
<td></td>
<td>Mod (&gt;50% and &lt;=80%)</td>
<td>0</td>
<td>0</td>
<td>54</td>
<td>67.5%</td>
</tr>
<tr>
<td></td>
<td>Total Low-Mod</td>
<td>0</td>
<td>0</td>
<td>54</td>
<td>67.5%</td>
</tr>
<tr>
<td></td>
<td>Non Low Mod (&gt;80%)</td>
<td>0</td>
<td>0</td>
<td>26</td>
<td>32.5%</td>
</tr>
<tr>
<td></td>
<td>Total Beneficiaries</td>
<td>0</td>
<td>0</td>
<td>80</td>
<td>100.0%</td>
</tr>
</tbody>
</table>

The ESG program has no goal to provide affordable housing as defined by Section 215, and DHS does not collect income information on ESG recipients. Most of the people assisted under this program have few or no resources; one of the basic criteria for qualifying for assistance is having no resources to afford housing even for a single night. ESG is the largest of Minnesota’s federally funded efforts to address worst-case needs.

In accordance with guidelines, all households assisted with HOME funds have gross incomes at or below 80 percent of area median income and tenant rents meet the affordability requirements of 24 CFR 92.252. The following distribution of assistance shows that more than one-third of all HOME-assisted households were in the lowest income category:
### 2012 HOME Beneficiaries by Income Category as of January 31, 2013

<table>
<thead>
<tr>
<th>Income Level</th>
<th>First-Time Homebuyers</th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Number of Households</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Extremely Low (&lt;=30%)</td>
<td>7</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Very Low (31% and &lt;=50%)</td>
<td>61</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other Low (&gt;51% and &lt;=80%)</td>
<td>179</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total Low</td>
<td>247</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Moderate (&gt;=81%)</td>
<td>0</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total</td>
<td>247</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Income Level</th>
<th>Percent of Total</th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Extremely Low (&lt;=30%)</td>
<td>2.8%</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Very Low (31% and &lt;=50%)</td>
<td>24.7%</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other Low (&gt;51% and &lt;=80%)</td>
<td>72.5%</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total Low</td>
<td>100.0%</td>
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<tr>
<td>Moderate (&gt;=81%)</td>
<td>0.0%</td>
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<tr>
<td>Total</td>
<td>100.0%</td>
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</table>

All HOPWA participants have gross incomes at or below 80 percent of area median family income.

Based on stakeholders’ responses to a survey of local housing needs, input from public meeting participants, and an analysis of Census data (i.e., 2006-2008 CHAS Data), Minnesota prioritized housing needs in its **Consolidated Housing and Community Development Plan, FY 2012-2016**. One of the greatest unmet housing needs identified at that time was renter households with incomes at or below 50 percent of area median. As the preceding data show, the greatest proportion of renter households assisted in 2012 were in this income category.

### D. Continuum of Care

Continuum of Care is a community strategic plan to organize and deliver housing and services to reduce the incidence of homelessness by assisting homeless individuals, youth, and families with children so they move to self-sufficiency and permanent housing. The Continuum of Care includes: prevention, outreach and assessment, emergency shelter, transitional housing, and permanent supportive housing or other permanent housing. Annually, Continuum of Care organizations apply to HUD for funding under the McKinney Vento Homeless Assistance Program to address homelessness. The Minnesota Interagency Council on Homelessness (MICH) assists planning efforts in the Minnesota Continuum of Care regions.

Currently, 11 Continuum of Care (CoC) regions exist in Minnesota. CoC committees have developed the regional plans that identify and describe: 1) homelessness, 2) assistance needs of people experiencing homelessness or people at risk of becoming homeless, 3) gaps in regional service delivery for the homeless, and 4) a strategy for addressing those gaps.

ESG funding is used to strengthen the Continuum of Care systems by providing direct services to homeless persons. ESG funds were provided to grantee agencies in every CoC region, each
of which has as a priority to provide shelter and supportive services for homeless individuals and families. ESG funds also were provided to shelters in all CoC regions to pay for the operating and service costs of these programs.

Both Minnesota Housing and DHS use CoC Plans in the process of reviewing and selecting proposals under the ESG and transitional housing programs. DHS offers each CoC committee the opportunity to provide comments and recommendations on each ESG application submitted from their region. This allows CoC committees to have input into how ESG funds are spent in their community, ensuring that funds are filling gaps in their CoC systems.

CoC plans are available at: [http://www.mnhousing.gov/initiatives/housing-assistance/continuum/index.htm](http://www.mnhousing.gov/initiatives/housing-assistance/continuum/index.htm) or through one of the offices listed on the next pages:
**Affordable Housing Contacts in Minnesota, 2012**

**Continuum of Care (COC)**—a community plan to organize and deliver housing and services to reduce the incidence of homelessness by assisting homeless individuals, youth and families with children to move to self-sufficiency and permanent housing.

**Minnesota Interagency Council on Homelessness (MICH)**—the goal of MICH is to maximize and coordinate state resources to prevent and address homelessness.

**Heading Home Minnesota**—statewide strategy which includes Minnesota's Business Plan to End Long-Term Homelessness and regional/local "Heading Home" Initiatives which represent partnerships with support of the public, business, nonprofit and philanthropic sectors and the faith community.

**For additional support:**
Corporation for Supportive Housing  612-721-3700

<table>
<thead>
<tr>
<th>Regions</th>
<th>CoC and Heading Home Contacts</th>
<th>MICH Contacts</th>
<th>HUD Contacts</th>
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<tbody>
<tr>
<td>METROPOLITAN AREA</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>Anoka County</td>
<td><strong>COC and Heading Home Contact:</strong> Kristina Hayes</td>
<td>Erin Schwarzbauer</td>
<td>Sara Jean Bergen</td>
</tr>
<tr>
<td></td>
<td>Anoka County Housing Coordinator</td>
<td>Minnesota Housing</td>
<td>Housing &amp; Urban Dev.</td>
</tr>
<tr>
<td></td>
<td>Tel: 763-323-5707</td>
<td>Tel: 651-296-3656</td>
<td>Tel: 612-370-3019 ext. 2103</td>
</tr>
<tr>
<td></td>
<td><a href="mailto:kristina.hayes@co.anoka.mn.us">kristina.hayes@co.anoka.mn.us</a></td>
<td><a href="mailto:erin.schwarzbauer@state.mn.us">erin.schwarzbauer@state.mn.us</a></td>
<td><a href="mailto:sara.j.bergen@hud.gov">sara.j.bergen@hud.gov</a></td>
</tr>
<tr>
<td>Dakota County</td>
<td><strong>COC Contact:</strong> Marsha Milgrom</td>
<td>Erin Schwarzbauer</td>
<td>Sara Jean Bergen</td>
</tr>
<tr>
<td></td>
<td>Dakota County Social Services</td>
<td>Minnesota Housing</td>
<td>Housing &amp; Urban Dev.</td>
</tr>
<tr>
<td></td>
<td>Tel: 651-554-5918</td>
<td>Tel: 651-296-3656</td>
<td>Tel: 612-370-3019 ext. 2103</td>
</tr>
<tr>
<td></td>
<td><a href="mailto:marsha.milgrom@co.dakota.mn.us">marsha.milgrom@co.dakota.mn.us</a></td>
<td><a href="mailto:erin.schwarzbauer@state.mn.us">erin.schwarzbauer@state.mn.us</a></td>
<td><a href="mailto:sara.j.bergen@hud.gov">sara.j.bergen@hud.gov</a></td>
</tr>
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Affordable Housing Contacts in Minnesota, 2012

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<thead>
<tr>
<th>Regions</th>
<th>CoC and Heading Home Contacts</th>
<th>MICH Contacts</th>
<th>HUD Contacts</th>
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<tbody>
<tr>
<td>Hennepin County</td>
<td>COC Contact: Allan Henden</td>
<td>Ji-Young Choi</td>
<td>Tom Koon</td>
</tr>
<tr>
<td></td>
<td>Tel: 612-596-1841</td>
<td>Minnesota Housing</td>
<td>Housing &amp; Urban Dev.</td>
</tr>
<tr>
<td></td>
<td><a href="mailto:allan.henden@co.hennepin.mn.us">allan.henden@co.hennepin.mn.us</a></td>
<td>Tel: 651-296-9839</td>
<td>Tel: 612-370-3019 ext. 2108</td>
</tr>
<tr>
<td></td>
<td>Heading Home Contact:</td>
<td><a href="mailto:ji-young.choi@state.mn.us">ji-young.choi@state.mn.us</a></td>
<td><a href="mailto:thomas.koon@hud.gov">thomas.koon@hud.gov</a></td>
</tr>
<tr>
<td></td>
<td>Cathy ten Broeke</td>
<td>Jane Lawrenz</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Tel: 612-596-1606</td>
<td>Human Services</td>
<td></td>
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<tr>
<td></td>
<td><a href="mailto:cathy.ten.broeke@co.hennepin.mn.us">cathy.ten.broeke@co.hennepin.mn.us</a></td>
<td>Tel: 651-431-3844</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td><a href="mailto:jane.m.lawrenz@state.mn.us">jane.m.lawrenz@state.mn.us</a></td>
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</tr>
<tr>
<td></td>
<td></td>
<td></td>
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</tr>
<tr>
<td>Ramsey County</td>
<td>COC Contact: Jim Anderson</td>
<td>Pat Leary</td>
<td>Ben Osborn</td>
</tr>
<tr>
<td></td>
<td>Ramsey County Human Services</td>
<td>Human Services</td>
<td>Housing &amp; Urban Dev.</td>
</tr>
<tr>
<td></td>
<td>Tel: 651-266-4116</td>
<td>Tel: 651-431-3824</td>
<td>Tel: 612-370-3000 ext. 2237</td>
</tr>
<tr>
<td></td>
<td><a href="mailto:jim.anderson@co.ramsey.mn.us">jim.anderson@co.ramsey.mn.us</a></td>
<td><a href="mailto:pat.leary@state.mn.us">pat.leary@state.mn.us</a></td>
<td><a href="mailto:benjamin.m.osborn@hud.gov">benjamin.m.osborn@hud.gov</a></td>
</tr>
<tr>
<td></td>
<td>Heading Home Contact:</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Carol Zierman</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Ramsey County Planning Office</td>
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<tr>
<td></td>
<td>651-266-8004</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td><a href="mailto:carol.zierman@co.ramsey.mn.us">carol.zierman@co.ramsey.mn.us</a></td>
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</tbody>
</table>
## Affordable Housing Contacts in Minnesota, 2012

<table>
<thead>
<tr>
<th>Regions</th>
<th>CoC and Heading Home Contacts</th>
<th>MICH Contacts</th>
<th>HUD Contacts</th>
</tr>
</thead>
</table>
| Scott/Carver    | **COC Contact:** Jen Romero  
                  Scott Carver Dakota CAP  
                  Tel: 952 402-9872  
                  jromero@capagency.org  
                  **Heading Home Contact:**  
                  Allison Streich  
                  Carver County CDA  
                  Tel: 952-556-2803  
                  allisons@carvercda.org | Alison Niemi  
                  Human Services  
                  Tel: 651-431-3848  
                  alison.niemi@state.mn.us | Sara Jean Bergen  
                  Housing & Urban Dev.  
                  Tel: 612-370-3019 ext.  
                  2103  
                  sara.j.bergen@hud.gov |
| Counties        |                                                                                               |                                                                                                 |                                                                                                    |
| Washington      | **COC Contact:** Diane Elias  
                  Washington County Community Services  
                  Tel: 651-430-8317  
                  diane.elias@co.washington.mn.us  
                  **Heading Home Contact:**  
                  David Browne  
                  Common Bond  
                  Tel: 651-312-3346  
                  David.Browne@commonbond.org | Dianne Wilson  
                  Human Services  
                  Tel: 651-431-2024  
                  dianne.c.wilson@state.mn.us | Sara Jean Bergen  
                  Housing & Urban Dev.  
                  Tel: 612-370-3019 ext.  
                  2103  
                  sara.j.bergen@hud.gov |
| County          |                                                                                               |                                                                                                 |                                                                                                    |
# Affordable Housing Contacts in Minnesota, 2012

## GREATER MINNESOTA

<table>
<thead>
<tr>
<th>Regions</th>
<th>CoC and Heading Home Contacts</th>
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<th>HUD Contacts</th>
</tr>
</thead>
</table>
| Central   | **COC and Heading Home Contact:** AG Lynch  
Centr. MN Housing Partnership  
Tel: 320-259-0393  
AG@cmhp.net | Vicki Farden  
Minnesota Housing  
Tel: 651-296-8125  
vicki.farden@state.mn.us | Mary Burbank  
Housing & Urban Dev.  
Tel: 612-370-3019 ext. 2102  
mary.k.burbank@hud.gov |
| Northeast | **COC and Heading Home Contact:** Patty Beech  
N.E. MN CoC Coordinator  
Tel: 218-525-4957  
pbeech@cpinternet.com | Erin Schwarzbauer  
Minnesota Housing  
Tel: 651-296-3656  
erin.schwarzbauer@state.mn.us  
Pat Leary  
Human Services  
Tel: 651-431-3824  
pat.leary@state.mn.us | Mary Burbank  
Housing & Urban Dev.  
Tel: 612-370-3019 ext. 2102  
mary.k.burbank@hud.gov |
### Affordable Housing Contacts in Minnesota, 2012

<table>
<thead>
<tr>
<th>Regions</th>
<th>CoC and Heading Home Contacts</th>
<th>MICH Contacts</th>
<th>HUD Contacts</th>
</tr>
</thead>
</table>
| Northwest        | COC and Heading Home Contact: Wendy Thompson Consultant Tel: 218-586-2706 awthomp@paulbunyan.net | Ji-Young Choi
Minnesota Housing Tel: 651-296-9839 ji-young.choi@state.mn.us
Jane Lawrenz
Human Services Tel: 651-431-3844 jane.m.lawrenz@state.mn.us | Mary Burbank
Housing & Urban Dev. Tel: 612-370-3019 ext. 2102 mary.k.burbank@hud.gov |
| Southeast        | COC and Heading Home Contact: Mary Ulland-Evans Three Rivers Community Action Tel: 507-732-8555 mary.ullandevans@threeriverscap.org
Heading Home Olmsted Contact: Tammy Gross
Olmsted County Adult & Family Services
2100 Campus Dr. SE
Rochester, MN 55904
Tel: 507-328-6669 gross.tammy@co.olmsted.mn.us | Beth Holger-Ambrose
Human Services
Tel: 651-431-3823 beth.holger-ambrose@state.mn.us | Tom Koon
Housing & Urban Dev. Tel: 612-370-3019 ext. 2108 thomas.koon@hud.gov |
# Affordable Housing Contacts in Minnesota, 2012

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<th>Regions</th>
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</thead>
<tbody>
<tr>
<td>Southwest</td>
<td><strong>COC and Heading Home Contact:</strong> Justin Vorbach SW Minnesota Housing Partnership Tel: 507-836-1609 <a href="mailto:justinv@swmhp.org">justinv@swmhp.org</a></td>
<td>Alison Niemi Human Services Tel: 651-431-3848 <a href="mailto:alison.niemi@state.mn.us">alison.niemi@state.mn.us</a></td>
<td>Ben Osborn Housing &amp; Urban Dev. Tel: 612-370-3000 ext. 2237 <a href="mailto:benjamin.m.osborn@hud.gov">benjamin.m.osborn@hud.gov</a></td>
</tr>
<tr>
<td>West Central</td>
<td><strong>COC and Heading Home Contact:</strong> Carla Solem West Central CoC Coordinator Tel: 701-306-1944 <a href="mailto:carlas@cableone.net">carlas@cableone.net</a></td>
<td>Jane Lawrenz Human Services Tel: 651-431-3844 <a href="mailto:jane.m.lawrenz@state.mn.us">jane.m.lawrenz@state.mn.us</a></td>
<td>Ben Osborn Housing &amp; Urban Dev. Tel: 612-370-3000 ext. 2237 <a href="mailto:benjamin.m.osborn@hud.gov">benjamin.m.osborn@hud.gov</a></td>
</tr>
<tr>
<td>St. Louis County</td>
<td><strong>COC and Heading Home Contact:</strong> Laura DeRosier St. Louis County Health Tel: 218-725-5236 <a href="mailto:derosierl@co.st-louis.mn.us">derosierl@co.st-louis.mn.us</a></td>
<td>Ji-Young Choi Minnesota Housing Tel: 651-296-9839 <a href="mailto:ji-young.choi@state.mn.us">ji-young.choi@state.mn.us</a></td>
<td>Tom Koon Housing &amp; Urban Dev. Tel: 612-370-3019 ext. 2108 <a href="mailto:thomas.koon@hud.gov">thomas.koon@hud.gov</a></td>
</tr>
<tr>
<td>Bois Forte</td>
<td><strong>TBD</strong></td>
<td>Vern La Plante Human Services Tel: 651-431-2910 <a href="mailto:vernon.laplante@state.mn.us">vernon.laplante@state.mn.us</a></td>
<td>Brian Gillen U.S. Housing &amp; Urban Dev. Tel: 312-353-6236 <a href="mailto:brian_gillen@hud.gov">brian_gillen@hud.gov</a></td>
</tr>
<tr>
<td>Fond du Lac</td>
<td><strong>Amy Wicklund</strong> Tel: 218-878-2631 <a href="mailto:amywicklund@fdlrez.com">amywicklund@fdlrez.com</a></td>
<td>Rick Smith Minnesota Housing Tel: 651-267-4060 <a href="mailto:smith.rick.p@state.mn.us">smith.rick.p@state.mn.us</a></td>
<td>Tremont (2012)</td>
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## Affordable Housing Contacts in Minnesota, 2012

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<th>Regions</th>
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<tbody>
<tr>
<td>White Earth</td>
<td>Ben Bement</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Tel: 218-935-5554</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td><a href="mailto:benb@whiteearth.com">benb@whiteearth.com</a></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Mary Riegert</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Tel: 218-935-5554</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td><a href="mailto:maryr@whiteearth.com">maryr@whiteearth.com</a></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Red Lake</td>
<td>Carol Priest</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Tel: 218-679-3228</td>
<td></td>
<td></td>
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<tr>
<td></td>
<td><a href="mailto:priest@paulbunyan.net">priest@paulbunyan.net</a></td>
<td></td>
<td></td>
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<tr>
<td>Leech Lake</td>
<td>Earlene Buffalo</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Tel: 218-335-7271</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td><a href="mailto:earleneb@midconetwork.com">earleneb@midconetwork.com</a></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Grand Portage</td>
<td>Dave Danz</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Tel: 218-475-2844</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td><a href="mailto:Dave.danz@gmail.com">Dave.danz@gmail.com</a></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Lower Sioux</td>
<td>Laura LaMote</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Tel: 507-697-6185</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td><a href="mailto:Laura.lamote@lowersioux.com">Laura.lamote@lowersioux.com</a></td>
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</tbody>
</table>
Minnesota’s Homeless Management Information System (HMIS) is an internet-based system that presents standardized and timely information to housing and service providers to better serve their clients. Local planners, providers, and advocates have developed a version of the system that strengthens provider efforts to end homelessness without jeopardizing the privacy of those they are serving. Statewide implementation of HMIS began on July 1, 2005. All required agencies or departments, along with many voluntary participants, now use HMIS. As of 2011, an estimated 215 organizations providing approximately 78 percent of the 14,118 available beds in Minnesota shelters, transitional, and permanent supportive housing were using HMIS. For more information on Minnesota’s HMIS see: www hmismn org/index php

E. Other Actions
The state took the actions described in its Minnesota Annual Action Plan for Housing and Community Development 2012, except as described below:

Structure and Delivery of Services: The state did not explore with PHAs the possibility of establishing a multi-jurisdiction single point of application for housing vouchers due to other more pressing priorities.

F. Leveraging Resources
DEED leverages its SCDP housing rehabilitation funds with Minnesota Housing, USDA Rural Development, lender, and property owner contributions. Each applicant jurisdiction is expected to provide as much local money as practicable, contingent upon the financial capability of the applicant. DEED and Rural Development coordinate funds for correcting or improving public infrastructure especially for low- and moderate-income communities. DEED staff estimates that SCDP projects historically have leveraged nonfederal funds in an amount at least equal to SCDP funds.

In 2012, HOME provided 18.6 percent of the total funds used in completed HOME Rental Rehabilitation projects; the remainder was provided by other public or private entities or property owners. Minnesota Housing has used Housing Tax Credits as well as HOME funds for the development of affordable rental housing in conjunction with other contributions. Under the HOME program, each participating jurisdiction has contributed funds to affordable housing in an amount that is not less than 25 percent of HOME funds drawn from a jurisdiction’s HOME Investment Treasury account during the reporting period. Minnesota met HUD’s HOME match contribution requirement in FFY 2012 and carried over qualifying excess match dollars to FFY 2013.

In 2012, Minnesota Housing loaned $264,392,643 in first mortgages to first-time homebuyers who received more than $2.2 million in HOME-funded downpayment assistance.

Minnesota Housing also leverages federal funds and funds from outside the agency in other state or agency funded assistance programs such as the Economic Development and Housing/Challenge Fund, the Housing Trust Fund, and the Low and Moderate Income Rental
Local contributions are essential to the provision of affordable housing and community development and have included donations of land, write-down of project costs, tax increment financing, and municipal financing. Under the Mortgage Revenue Bond-financed Home Mortgage Program, Minnesota Housing encourages local investment in support of targeted borrowers. Under the state-appropriated Challenge Fund selection process, Minnesota Housing ranks higher those development proposals that include a financial contribution from an area employer; local unit of government; or philanthropic, religious, or charitable organization.

Minnesota's private foundations have contributed their resources to a variety of single-family programs and multifamily projects; the McKnight and Blandin Foundation funding of the Greater Minnesota Housing Fund is one example of this contribution. The Greater Minnesota Housing Fund provides opportunities for the development of affordable housing programs and projects outside the Twin Cities metro area. The contributions of foundations and nonprofit organizations to affordable housing development, while significant, are not reported to state agencies and cannot be documented here. *(Minnesota's Consolidated Plan for Housing and Community Development, 2012-2016* includes a list of major foundations and nonprofit organizations in Minnesota that work to provide or promote affordable housing.)

ESG requires a one-to-one matching of funds. For FFY 2012, DHS required its sub-recipients to provide the required matching funds due to changes in the ESG regulations which made it more difficult to match these funds at the state level. Although grantees had not expended any FFY2012 funds during this CAPER reporting period, DHS entered into contracts with FFY2012 sub-recipients which ensure that $2,223,294 in non-ESG funds will be provided as match.

Under Projects for Assistance in Transition from Homelessness (PATH) in FFY 2012, DHS disbursed $818,000 in federal PATH funds and $568,049 in matching state funds for PATH (only $272,667 was required for the match). Data for 2012 is not yet available, but in FFY 2011 the combined resources funded mental health staff to provide homeless outreach, engagement, and housing and service linkage to 1,904 enrolled households.

**G. Citizen Comment**

Minnesota state agencies use the Consolidated Planning process to maintain awareness of local assistance needs. DEED takes the lead in conducting public hearings and forums on the Consolidated Plan annually, in various areas around the state.

The state notified the public of the availability of the draft in the *Star Tribune* and *State Register*. The draft CAPER for 2012 was available for public comment for 15 days, beginning November 15, 2012 and extending through the close of the business day on November 30, 2012. Copies of the CAPER were posted in the websites of Minnesota Housing and DEED and were available from Minnesota Housing and DEED upon request. The final CAPER will include some data not available for the draft report.
**H. Self-Evaluation**

The state has identified objectives and expected outcomes for federally funded housing and community development assistance through a process of public hearings and forums held throughout the state. Housing advocates, service providers, and other stakeholders are invited to participate in these events and to provide input on local needs, priorities, and problems (see Volume I, Section V and Volume II, Appendix D of the *Consolidated Housing and Community Development Plan, FY 2012-2016*). The Annual Action Plan addresses how objectives are to be met each year.

The state’s FFY 2012 Action Plan set a goal of serving 12,158 persons with emergency shelter funds. In State Fiscal Year 2012, ESG funds were used to support the ongoing efforts to provide shelter and supportive services to 13,989 homeless persons. In addition, the state’s FFY 2012 Action Plan set a goal of stably re-housing 460 individuals and having 350 persons remain stably housed at program exit. Because of delays in receiving an FFY 2012 ESG award letter from HUD, the anticipated ESG funds for re-housing were not available to sub-recipients during the state reporting period covered by this CAPER and therefore no clients were reported served with FFY 2012 ESG re-housing funds in this report.

Under CDBG (SCDP), the state exceeded projections in all activities except production and rehabilitation of rental units, which continued to reflect difficult economic conditions.

Minnesota Housing’s 2012 goal for rental rehabilitation was 515 units for a total HOME cost of $11,610,000. Minnesota Housing actually awarded $5,965,000 for 129 units in two developments and the projects are underway. Production was less than anticipated because of the late roll-out of the program relative to issuance of the Request for Proposals. Also, per unit costs were higher than expected so, although only 25 percent of the expected units will be rehabilitated, the agency still used 51 percent of the allocated funds.

Minnesota Housing’s 2012 goal for homeownership assistance was 450 units for a total HOME cost of $4,500,000. Actual production was 245 units totaling $2,283,065. The reasons for not meeting goals are: (1) The HOME Help loan amount was too low and not enough lenders were willing to undertake the extra work and risk of making HOME-funded loans, and (2) Minnesota Housing’s mortgage rates were either at or near market rates.

Note that CHDO Operating Support was funded with Minnesota Housing resources rather than HOME funds in 2012.

The state worked toward assistance goals for 2012 as shown in the following tables, which identifies expected and actual numbers of units assisted. All HOME-assisted households and 98 percent of CDBG-assisted households had incomes less that 80 percent of area median income.

The state did not project households anticipated to be assisted by income group in its 2012 plan.
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>CDBG (SCDP)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Economic development jobs for low/mod people</td>
<td>800</td>
<td>243</td>
<td>200</td>
<td>243</td>
<td>150</td>
<td>150</td>
</tr>
<tr>
<td>Commercial rehabilitation (buildings)</td>
<td>300</td>
<td>146</td>
<td>100</td>
<td>146</td>
<td>50</td>
<td>50</td>
</tr>
<tr>
<td>Public facilities (low/mod income people)</td>
<td>3,100</td>
<td>1,490</td>
<td>1,100</td>
<td>1,490</td>
<td>500</td>
<td>500</td>
</tr>
<tr>
<td>Rehabilitation of existing rental units</td>
<td>275</td>
<td>123</td>
<td>75</td>
<td>123</td>
<td>50</td>
<td>50</td>
</tr>
<tr>
<td>Rehabilitation of existing owner units</td>
<td>1,700</td>
<td>520</td>
<td>500</td>
<td>520</td>
<td>300</td>
<td>300</td>
</tr>
<tr>
<td>Production of new rental housing units</td>
<td>48</td>
<td>16</td>
<td>48</td>
<td>16</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>HOME</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Rehabilitation of existing rental units</td>
<td>915</td>
<td>205</td>
<td>515</td>
<td>205</td>
<td>100</td>
<td>100</td>
</tr>
<tr>
<td>Homeownership assistance</td>
<td>1,450</td>
<td>247</td>
<td>450</td>
<td>247</td>
<td>250</td>
<td>250</td>
</tr>
<tr>
<td>CHDO Operating Support</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Homeowner rehab</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>HOPWA</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Short term rent/mortgage utility payments</td>
<td>750</td>
<td>153</td>
<td>150</td>
<td>153</td>
<td>150</td>
<td>150</td>
</tr>
<tr>
<td>ESG</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Sheltered</td>
<td>60,790</td>
<td>13,989</td>
<td>12,158</td>
<td>13,989</td>
<td>12,158</td>
<td>12,158</td>
</tr>
<tr>
<td>Re-housed</td>
<td>2,300</td>
<td>0</td>
<td>460</td>
<td>0</td>
<td>460</td>
<td>460</td>
</tr>
<tr>
<td>Transitioned to long-term</td>
<td>1,750</td>
<td>0</td>
<td>350</td>
<td>0</td>
<td>350</td>
<td>350</td>
</tr>
</tbody>
</table>
I. Monitoring

Rental Housing

Minnesota Housing monitors HOME Rental Rehabilitation and will monitor HOME Rental Capital properties for compliance by annually requiring owners to submit tenant income and rent information for review for compliance with HOME regulations. Minnesota Housing multifamily division staff will physically inspect each property at least as frequently as required by 24 CFR §92.504(d) for compliance with property standards and to verify the accuracy of information owners submitted regarding tenant incomes and rents, and continued use of a HOME-eligible lease form.

Minnesota Housing has provided a HOME Rental Rehabilitation Loan Program Compliance Manual to owners and management agents of HOME-assisted rental properties. Minnesota Housing has implemented the Affordable Rental Preservation Program; a similar compliance manual is employed in that program as well. The manual covers all HOME compliance issues including leases, rents, incomes, maintaining unit mix, affirmative marketing, and property standards.

Minnesota Housing has completed development of and has implemented a Compliance Monitoring System for tracking required submissions from owners, instances of non-compliance, and reporting on whether compliance is achieved. The system is automated with pre-determined times for follow-up reminders to staff to complete identified tasks and jobs according to the required schedule, reporting to managers when internal standards for correcting non-compliance are not met, and notices to owners and property managers when non-compliance is found.

In 2012, Minnesota Housing conducted on-site inspections at properties with HOME-assisted units as shown in the HOME Compliance Status Report in the Attachments. In summary:

<table>
<thead>
<tr>
<th>Status</th>
<th>Number of Properties</th>
<th>Number of HOME Units</th>
</tr>
</thead>
<tbody>
<tr>
<td>In compliance</td>
<td>40</td>
<td>689</td>
</tr>
<tr>
<td>Corrections pending</td>
<td>21</td>
<td>345</td>
</tr>
<tr>
<td>Inspection in process</td>
<td>10</td>
<td>253</td>
</tr>
<tr>
<td>No inspection required</td>
<td>67</td>
<td>475</td>
</tr>
<tr>
<td>Out of compliance</td>
<td>2</td>
<td>24</td>
</tr>
<tr>
<td>Total</td>
<td>140</td>
<td>1,786</td>
</tr>
</tbody>
</table>

The on-site inspection consists of a review of administrative records, such as utility allowance source documentation, resident selection plan, affirmative marketing, ongoing lead-based paint maintenance records (where applicable), as well as a physical inspection and tenant file review of 15 percent of the HOME-assisted units. As a rule, Minnesota Housing inspects a minimum of four HOME units (or all HOME units if the property has four or less HOME units). The 67 properties that show limited information on the HOME Compliance Status Report did not require an inspection in 2012.
According to Minnesota Housing’s monitoring procedure, if a property is found to be out of compliance either as a result of the owner certification, tenant reporting or by inspection, the owner is given a notice of noncompliance and a correction period, generally 30 days. If all noncompliance is not corrected within this period, the owner is given a 10-day grace period with which to address any remaining issues. If noncompliance remains uncorrected, the property is discussed at a HOME team meeting for further action. Possible action may be an extension of the effective period, calling the loan due, or other action appropriate to the situation.

**Downpayment Assistance**

Minnesota Housing monitors compliance in its HOME Help program by reviewing each downpayment assistance file for eligibility before funding it, and annually reviewing the performance of each lender that originates the loans. Results of each lender review are shared with the lender, both verbally and in writing. Areas requiring improvement and strategies for performance improvement are discussed with the lender.

The Agency’s staff will monitor the work of each of its contract inspectors by re-inspecting a sample of homes, but no less than one house per contract inspector. The size of the re-inspection sample for each contract inspector will depend on the outcome of re-inspections previously conducted. In addition to this re-inspection protocol, each inspection is reviewed by Agency staff to ensure that visual assessments of paint are conducted for properties that are built before 1978, that if paint stabilization is required, a clearance examination demonstrates clearance, and that items identified as deficient in the initial inspection are indicated as corrected in the follow-up inspection report. This review is completed before HOME funds are committed and disbursed.

**CHDO Operating Support**

Minnesota Housing will review a CHDO’s compliance with the HOME regulation’s definition of CHDO before entering into a written agreement for operating support. Monitoring will occur as invoices are received to ensure that operating costs charged to the program are only for the purposes identified in the CHDO’s application, and do not include costs associated with a project that has received CHDO set-aside funds or costs incurred as an administrator in any HOME program.

**HOPWA**

Inspection of properties assisted under Housing Opportunities for Persons with AIDS (HOPWA) includes a physical inspection of the property, tenant file review to conform eligibility, and HOPWA Development Review using the checklist that covers whether there are service plans in place; whether HOPWA residents receive the services offered to them; if any adverse actions have been taken against residents since the last HOPWA review; if residents were terminated from the program, review evidence of due process that was provided the tenants; and compliance with audit and record retention requirements.

Requirements include: 1) owners’ annual submission of a Deferred Loan Owner Certification and Characteristics of Tenant Household Report; and 2) property inspections per Minnesota Housing Board-approved inspection cycle unless they have compliance issues that require more
frequent inspections. The inspection cycle is every two years for properties with $500,000 or more of deferred loan financing; every five years for deferred loan amounts greater than $100,000 and less than $500,000; and every 10 years for deferred loans of $100,000 or less, unless the property also received Low-Income Housing Tax Credits, in which case the property is inspected according to the Tax Credit schedule.

Monitoring of emergency rent and mortgage assistance includes:
- Monthly review of administrative budget, production, and utilization of funding.
- Evidence that the grantee is collecting appropriate demographic data.
- Biennial site visits to the grantee to review the following:
  - Policies and procedures
  - Compliance with audit and data practices requirements
  - Documentation of compliance with time limits for assistance
  - Documentation of all aspects of tenant eligibility
  - Documentation that each participant was provided an opportunity to receive case management services
  - Source documentation of rental, mortgage, or utility expense

Emergency Solutions Grants
Each year DHS program staff review all ESGP grantees using a risk analysis tool created with technical assistance from HUD. The tool has four broad areas of analysis:
- General agency information. This includes such factors as new executive director or fiscal director, previous monitoring results, identification of difficulties in a variety of areas, or a new grantee.
- Program operations. This includes compliance and reporting issues, policies and procedures.
- Fiscal operations. This includes financial information such as reporting, audit findings, delinquencies, turnover in accounting staff.
- Agency Board of Directors/Executive Management. This includes agency mission, roles and responsibilities, conflicts, negative press, board issues.

Use of the tool allows DHS staff to determine if a grantee needs immediate attention or if the grantee can receive a visit as part of regular monitoring. In either case, the focus of the monitoring will include any areas highlighted by the risk analysis tool. A regular monitoring rotation ensures grantees are monitored at least once during every grant cycle (every two years).

Grantee issues also may be identified through monitoring visits by state agency staff. Monitoring looks at the overall agency capacity to deliver services determined through a guided discussion that includes managers as well as direct service staff. Areas of discussion may include an overview of the strengths and challenges facing the community and participants, coordination and collaboration efforts, goals and outcomes, staffing and communication, information management, fiscal systems, and governance. A random selection of files is reviewed to check on specific documentation of: homelessness, disability status, target population, on-going assessment, follow-up, and supportive services. In addition, there is
verification of homeless participation in policies and project development, the full number of participants being served, and the timeliness of grant expenditures. Grantees receive a report on the monitoring visit within a month of the visit. Issues such as late reporting must be corrected immediately. Capacity building occurs as the field representative provides assistance to the grantee during the year. Program staff worked collaboratively with monitoring staff to develop the new monitoring instrument, which is reviewed and updated regularly.

**Community Development Block Grant**

It is DEED’s standard that each grantee be monitored through an on-site visit at least once during the term of the funding agreement. Follow-up monitoring may occur if there are significant findings of noncompliance with program requirements to ensure that problems have been corrected or if other indicators of program noncompliance or administrative difficulties become apparent from reviews of program documents, telephone conversations, or other sources.

The monitoring process includes a review of a sample of files to determine compliance with program requirements and the existence and application of good financial management practices. A monitoring checklist/guide has been developed by DEED as a tool to assist in the monitoring process. Results of the monitoring are discussed with each grantee, and issues and their resolution are put in writing and sent to the appropriate officials of the grantee. All grants are subject to monitoring to ascertain whether the activities stated within the approved application address federal objectives, are eligible activities, and if adequate progress has been made by the grantee within the time period stated in the funding agreement.

**Program Narratives**

**III. CDBG Program Narrative**

The primary objective of the Small Cities Development Program (SCDP) is to develop viable communities by providing decent affordable housing and suitable living environments. SCDP does this by expanding economic opportunities, principally for persons of low and moderate income. SCDP funds can be used for a variety of activities, most often to address community needs for owner-occupied housing rehabilitation, rental and/or commercial rehabilitation, or public facility improvements.

Proposals may either be a Single Purpose or Comprehensive Application:
- **Single Purpose** applications must consist of one or more activities designed to meet a specific housing or public facilities need within a community;
- **Comprehensive** applications must consist of at least two interrelated projects that are designed to address community development needs and which, by their nature, require a coordination of housing, public facilities or economic development activities.

All proposals submitted must meet one of three federal objectives:
- Benefit to low and moderate income persons; or
• Elimination of slum and blight conditions, or;
• Elimination of an urgent threat to public safety

All proposals recommended for approval must provide evidence of meeting, at a minimum, one of these federal objectives.

Pre-Applications were due on November 15, 2012 and proposals are due February 28, 2013 under the Small Cities Development Program (SCDP) request issued by DEED. All SCDP proposals were evaluated and ranked by both a lead and backup reviewer on need, impact, cost effectiveness, organizational capacity and leveraging of non-SCDP resources. Demographic information was provided by the state demographer’s office and ranking was based on the number and percentage of people in poverty in a specific area.

The lead reviewer was responsible for presenting their scoring recommendations to the selection committee that was comprised of all SCDP Regional Representatives. During selection meetings, comments and suggestions were received from all staff in determining application scores.

For applications that had a public facility component, agency staff contacted representatives from Rural Development, Minnesota Pollution Control and Public Facilities Authority for their input. Additionally, applications that focused on multi-family rental or homeownership development received insight and recommendations from Minnesota Housing, The Greater Minnesota Housing Fund, Minnesota Department of Human Services, USDA Rural Development, Minnesota Department of Corrections, and HUD.

DEED announced the following amount of CDBG funds were available in 2012:

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>HUD 2011 CDBG Award</td>
<td>$16,736,169</td>
</tr>
<tr>
<td>Administrative Amount</td>
<td>$(434,723)</td>
</tr>
<tr>
<td><strong>Amount available for awards from CDBG</strong></td>
<td><strong>$16,301,446</strong></td>
</tr>
<tr>
<td>Amount provided to Economic Development (15%)</td>
<td>$(2,445,217)</td>
</tr>
<tr>
<td><strong>Amount available from HUD for SCDP grants</strong></td>
<td><strong>$13,856,229</strong></td>
</tr>
<tr>
<td>Reverted funds from 2007-2011 SCDP Program Years</td>
<td>$1,874,760</td>
</tr>
<tr>
<td>Funds transferred from Economic Development to SCDP</td>
<td>$1,800,100</td>
</tr>
<tr>
<td><strong>Amount Available for SCDP Grants</strong></td>
<td><strong>$16,911,089</strong></td>
</tr>
<tr>
<td>Amount Recommended for SCDP Grants</td>
<td>$(16,851,345)</td>
</tr>
<tr>
<td><strong>Balance Available for Urgent Need Projects</strong></td>
<td><strong>$59,744</strong></td>
</tr>
</tbody>
</table>
For 2012, the following is the funding breakdown for this year’s awards:

- 54% For owner occupied rehabilitation;
- 16% For public facility projects;
- 18% For commercial rehabilitation;
- 9% For rental rehabilitation;
- 1% For clearance and demolition;
- 2% For acquisition of real property.

The Commissioner has the authority to modify these percentages if it has been determined there is a shortage of funding in any category. In 2012, the Commissioner approved an allocation of 41 percent to comprehensive projects and 44 percent to single purpose projects.

The following is an overview of the number of full applications received, the amount of SCDP funds requested, the number of projects within each region recommended for approval, and the recommended SCDP award amounts in 2012:

**SCDP Applications and Recommendations for FFY 2012**

<table>
<thead>
<tr>
<th>REGION</th>
<th>NUMBER OF APPLICATIONS</th>
<th>DOLLAR AMOUNT OF APPLICATIONS</th>
<th>NUMBER OF GRANTS RECOMMENDED</th>
<th>DOLLAR AMOUNT OF RECOMMENDATIONS</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>9</td>
<td>$4,866,023</td>
<td>5</td>
<td>$2,760,165</td>
</tr>
<tr>
<td>2</td>
<td>6</td>
<td>$3,315,086</td>
<td>2</td>
<td>$931,200</td>
</tr>
<tr>
<td>3</td>
<td>9</td>
<td>$3,907,095</td>
<td>3</td>
<td>$1,370,520</td>
</tr>
<tr>
<td>4</td>
<td>12</td>
<td>$6,172,441</td>
<td>6</td>
<td>$3,596,473</td>
</tr>
<tr>
<td>5</td>
<td>5</td>
<td>$2,629,695</td>
<td>2</td>
<td>$1,127,400</td>
</tr>
<tr>
<td>6E</td>
<td>2</td>
<td>$1,251,562</td>
<td>2</td>
<td>$1,251,562</td>
</tr>
<tr>
<td>6W</td>
<td>4</td>
<td>$2,179,170</td>
<td>2</td>
<td>$1,179,020</td>
</tr>
<tr>
<td>7E</td>
<td>2</td>
<td>$842,120</td>
<td>0</td>
<td>$0</td>
</tr>
<tr>
<td>7W</td>
<td>2</td>
<td>$333,390</td>
<td>0</td>
<td>$0</td>
</tr>
<tr>
<td>8</td>
<td>8</td>
<td>$4,370,350</td>
<td>5</td>
<td>$2,484,880</td>
</tr>
<tr>
<td>9</td>
<td>7</td>
<td>$3,775,167</td>
<td>2</td>
<td>$1,101,125</td>
</tr>
<tr>
<td>10</td>
<td>7</td>
<td>$3,156,275</td>
<td>2</td>
<td>$1,049,000</td>
</tr>
<tr>
<td>11</td>
<td>0</td>
<td>$0</td>
<td>0</td>
<td>$0</td>
</tr>
<tr>
<td>TOTALS</td>
<td>73</td>
<td>$36,798,374</td>
<td>31</td>
<td>$16,851,345</td>
</tr>
</tbody>
</table>

Based on application evaluations, staff recommended approval of 31 proposals totaling $16,851,345.
IV. HOME Program Narrative

Assessment of Relationship of HOME Funds to Goals and Objectives

The state used its HOME allocation in 2012 to fund three activities: rehabilitation loans for owners of rental property, downpayment assistance to first-time homebuyers, and CHDO operating expenses.

Minnesota Housing’s Action Plan designated HOME formula grant funds received or carried forward from 2011 for as follows (revised allocation):

<table>
<thead>
<tr>
<th>Program or activity</th>
<th>Allocation of funds</th>
<th>Percentage of funds</th>
</tr>
</thead>
<tbody>
<tr>
<td>HOME Affordable Rental Pres</td>
<td>$11,610,000</td>
<td>64.4%</td>
</tr>
<tr>
<td>HOME HELP</td>
<td>$4,500,000</td>
<td>25.0%</td>
</tr>
<tr>
<td>CHDO Operating</td>
<td>$769,896</td>
<td>4.3%</td>
</tr>
<tr>
<td>Agency Admin</td>
<td>$1,138,277</td>
<td>6.3%</td>
</tr>
<tr>
<td><strong>HOME subtotal</strong></td>
<td><strong>$18,018,173</strong></td>
<td><strong>100.0%</strong></td>
</tr>
</tbody>
</table>

Projects completed and occupied in 2012 included a total of $4,779,515 in HOME funds for affordable housing for 520 low-income households. Assistance included $2,303,065 in downpayment assistance to 247 first-time homebuyers; $1,619,680 in rehabilitation assistance to 68 low-income homeowners; and $856,770 for the rehabilitation of 217 units of rental housing of which 205 were occupied as of September 30, 2011. Minnesota Housing funded rehabilitation under the HOME Rental Rehabilitation Program and the Rehabilitation Loan Program for homeowners from previous years’ allocations.

Data available from IDIS Housing Performance Report PR85 indicates that all HOME-funded activity in 2012 fulfilled the objective of providing decent, affordable housing.

According to HUD’s Resident Characteristics Report as of October 31, 2012, housing authorities in Minnesota had approximately 30,000 Section 8 certificates and vouchers available. In addition, the state legislature’s biennial appropriation to Minnesota Housing includes funding for tenant-based rent assistance. While these resources do not meet existing need, the state has chosen not use HOME funds for tenant-based rent assistance given the more limited resources available for project-based assistance.

The Affordable Housing Plan for 2012, approved by Minnesota Housing’s Board in September of 2011, allocated federal HOME funds to different activities than the previous plan as part of an effort to contain administrative costs for the agency and local administrators. The allocation plan used HOME funds for projects with larger financing needs, thereby reducing the number of individual loans subject to HOME compliance requirements, and promoting the coupling of HOME funds with other programs that have similar compliance requirements. HOME funds also were used to provide downpayment assistance to first-time homebuyers. Single family
rehabilitation activities were funded with HOME dollars from previous plans, and with a carry forward of unobligated state appropriations.

Information from HUD’s SNAPSHOT of HOME Program Performance as of 9/30/12 shows achievement in using HOME funds and enables a comparison between Minnesota’s progress and the actions of other state participating jurisdictions. Minnesota has committed 97 percent of the total HOME allocation amount it has received and ranks 22 of 51 states on a composite of indicators that measure performance (a rank of one is the highest). Measures for which Minnesota ranking was better than the national average include: the percentage of funds committed and disbursed, the percentage of extremely low-income renters assisted, and the average cost per unit of both single and multifamily rehabilitation. The SNAPSHOT of HOME Program Performance as of 9/30/12 is available at: http://www.hud.gov/offices/cpd/affordablehousing/programs/home/snapshot/index.cfm?st=mn

Several projects that received allocations of HOME Rental Rehabilitation funds before 2011 and were located in communities that did not receive entitlements directly from HUD were closed in 2012. HOME-funded rehabilitation loans to homeowners have been available statewide, as has HOME downpayment assistance to first-time homebuyers.

The new HOME Affordable Rental Preservation Program is available statewide; only recently implemented, there is no completed activity to report under HOME Affordable Rental Preservation in 2012.

The location of properties purchased or rehabilitated in any given year affects the state’s opportunity to serve households of color or Hispanic ethnicity.

In FFY 2012, 26.2 percent of assisted households assisted under HOME programs were households of color or Hispanic ethnicity — 15.8 percent of households were of a race other than White and 11 percent were of Hispanic or Latino ethnicity.

According to information from the Census Bureau’s 2011 American Community Survey, an estimated 12.1 percent of the households in Minnesota were headed by a person of a race other than White or a person of Hispanic or Latino ethnicity.
Annual Performance Report

HOME Program

U.S. Department of Housing and Urban Development
Office of Community Planning and Development

Public reporting burden for this collection of information is estimated to average 2.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

This form is intended to collect numeric data to be aggregated nationally as a complement to data collected through the Cash and Management Information (CMI) System. Participants should enter the reporting period in the first block. The reporting period is October 1 to September 30. Instructions are included for each section if further explanation is needed.

Submit this form on or before December 31.
Send one copy to the appropriate HUD Field Office and one copy to:
HOME Program, Room 7178, 451 7th Street, S.W., Washington, D.C. 20410

This report is for period (mm/dd/yyyy) Date Submitted (mm/dd/yyyy)
Starting 10/01/2011 Ending 09/30/2012 12/30/2012

Part I Participant Identification

1. Participant Number
   M-12-50-27-0100

2. Participant Name
   State of Minnesota

3. Name of Person completing this report

4. Phone Number (Include Area Code)

5. Address
   400 Sibley Street, Suite 300

6. City
   Saint Paul

7. State
   MN

8. Zip Code
   55101

Part II Program Income

Enter the following program income amounts for the reporting period: in block 1, enter the balance on hand at the beginning; in block 2, enter the amount generated; in block 3, enter the amount expended; and in block 4, enter the amount for Tenant-Based rental Assistance.

1. Balance on hand at Beginning of Reporting Period $19,809.13
2. Amount received during Reporting Period $150,944.29
3. Total amount expended during Reporting Period $153,416.11
4. Amount expended for Tenant-Based Rental Assistance $17,337.31

Part III Minority Business Enterprises (MBE) and Women Business Enterprises (WBE)

In the table below, indicate the number and dollar value of contracts for HOME projects completed during the reporting period.

<table>
<thead>
<tr>
<th>A. Contracts</th>
<th>Minority Business Enterprises (MBE)</th>
<th>f. White Non-Hispanic</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Number</td>
<td>a. Total 0</td>
<td>e. Hispanic 1</td>
</tr>
<tr>
<td></td>
<td>b. Asian or Pacific Islander 0</td>
<td>6</td>
</tr>
<tr>
<td></td>
<td>c. Black Non-Hispanic 0</td>
<td></td>
</tr>
<tr>
<td></td>
<td>d. Hispanic 0</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>B. Sub-Contracts</th>
<th>Dollar Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Number</td>
<td>$67,815</td>
</tr>
<tr>
<td>2. Dollar Amount</td>
<td>$67,815</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>C. Contracts</th>
<th>Minority Business Enterprises (WBE)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Number</td>
<td>a. Total 0</td>
</tr>
<tr>
<td></td>
<td>b. Women Business Enterprises (WBE)</td>
</tr>
<tr>
<td></td>
<td>c. Male 0</td>
</tr>
<tr>
<td></td>
<td>d. Hispanic 0</td>
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<tr>
<td></td>
<td>e. White Non-Hispanic 7</td>
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</table>

<table>
<thead>
<tr>
<th>D. Sub-Contracts</th>
<th>Dollar Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Number</td>
<td>0</td>
</tr>
<tr>
<td>2. Dollar Amount</td>
<td>0</td>
</tr>
</tbody>
</table>

HOME Program Annual Performance Report
### Part IV Minority Owners of Rental Property

In the table below, indicate the number of HOME assisted rental property owners and the total dollar amount of HOME funds in these rental properties assisted during the reporting period.

<table>
<thead>
<tr>
<th>a. Total</th>
<th>b. Alaska Native or American Indian</th>
<th>c. Asian or Pacific Islander</th>
<th>d. Black Non-Hispanic</th>
<th>e. Hispanic</th>
<th>f. White Non-Hispanic</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Number</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>2. Dollar Amount</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

### Part V Relocation and Real Property Acquisition

Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition. The data provided should reflect only displacements and acquisitions occurring during the reporting period.

<table>
<thead>
<tr>
<th>a. Number</th>
<th>b. Cost</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Parcels Acquired</td>
<td>0</td>
</tr>
<tr>
<td>2. Businesses Displaced</td>
<td>0</td>
</tr>
<tr>
<td>3. Nonprofit Organizations Displaced</td>
<td>0</td>
</tr>
<tr>
<td>4. Households Temporarily Relocated, not Displaced</td>
<td>0</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>a. Total</th>
<th>b. Alaska Native or American Indian</th>
<th>c. Asian or Pacific Islander</th>
<th>d. Black Non-Hispanic</th>
<th>e. Hispanic</th>
<th>f. White Non-Hispanic</th>
</tr>
</thead>
<tbody>
<tr>
<td>5. Households Displaced - Number</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>6. Households Displaced - Cost</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>---------</td>
<td>--------------</td>
<td>--------------</td>
<td>--------------</td>
<td>--------------</td>
<td>--------------</td>
</tr>
</tbody>
</table>

**Part I: Match Contributions**

1. Excess Match contributed to next Federal Fiscal Year (see Part II, line 4).
2. Match available for current Federal Fiscal Year (line 1 + line 2).
3. Match contributed during current Federal Fiscal Year (see Part II, line 3).
4. Match eligibility for current Federal Fiscal Year.
5. Excess Match carried over to next Federal Fiscal Year (line 2 minus line 4).

**Part II: Fiscal Year Summary**

<table>
<thead>
<tr>
<th>Name</th>
<th>Email</th>
<th>Phone</th>
<th>Fax</th>
<th>Address Line 1</th>
<th>Address Line 2</th>
<th>City</th>
<th>State</th>
<th>Zip Code</th>
<th>6. CIP Code</th>
<th>7. Score Code</th>
<th>8. Score</th>
<th>9. Score</th>
</tr>
</thead>
</table>
**HOME MBE and WBE Report**

Reporting on HUD form 40107 is for HOME entry cost assistance, only. The state itself does not enter into any multifamily rehabilitation contracts; therefore, information is not reported on them.

There were no minority- or women-owned HOME-funded rental developments among the seven funded in 2012. All HOME-funded rental rehabilitation completed in 2012 was in Greater Minnesota.

**Assessments**

Onsite inspections of rental properties and inspection results are located in the HOME Compliance Status Report in this CAPER.

Under HOME rental rehabilitation programs, Minnesota Housing provides clear directions to owners about fair housing and equal opportunity requirements and implementation of those requirements. It outlines the purpose of the affirmative fair housing marketing plan requirements and provides guidance related to implementation, record-keeping, and monitoring, and specifically requires an Affirmative Fair Housing Marketing Plan (HOME Form 11) for projects with five units or more.

Under HOME HELP downpayment assistance to first-time buyers, Minnesota Housing contracts with local inspectors as described in Section I. Five percent of the current inspector contracts are with minority-owned business; the majority of those contracts are with nonprofit organizations.

**V. HOPWA Program Narrative**

Since 1999, Minnesota Housing has received an annual allocation of HOPWA funds from HUD to provide housing assistance and support services to people outside the 13-county Twin Cities metro area (which is served through a grant to the City of Minneapolis). In 2012, Minnesota Housing received a HOPWA allocation of $142,672 which has been committed to continue funding the Minnesota AIDS Project (MAP). MAP is an agency that has established statewide networks to deliver assistance in areas of need throughout Greater Minnesota. MAP’s Greater Minnesota emergency housing assistance program has worked closely with HIV service providers in Greater Minnesota, including the Mayo Clinic’s HIV Clinic and Social Services, Minnetonka Health Project, the Rural AIDS Action Network, and MAP’s own case management program in Duluth.

Minnesota Housing and the Department of Human Services collaborate to undertake outreach efforts that will ensure the needs of people with HIV/AIDS living in Greater Minnesota are met. This collaboration allows for greater coordination in the implementation of HIV/AIDS related projects.
In addition to emergency housing assistance, some of the activities funded with HOPWA grants in the past include a comprehensive needs assessment and the development of a five-year plan for meeting the housing needs of people living with HIV. To respond to the difficulty isolated Minnesotans experience in locating and accessing services, Minnesota HOPWA funds also have been used to fund an information and housing intervention network to connect participants to needed services that will help individuals maintain their housing or obtain housing.

Presently HOPWA funds are used to assist people living with HIV in Greater Minnesota to prevent homelessness through the provision of short-term emergency housing (rental and mortgage assistance) and utility payments. In FFY 2012, 58 percent of assisted households had incomes below 30 percent of area median income and 91 percent had incomes below 50 percent of area median income. HOPWA funds were used to assist households in 42 of the 76 counties in Greater Minnesota.

Case managers or service providers refer the majority of applicants for emergency assistance. As part of the application process, clients are asked to work with their case managers to strategize how they will be able to maintain their housing. Of the 153 households assisted with HOPWA, 102 received short-term assistance the previous year, and 73 the year before. This may include up to two months of rent or mortgage assistance, and/or utility assistance, particularly as home heating costs during the winter in Minnesota have risen and can put a low-income household at risk of experiencing homelessness. Funding through HOPWA plays a significant role in helping persons living with HIV/AIDS and their families living in Greater Minnesota maintain safe and affordable housing. When persons living with HIV/AIDS have safe and affordable housing they improve their access to health care and other support services.

Following priorities set by the Minnesota HIV Housing Coalition, which acts as an advisory group to make recommendations for HOPWA funding, renewals for ongoing programs receive funding priority. There is a separate renewal process for ongoing HOPWA programs. If funds appropriated exceed the amount necessary to continue those programs at comparable levels, or if priorities change to address changing needs, those funds will be made available in the Minnesota Housing Multifamily Consolidated RFP process.

The principles that guide the work of the Minnesota HIV Housing Coalition are to:
- Improve access to housing for people living with HIV/AIDS,
- Expand the range of housing options for people living with HIV/AIDS,
- Continue Quality Improvement – provide housing and services based on promising best practices, and
- Provide advocacy and education.

Minnesota Housing will continue to administer HOPWA funds for Greater Minnesota in cooperation with the Minnesota HIV Housing Coalition. Due to limited funds and high demand, it is expected that subsequent funding will go toward continuing the emergency housing assistance provided by the Minnesota AIDS Project in Greater Minnesota.
More detailed information on the availability or use of HOPWA funds is available by contacting Minnesota Housing or visiting this website: [www.mnhousing.gov/initiatives/housing-assistance/rental/MHFA_004599.aspx](http://www.mnhousing.gov/initiatives/housing-assistance/rental/MHFA_004599.aspx)

**General Project Sponsor Information (for each project sponsor):**

**1-C. Program Year 5 CAPER Specific HOPWA Objectives**

<table>
<thead>
<tr>
<th>Barriers</th>
<th>Strategies</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. There has been an increase in the number of people living with HIV in Greater Minnesota, and the need for financial assistance continues to grow. This population is struggling with limited financial resources, increased cost of living expenses, and a shortage of permanent affordable housing opportunities.</td>
<td>1. Working with other state agencies to make other funds available or leverage funds. The emergency housing assistance HOPWA program uses a lottery system each month and limits assistance to two months per household up to the monthly allotment of available funds, in order to ensure that the funds are available throughout the year. Case Managers assist clients in developing a housing plan to maintain housing stability.</td>
</tr>
<tr>
<td>2. Reduced state Human Services funding has resulted in fewer dollars for services, and caused the elimination of staff positions in the non-profit organizations delivering services to persons living with HIV/AIDS, and added many new responsibilities to the remaining staff.</td>
<td>2. Worked with other state agencies and nonprofits to survey the needs of persons with HIV/AIDS in rural Minnesota. Information from the survey was used to target funding and activities. Work with other state agencies to increase service funding that will help households access and maintain affordable housing.</td>
</tr>
<tr>
<td>3. Rural Minnesota service providers are often unaware of the persons in their community who are living with HIV/AIDS, and may be unaware of the needs of these persons, or may have prejudices that affect the availability of housing.</td>
<td>3. Work with other agencies, organizations, housing and service providers to provide information and training, which is used to promote education and increase housing opportunities.</td>
</tr>
</tbody>
</table>
## PART 2: Accomplishments Data - CAPER Chart 1 (planned goal) and Chart 2 (actual)

### HOPWA Performance
Charts 1 (planned goal) and 2 (actual)

<table>
<thead>
<tr>
<th>Outputs Households</th>
<th>Funding</th>
</tr>
</thead>
<tbody>
<tr>
<td>HOPWA Assistance</td>
<td>Non-HOPWA</td>
</tr>
</tbody>
</table>

| 1. Tenant-based Rental Assistance |          |
| 2. Units in facilities supported with operating costs: Number of households supported |
| 3. Units in facilities developed with capital funds and placed in service during the program year: Number of households supported |
| 4. Short-term Rent, Mortgage and Utility payments | 150 | 153 | $126,754 | $126,808 | $40,560 |
| Housing Development (Construction and Stewardship of facility based housing) | |
| 5. Units in facilities being developed with capital funding but not yet opened (show units of housing planned) | |
| 6. Stewardship (developed with HOPWA but no current operation or other costs) Units of housing subject to 3- or 10-year use agreements | |
| 7. Adjustment to eliminate duplication (i.e., moving between types of housing) | |
| Total unduplicated number of households/units of housing assisted | |
| Supportive Services | |
| 8. i) Supportive Services in conjunction with HOPWA housing activities¹ | |
| ii) Supportive Services NOT in conjunction with HOPWA housing activities² | |
| 9. Adjustment to eliminate duplication | |
| Total Supportive Services | |
| Housing Placement Assistance³ | |
| 10. Housing Information Services | |
| 11. Permanent Housing Placement Services | |
| Total Housing Placement Assistance | |
| Housing Development, Administration, and Management Services | |
| 12. Resource Identification to establish, coordinate and develop housing assistance resources | |
| 13. Grantee Administration (maximum 3% of total) (i.e., costs for general management, oversight, coordination, evaluation, and reporting) | $4,194 | $4,194 |
| 14. Project Sponsor Administration (maximum 7% of total) (i.e., costs for general management, oversight, coordination, evaluation, and reporting) | $8,873 | $8,877 |
| Total costs for program year | $139,821 | $139,879 |

1. Supportive Services in conjunction with HOPWA Housing Assistance: if money is spent on case management and employment training, outcomes must be reported in Access to Care and Support (See Chart 4-a).
2. Supportive Services NOT in conjunction with HOPWA Housing Assistance: if money is spent on case management and employment training, outcomes must be reported in Access to Care and Support (See Chart 4-c).
3. Housing Placement Activities: if money is spent on housing placement activities in conjunction with HOPWA Housing Assistance, outcomes must be reported in Access to Care and Support (See Chart 4-a); if not in conjunction with HOPWA Housing Assistance, outcomes must be reported in Access to Care and Support (See Chart 4-c).
### 3. Instructions on HOPWA CAPER Chart 3 on Measuring Housing Stability Outcomes:

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Tenant-based Rental Assistance</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Tenant-based Rental Assistance</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Facilily-based Housing Assistance</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Facility-based Housing Assistance</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Short-term Housing Assistance</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Short-term Rent, Mortgage, and Utility Assistance</strong></td>
<td>153</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Tenant-based Rental Assistance**

<table>
<thead>
<tr>
<th>Component and Destination</th>
<th>1 (Emergency Shelter)</th>
<th>2 (Temporary Housing)</th>
<th>3 (Private Housing)</th>
<th>4 (Other HOPWA)</th>
<th>5 (Other Subsidy)</th>
<th>6 (Institution)</th>
<th>7 (Jail/Prison)</th>
<th>8 (Disconnected)</th>
<th>9 (Death)</th>
</tr>
</thead>
<tbody>
<tr>
<td>总数量</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Facility-based Housing Assistance**

<table>
<thead>
<tr>
<th>Component and Destination</th>
<th>1 (Emergency Shelter)</th>
<th>2 (Temporary Housing)</th>
<th>3 (Private Housing)</th>
<th>4 (Other HOPWA)</th>
<th>5 (Other Subsidy)</th>
<th>6 (Institution)</th>
<th>7 (Jail/Prison)</th>
<th>8 (Disconnected)</th>
<th>9 (Death)</th>
</tr>
</thead>
<tbody>
<tr>
<td>总数量</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Short-term Housing Assistance**

<table>
<thead>
<tr>
<th>Component and Destination</th>
<th>1 (Emergency Shelter)</th>
<th>2 (Temporary Housing)</th>
<th>3 (Private Housing)</th>
<th>4 (Other HOPWA)</th>
<th>5 (Other Subsidy)</th>
<th>6 (Institution)</th>
<th>7 (Jail/Prison)</th>
<th>8 (Disconnected)</th>
<th>9 (Death)</th>
</tr>
</thead>
<tbody>
<tr>
<td>总数量</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Short-term Rent, Mortgage, and Utility Assistance**

<table>
<thead>
<tr>
<th>Component and Destination</th>
<th>1 (Emergency Shelter)</th>
<th>2 (Temporary Housing)</th>
<th>3 (Private Housing)</th>
<th>4 (Other HOPWA)</th>
<th>5 (Other Subsidy)</th>
<th>6 (Institution)</th>
<th>7 (Jail/Prison)</th>
<th>8 (Disconnected)</th>
<th>9 (Death)</th>
</tr>
</thead>
<tbody>
<tr>
<td>总数量</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Tenant-based Rental Assistance**

<table>
<thead>
<tr>
<th>Component and Destination</th>
<th>1 (Emergency Shelter)</th>
<th>2 (Temporary Housing)</th>
<th>3 (Private Housing)</th>
<th>4 (Other HOPWA)</th>
<th>5 (Other Subsidy)</th>
<th>6 (Institution)</th>
<th>7 (Jail/Prison)</th>
<th>8 (Disconnected)</th>
<th>9 (Death)</th>
</tr>
</thead>
<tbody>
<tr>
<td>总数量</td>
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<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Facility-based Housing Assistance**

<table>
<thead>
<tr>
<th>Component and Destination</th>
<th>1 (Emergency Shelter)</th>
<th>2 (Temporary Housing)</th>
<th>3 (Private Housing)</th>
<th>4 (Other HOPWA)</th>
<th>5 (Other Subsidy)</th>
<th>6 (Institution)</th>
<th>7 (Jail/Prison)</th>
<th>8 (Disconnected)</th>
<th>9 (Death)</th>
</tr>
</thead>
<tbody>
<tr>
<td>总数量</td>
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<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Short-term Housing Assistance**

<table>
<thead>
<tr>
<th>Component and Destination</th>
<th>1 (Emergency Shelter)</th>
<th>2 (Temporary Housing)</th>
<th>3 (Private Housing)</th>
<th>4 (Other HOPWA)</th>
<th>5 (Other Subsidy)</th>
<th>6 (Institution)</th>
<th>7 (Jail/Prison)</th>
<th>8 (Disconnected)</th>
<th>9 (Death)</th>
</tr>
</thead>
<tbody>
<tr>
<td>总数量</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Short-term Rent, Mortgage, and Utility Assistance**

<table>
<thead>
<tr>
<th>Component and Destination</th>
<th>1 (Emergency Shelter)</th>
<th>2 (Temporary Housing)</th>
<th>3 (Private Housing)</th>
<th>4 (Other HOPWA)</th>
<th>5 (Other Subsidy)</th>
<th>6 (Institution)</th>
<th>7 (Jail/Prison)</th>
<th>8 (Disconnected)</th>
<th>9 (Death)</th>
</tr>
</thead>
<tbody>
<tr>
<td>总数量</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
### 4. HOPWA Outcomes on Access to Care and Support

<table>
<thead>
<tr>
<th>Categories of Services Accessed</th>
<th>Households Receiving Housing Assistance within the Operating Year</th>
<th>Outcome Indicator</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Has a housing plan for maintaining or establishing stable on-going housing.</td>
<td></td>
<td>Support for Stable Housing</td>
</tr>
<tr>
<td>2. Has contact with case manager/benefits counselor consistent with the schedule specified in client’s individual service plan.</td>
<td></td>
<td>Access to Support</td>
</tr>
<tr>
<td>3. Had contact with a primary health care provider consistent with the schedule specified in client’s individual service plan,</td>
<td></td>
<td>Access to Health Care</td>
</tr>
<tr>
<td>4. Has accessed and can maintain medical insurance/assistance.</td>
<td></td>
<td>Access to Health Care</td>
</tr>
<tr>
<td>5. Successfully accessed or maintained qualification for sources of income.</td>
<td></td>
<td>Sources of Income</td>
</tr>
</tbody>
</table>

#### A. Monthly Household Income at Entry or Residents continuing from prior Year End

<table>
<thead>
<tr>
<th>Number of Households</th>
<th>Number of Households</th>
</tr>
</thead>
<tbody>
<tr>
<td>i. No income</td>
<td>i. No income</td>
</tr>
<tr>
<td>ii. $1-150</td>
<td>ii. $1-150</td>
</tr>
<tr>
<td>iii. $151 - $250</td>
<td>iii. $151 - $250</td>
</tr>
<tr>
<td>iv. $251- $500</td>
<td>iv. $251- $500</td>
</tr>
<tr>
<td>v. $501 - $1,000</td>
<td>v. $501 - $1,000</td>
</tr>
<tr>
<td>vi. $1001- $1500</td>
<td>vi. $1001- $1500</td>
</tr>
<tr>
<td>viii. $2001 +</td>
<td>viii. $2001 +</td>
</tr>
</tbody>
</table>

#### B. Monthly Household Income at Exit/End of Year

<table>
<thead>
<tr>
<th>Number of Households</th>
<th>Number of Households</th>
</tr>
</thead>
<tbody>
<tr>
<td>i. No income</td>
<td>i. No income</td>
</tr>
<tr>
<td>ii. $1-150</td>
<td>ii. $1-150</td>
</tr>
<tr>
<td>iii. $151 - $250</td>
<td>iii. $151 - $250</td>
</tr>
<tr>
<td>iv. $251- $500</td>
<td>iv. $251- $500</td>
</tr>
<tr>
<td>v. $501 - $1,000</td>
<td>v. $501 - $1,000</td>
</tr>
<tr>
<td>vi. $1001- $1500</td>
<td>vi. $1001- $1500</td>
</tr>
<tr>
<td>viii. $2001 +</td>
<td>viii. $2001 +</td>
</tr>
</tbody>
</table>
VI. ESG Program Narrative

Every year in Minnesota, persons without shelter die of exposure to the elements. Sheltering persons with ESG funds provides a safe place to stay and shelter enhances access to services such as transitional housing, permanent housing, and other mainstream resources. For state Consolidated Plan Program Year 2012, the state assisted 13,989 homeless persons with ESG funding.

The state received $1,178,236 in FFY 2012 Emergency Solutions Grant Program funding to support sub-recipients’ ongoing efforts to provide shelter to homeless persons, and an additional $983,046 in FFY 2012 ESG program funding for additional rapid re-housing and prevention (re-housing) assistance to homeless and at-risk households. In addition, DHS received $111,166 for state administrative costs.

ESG funds were used to provide supportive services to persons through the emergency shelter where they were staying. Supportive services included case management, transportation, mental health care, substance abuse treatment, childcare, and legal advice and assistance. As noted earlier, ESG funds for rapid re-housing and prevention (re-housing) were not received in time to provide these services to persons during this CAPER reporting period.

The State of Minnesota Interagency Task Force on Homelessness, in consultation with the Minnesota Housing Partnership, has provided technical assistance to assist in the establishment of Continuum of Care Committees across the state. Currently, 11 Continuum of Care (CoC) regions exist in Minnesota covering the entire state. DHS has offered each CoC committee the opportunity to review and provide recommendations on each ESG application submitted from their region, as well as other DHS-administered homeless programs. This ensures that ESG funding is used to address locally determined priorities for shelter and transitional housing.

The state does not have the resources to formally evaluate the outcomes of ESG-funded shelter and supportive services, but it is generally agreed upon by service providers, Continuum of Care committees and the state's Interagency Council on Homelessness that ESG not only provides homeless persons with a safe place to stay, but also serves as a point from which people may be referred to other needed services and housing. Outcomes for ESG-funded prevention (re-housing) and rapid re-housing will be evaluated using housing stability data from HMIS upon completion of the first program year.
ATTACHMENTS
The color-coded areas are the seven current Minnesota Initiative Fund Regions. Old Economic Development regions are numbered 1 – 11.
### Minnesota Counties by Region

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<th>Northwest</th>
<th>West Central</th>
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**Guide to Acronyms**

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11/8/2012
## HOME Compliance Status

### 10/1/2011 – 9/30/2012

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11/8/2012
ESG Supplement to the CAPER in e-snaps
For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete
   Basic Grant Information
   Recipient Name: MINNESOTA
   Organizational DUNS Number: 803894203
   EIN/TIN Number: 411599130
   Identify the Field Office: MINNEAPOLIS
   Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance: Minneapolis/Hennepin County CoC

   ESG Contact Name
   Prefix
   First Name
   Middle Name
   Last Name
   Suffix
   Title

   ESG Contact Address
   Street Address 1
   Street Address 2
   City
   State
   ZIP Code: 55164-
   Phone Number
   Extension
   Fax Number
   Email Address

   ESG Secondary Contact
   Prefix
   First Name
   Last Name
   Suffix
   Title
   Phone Number
   Extension
   Email Address

2. Reporting Period—All Recipients Complete
   Program Year Start Date: 10/01/2011
   Program Year End Date: 09/30/2012
3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name: Anoka County Community Action Program
City: Blaine
State: MN
Zip Code: 55434,
DUNS Number: 927467340
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 20000

Subrecipient or Contractor Name: Arrowhead Economic Opportunity Agency
City: Virginia
State: MN
Zip Code: 55792, 2797
DUNS Number: 082523713
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 55000
Subrecipient or Contractor Name: Avenues for Homeless Youth  
City: Minneapolis  
State: MN  
Zip Code: 55411,  
DUNS Number: 196777184  
Is subrecipient a VAWA-DV provider: N  
Subrecipient Organization Type: Other Non-Profit Organization  
ESG Subgrant or Contract Award Amount: 39250

Subrecipient or Contractor Name: Care and Share Center  
City: Crookston  
State: MN  
Zip Code: 56716,  
DUNS Number: 795679794  
Is subrecipient a VAWA-DV provider: N  
Subrecipient Organization Type: Faith-Based Organization  
ESG Subgrant or Contract Award Amount: 69841

Subrecipient or Contractor Name: Catholic Charities of the Archdiocese of St. Paul and Minneapolis - Hope Street Shelter  
City: Minneapolis  
State: MN  
Zip Code: 55403,  
DUNS Number: 108504168  
Is subrecipient a VAWA-DV provider: N  
Subrecipient Organization Type: Faith-Based Organization  
ESG Subgrant or Contract Award Amount: 39000

Subrecipient or Contractor Name: Catholic Charities of the Archdiocese of St. Paul and Minneapolis - Dorothy Day-Higher Ground Shelter  
City: Minneapolis  
State: MN  
Zip Code: 55403,  
DUNS Number: 108504168  
Is subrecipient a VAWA-DV provider: N  
Subrecipient Organization Type: Faith-Based Organization  
ESG Subgrant or Contract Award Amount: 39325

Subrecipient or Contractor Name: Churches United for the Homeless  
City: Moorhead  
State: MN  
Zip Code: 56560,  
DUNS Number: 364422857  
Is subrecipient a VAWA-DV provider: N  
Subrecipient Organization Type: Faith-Based Organization  
ESG Subgrant or Contract Award Amount: 80000
Subrecipient or Contractor Name: Churches United in Ministry (CHUM)
City: Duluth
State: MN
Zip Code: 55802,
DUNS Number: 032768371
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Faith-Based Organization
ESG Subgrant or Contract Award Amount: 35000

Subrecipient or Contractor Name: Community Action Center of Northfield
City: Northfield
State: MN
Zip Code: 55057,
DUNS Number: 164131369
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 21250

Subrecipient or Contractor Name: Heartland Community Action Agency
City: Willmar
State: MN
Zip Code: 56201,
DUNS Number: 037473485
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 27500

Subrecipient or Contractor Name: Inter-County Community Council
City: Oklee
State: MN
Zip Code: 56742,
DUNS Number: 964802607
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 15000

Subrecipient or Contractor Name: Lakes and Pines Community Action Council
City: Mora
State: MN
Zip Code: 55051, 1227
DUNS Number: 074217639
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 72500
Subrecipient or Contractor Name: Lutheran Social Service of Minnesota - St. Paul TLPY
City: St. Paul
State: MN
Zip Code: 55104,
DUNS Number: 079728721
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Faith-Based Organization
ESG Subgrant or Contract Award Amount: 26250

Subrecipient or Contractor Name: Mahube-Otwa Community Action Partnership
City: Detroit Lakes
State: MN
Zip Code: 56502,
DUNS Number: 037473071
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 22500

Subrecipient or Contractor Name: New Pathways
City: Cambridge
State: MN
Zip Code: 55008,
DUNS Number: 044054570
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 40000

Subrecipient or Contractor Name: Northwest Community Action
City: Badger
State: MN
Zip Code: 56714,
DUNS Number: 021585567
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 15000

Subrecipient or Contractor Name: Our Saviour's Community Services
City: Minneapolis
State: MN
Zip Code: 55404,
DUNS Number: 601158137
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 27430
Subrecipient or Contractor Name: Ours to Serve House of Hospitality  
City: Bemidji  
State: MN  
Zip Code: 56601,  
DUNS Number: 962478096  
Is subrecipient a VAWA-DV provider: N  
Subrecipient Organization Type: Other Non-Profit Organization  
ESG Subgrant or Contract Award Amount: 60000

Subrecipient or Contractor Name: Partners for Affordable Housing  
City: Mankato  
State: MN  
Zip Code: 56001,  
DUNS Number: 015129260  
Is subrecipient a VAWA-DV provider: N  
Subrecipient Organization Type: Other Non-Profit Organization  
ESG Subgrant or Contract Award Amount: 56876

Subrecipient or Contractor Name: Prairie Five Community Action Council  
City: Montevideo  
State: MN  
Zip Code: 55265, 0159  
DUNS Number: 055557813  
Is subrecipient a VAWA-DV provider: N  
Subrecipient Organization Type: Other Non-Profit Organization  
ESG Subgrant or Contract Award Amount: 7500

Subrecipient or Contractor Name: Red Lake Homeless Shelter  
City: Red Lake  
State: MN  
Zip Code: 56671,  
DUNS Number: 623149254  
Is subrecipient a VAWA-DV provider: N  
Subrecipient Organization Type: Other Non-Profit Organization  
ESG Subgrant or Contract Award Amount: 106540

Subrecipient or Contractor Name: Rise Housing Services  
City: Spring Lake Park  
State: MN  
Zip Code: 55432, 1387  
DUNS Number: 071780407  
Is subrecipient a VAWA-DV provider: N  
Subrecipient Organization Type: Other Non-Profit Organization  
ESG Subgrant or Contract Award Amount: 30000
Subrecipient or Contractor Name: Ruth's House of Hope
City: Fairbault
State: MN
Zip Code: 55021,
DUNS Number: 149047396
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 20000

Subrecipient or Contractor Name: Saint Paul Area Council of Churches
City: St. Paul
State: MN
Zip Code: 55105, 1884
DUNS Number: 184274694
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Faith-Based Organization
ESG Subgrant or Contract Award Amount: 52000

Subrecipient or Contractor Name: Salvation Army - Brainerd
City: Brainerd
State: MN
Zip Code: 56401, 0385
DUNS Number: 081033115
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Faith-Based Organization
ESG Subgrant or Contract Award Amount: 5000

Subrecipient or Contractor Name: Salvation Army - Rochester
City: Rochester
State: MN
Zip Code: 55903, 0575
DUNS Number: 125485958
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Faith-Based Organization
ESG Subgrant or Contract Award Amount: 82100

Subrecipient or Contractor Name: Salvation Army St. Cloud
City: St. Cloud
State: MN
Zip Code: 56304,
DUNS Number: 002805922
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Faith-Based Organization
ESG Subgrant or Contract Award Amount: 50000
Subrecipient or Contractor Name: Scott-Carver-Dakota CAP Agency
City: Shakopee
State: MN
Zip Code: 55379,
DUNS Number: 085104610
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 91400

Subrecipient or Contractor Name: Semcac
City: Rushford
State: MN
Zip Code: 55971,
DUNS Number: 066860073
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 26000

Subrecipient or Contractor Name: Simpson Housing Services
City: Minneapolis
State: MN
Zip Code: 55404,
DUNS Number: 783848922
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 27430

Subrecipient or Contractor Name: St. Stephen's Human Services
City: Minneapolis
State: MN
Zip Code: 55404,
DUNS Number: 607313850
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 27430

Subrecipient or Contractor Name: The Refuge
City: Marshall
State: MN
Zip Code: 56258,
DUNS Number: 019189041
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 40000
Subrecipient or Contractor Name: Western Community Action
City: Marshall
State: MN
Zip Code: 56258, 1315
DUNS Number: 114274582
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 94460

Subrecipient or Contractor Name: Washington County Community Services Department
City: Stillwater
State: MN
Zip Code: 55082,
DUNS Number: 078692282
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Unit of Government
ESG Subgrant or Contract Award Amount: 15000

Subrecipient or Contractor Name: Bi-County Community Action Program
City: Bemidji
State: MN
Zip Code: 56619,
DUNS Number: 087682670
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 51400

Subrecipient or Contractor Name: Dakota County Social Services
City: West St. Paul
State: MN
Zip Code: 55118,
DUNS Number: 082376658
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Unit of Government
ESG Subgrant or Contract Award Amount: 30840

Subrecipient or Contractor Name: KOOTASCA Community Action
City: Grand Rapids
State: MN
Zip Code: 55744,
DUNS Number: 168513919
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 72215
Subrecipient or Contractor Name: Lakes and Prairies Community Action Partnerships
City: Moorhead
State: MN
Zip Code: 56560,
DUNS Number: 039375647
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 102800

Subrecipient or Contractor Name: Lutheran Social Service of Minnesota - Brainerd
City: Brainerd
State: MN
Zip Code: 56401,
DUNS Number: 079728721
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Faith-Based Organization
ESG Subgrant or Contract Award Amount: 66820

Subrecipient or Contractor Name: Face to Face Health and Counseling Services
City: St. Paul
State: MN
Zip Code: 55106,
DUNS Number: 030014575
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 51400

Subrecipient or Contractor Name: Amherst H. Wilder Foundation - Wilder Research
City: St. Paul
State: MN
Zip Code: 55104,
DUNS Number: 077624401
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 19547

Subrecipient or Contractor Name: East Metro Women's Council
City: St. Paul
State: MN
Zip Code: 55110,
DUNS Number: 797160843
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 80000
Subrecipient or Contractor Name: The Evergreen House
City: Bemidji
State: MN
Zip Code: 56619,
DUNS Number: 083941059
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 40000

Subrecipient or Contractor Name: Three Rivers Community Action
City: Zumbrota
State: MN
Zip Code: 55992,
DUNS Number: 797200748
Is subrecipient a VAWA-DV provider: N
Subrecipient Organization Type: Other Non-Profit Organization
ESG Subgrant or Contract Award Amount: 73000
CR-65 - Persons Assisted

4. Persons Served

4a. Complete for Homelessness Prevention Activities

<table>
<thead>
<tr>
<th>Number of Persons in Households</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Adults</td>
<td>0</td>
</tr>
<tr>
<td>Children</td>
<td>0</td>
</tr>
<tr>
<td>Don’t Know/Refused</td>
<td>0</td>
</tr>
<tr>
<td>Missing Information</td>
<td>0</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>0</strong></td>
</tr>
</tbody>
</table>

Table 1 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

<table>
<thead>
<tr>
<th>Number of Persons in Households</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Adults</td>
<td>0</td>
</tr>
<tr>
<td>Children</td>
<td>0</td>
</tr>
<tr>
<td>Don’t Know/Refused</td>
<td>0</td>
</tr>
<tr>
<td>Missing Information</td>
<td>0</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>0</strong></td>
</tr>
</tbody>
</table>

Table 2 – Household Information for Rapid Re-Housing Activities

4c. Complete for Shelter

<table>
<thead>
<tr>
<th>Number of Persons in Households</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Adults</td>
<td>12,051</td>
</tr>
<tr>
<td>Children</td>
<td>1,924</td>
</tr>
<tr>
<td>Don’t Know/Refused</td>
<td>14</td>
</tr>
<tr>
<td>Missing Information</td>
<td>0</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>13,989</strong></td>
</tr>
</tbody>
</table>

Table 3 – Shelter Information

4d. Totals for all Persons Served with ESG

<table>
<thead>
<tr>
<th>Number of Persons in Households</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Adults</td>
<td>12,051</td>
</tr>
<tr>
<td>Children</td>
<td>1,924</td>
</tr>
<tr>
<td>Don’t Know/Refused</td>
<td>14</td>
</tr>
<tr>
<td>Missing Information</td>
<td>0</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>13,989</strong></td>
</tr>
</tbody>
</table>

Table 4 – Household Information for Persons Served with ESG
5. Gender—Complete for All Activities

<table>
<thead>
<tr>
<th>Gender</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Male</td>
<td>10,434</td>
</tr>
<tr>
<td>Female</td>
<td>3,535</td>
</tr>
<tr>
<td>Transgendered</td>
<td>6</td>
</tr>
<tr>
<td>Unknown</td>
<td>14</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>13,989</strong></td>
</tr>
</tbody>
</table>

Table 5 – Gender Information

6. Age—Complete for All Activities

<table>
<thead>
<tr>
<th>Age Group</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Under 18</td>
<td>1,986</td>
</tr>
<tr>
<td>18-24</td>
<td>2,308</td>
</tr>
<tr>
<td>Over 24</td>
<td>9,693</td>
</tr>
<tr>
<td>Don’t Know/Refused</td>
<td>2</td>
</tr>
<tr>
<td>Missing Information</td>
<td>0</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>13,989</strong></td>
</tr>
</tbody>
</table>

Table 6 – Age Information

7. Special Populations Served—Complete for All Activities

<table>
<thead>
<tr>
<th>Subpopulation</th>
<th>Total Persons Served – Prevention</th>
<th>Total Persons Served – RRH</th>
<th>Total Persons Served in Emergency Shelters</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Veterans</td>
<td>578</td>
<td>0</td>
<td>0</td>
<td>578</td>
</tr>
<tr>
<td>Victims of Domestic Violence</td>
<td>1,094</td>
<td>0</td>
<td>0</td>
<td>1,094</td>
</tr>
<tr>
<td>Elderly</td>
<td>351</td>
<td>0</td>
<td>0</td>
<td>351</td>
</tr>
<tr>
<td>HIV/AIDS</td>
<td>45</td>
<td>0</td>
<td>0</td>
<td>45</td>
</tr>
<tr>
<td>Chronically Homeless</td>
<td>1,399</td>
<td>0</td>
<td>0</td>
<td>1,399</td>
</tr>
</tbody>
</table>

**Persons with Disabilities:**

<table>
<thead>
<tr>
<th>Subpopulation</th>
<th>Total Persons Served – Prevention</th>
<th>Total Persons Served – RRH</th>
<th>Total Persons Served in Emergency Shelters</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Severely Mentally Ill</td>
<td>1,664</td>
<td>0</td>
<td>0</td>
<td>1,664</td>
</tr>
<tr>
<td>Chronic Substance Abuse</td>
<td>1,463</td>
<td>0</td>
<td>0</td>
<td>1,463</td>
</tr>
<tr>
<td>Other Disability</td>
<td>1,026</td>
<td>0</td>
<td>0</td>
<td>1,026</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>4,153</td>
<td>0</td>
<td>0</td>
<td>4,153</td>
</tr>
</tbody>
</table>

Table 7 – Special Population Served
CR-70 – Assistance Provided

8. Shelter Utilization

| Number of New Units - Rehabbed | 0 |
| Number of New Units - Conversion | 0 |
| Total Number of bed-nights available | 319,010 |
| Total Number of bed-nights provided | 325,945 |

Capacity Utilization 102.17%

Table 8 – Shelter Capacity

CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

<table>
<thead>
<tr>
<th>Dollar Amount of Expenditures in Program Year</th>
<th>FY 2009</th>
<th>FY 2010</th>
<th>FY 2011</th>
</tr>
</thead>
<tbody>
<tr>
<td>Expenditures for Rental Assistance</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Expenditures for Housing Relocation and</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Stabilization Services - Financial Assistance</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Expenditures for Housing Relocation &amp; Services</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Stabilization Services - Services</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Expenditures for Homeless Prevention under</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Emergency Shelter Grants Program</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Subtotal Homelessness Prevention 0 0 0

Table 9 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

<table>
<thead>
<tr>
<th>Dollar Amount of Expenditures in Program Year</th>
<th>FY 2009</th>
<th>FY 2010</th>
<th>FY 2011</th>
</tr>
</thead>
<tbody>
<tr>
<td>Expenditures for Rental Assistance</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Expenditures for Housing Relocation and</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Stabilization Services - Financial Assistance</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Expenditures for Housing Relocation &amp; Services</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Stabilization Services - Services</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Expenditures for Homeless Prevention under</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Emergency Shelter Grants Program</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Subtotal Rapid Re-Housing 0 0 0

Table 10 – ESG Expenditures for Rapid Re-Housing
11c. ESG Expenditures for Emergency Shelter

<table>
<thead>
<tr>
<th></th>
<th>FY 2009</th>
<th>FY 2010</th>
<th>FY 2011</th>
</tr>
</thead>
<tbody>
<tr>
<td>Essential Services</td>
<td>489,523</td>
<td>484,616</td>
<td>0</td>
</tr>
<tr>
<td>Operations</td>
<td>688,754</td>
<td>631,562</td>
<td>0</td>
</tr>
<tr>
<td>Renovation</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Major Rehab</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Conversion</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td><strong>Subtotal</strong></td>
<td><strong>1,178,277</strong></td>
<td><strong>1,116,178</strong></td>
<td><strong>0</strong></td>
</tr>
</tbody>
</table>

Table 11 – ESG Expenditures for Emergency Shelter

11d. Other Grant Expenditures

<table>
<thead>
<tr>
<th></th>
<th>FY 2009</th>
<th>FY 2010</th>
<th>FY 2011</th>
</tr>
</thead>
<tbody>
<tr>
<td>HMIS</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Administration</td>
<td>61,971</td>
<td>99,306</td>
<td>0</td>
</tr>
<tr>
<td>Street Outreach</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

Table 12 Other Grant Expenditures

11e. Total ESG Grant Funds

<table>
<thead>
<tr>
<th>Total ESG Funds Expended</th>
<th>FY 2009</th>
<th>FY 2010</th>
<th>FY 2011</th>
</tr>
</thead>
<tbody>
<tr>
<td>2,455,732</td>
<td>1,240,248</td>
<td>1,215,484</td>
<td>0</td>
</tr>
</tbody>
</table>

Table 13 Total ESG Funds Expended

11f. Match Source

<table>
<thead>
<tr>
<th></th>
<th>FY 2009</th>
<th>FY 2010</th>
<th>FY 2011</th>
</tr>
</thead>
<tbody>
<tr>
<td>Other Non-ESG HUD Funds</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Other Federal Funds</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>State Government</td>
<td>1,240,248</td>
<td>1,215,484</td>
<td>0</td>
</tr>
<tr>
<td>Local Government</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Private Funds</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Other</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Fees</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Program Income</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td><strong>Total Match Amount</strong></td>
<td><strong>1,240,248</strong></td>
<td><strong>1,215,484</strong></td>
<td><strong>0</strong></td>
</tr>
</tbody>
</table>

Table 14 Other Funds Expended on Eligible ESG Activities

11g. Total

<table>
<thead>
<tr>
<th>Total Amount of Funds Expended on ESG Activities</th>
<th>FY 2009</th>
<th>FY 2010</th>
<th>FY 2011</th>
</tr>
</thead>
<tbody>
<tr>
<td>4,911,464</td>
<td>2,480,496</td>
<td>2,430,968</td>
<td>0</td>
</tr>
</tbody>
</table>

Table 15 - Total Amount of Funds Expended on ESG Activities