



**State of Minnesota  
Performance and Evaluation Report  
for Federal Fiscal Year 2014**

**Submitted to the U. S. Department of  
Housing and Urban Development  
Updated March 2015**

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## CR-05 - Goals and Outcomes

### Progress the jurisdiction has made in carrying out its strategic plan and its action plan.

#### 91.520(a)

As designed by the U.S. Department of Housing and Urban Development (HUD), this report primarily pertains to those programs funded through federal assistance allocated to Minnesota state agencies under Community Development Block Grants (CDBG), Emergency Solutions Grants (ESG), HOME, and Housing Opportunities for Persons with AIDS (HOPWA). Minnesota Housing submits this report to HUD on behalf of the Minnesota Department of Employment and Economic Development (DEED), the Minnesota Department of Human Services (DHS), and Minnesota Housing.

It is important to note that the state provides affordable housing and community development through a variety of assistance programs, many of which are funded through agency resources or state appropriations. In Federal Fiscal Year (FFY) 2014, Minnesota Housing alone assisted more than 31,000 households with rent assistance, down payment and first mortgage financing, the rehabilitation or construction of affordable rental housing, and other assistance (not including 31,000 units of Section 8 for which Minnesota Housing administers the housing assistance contract). The detail of these Minnesota Housing programs is found at: [Minnesota Housing Annual Report](#). Additional information about other housing and community development may be obtained through the Minnesota Department of Employment and Economic Development (DEED) and the Minnesota Department of Human Services (DHS).

The state has identified objectives and expected outcomes for federally funded housing and community development assistance through a process of public hearings and forums held annually throughout the state. Housing advocates, service providers, and other stakeholders are invited to participate in these events and to provide input on local needs, priorities, and problems (see Volume I, Section V and Volume II, Appendix D of the ***Consolidated Housing and Community Development Plan, FY 2012-2016***). The Annual Action Plan addresses how objectives are to be met each year.

Under most federal programs covered in this report, the state was close to meeting its annual projections. Job creation in relation to five-year goals was hampered by difficult economic conditions in the last several years.

The number of persons receiving overnight shelter was also slightly beneath annual projections for FFY2014 due to changes in the sub-recipients (with lower bed capacity) selected for funding in this program year. The number of persons receiving homelessness prevention assistance was significantly lower than projected at the time the goals were set in 2012. This is due to decreased funding from HUD for this activity as well as a greater number of persons needing rapid re-housing assistance (a goal which was greatly exceeded in the current program year).

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As of the end of 2014, the third year in its five-year plan (2012-2016), Minnesota has completed 60% of the five-year plan and achieved 75% of its five-year goals. It has met or exceeded goals in many activities, as shown in the next table. Note that with the advent of IDIS for the CAPER beginning in 2012, HUD changed activity reporting by goal, e.g., combined DEED and Minnesota Housing resources.

A variety of circumstances have contributed to the state's performance in working toward its five-year goals for providing housing and community development assistance, not the least of which was an economy and housing market that recovered slowly from the "downturn" of 2008/2009.

Minnesota Housing substantially met its five year and annual production goals for homeownership assistance, but interest in the program by lenders waned due to administrative requirements of HOME that are not present with other homeownership assistance programs. Minnesota Housing has replaced the HOME-funded homeownership assistance program with a program that uses more flexible funds, i.e., state appropriations and agency resources.

Implementation of the HOME Affordable Rental Preservation Program (HARP) began in 2012 and was more difficult and time consuming than expected. Initial projections for HOME-funded rental preservation efforts were unrealistic; however, funding commitments have continued to increase in 2014. The preservation of affordable rental housing is the area in which the state has been least able to meet its goals, due to a combination of economic conditions, the unexpected time required to implement the new HOME Affordable Rental Preservation Program, and five year goal estimates that were based on unrealistically low per-unit cost assumptions.

It is important to note that for budgeting and planning purposes that Minnesota Housing projects the number of units for which it expects to make funding commitments; projecting the completion of HOME-assisted units is less reliable because multifamily projects may take up to a few years to complete.

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Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)

Table 1

Priority Need	2012-2016		% of Five-Year Plan to Date	Year 1 (2012)		Year 2 (2013)		Year 3 (2014)		
	Five-Year Plan	Actual Activity		Action Plan	Actual Activity	Action Plan	Actual Activity	Action Plan	Actual Activity	% of 2014 Plan Achieved
<b>CDBG (SCDP)</b>										
Economic development jobs for low/mod people	800	392	49.0%	200	243	150	124	75	25	33.3%
Commercial rehabilitation (buildings)	300	341	113.7%	100	146	50	98	75	97	129.3%
Public facilities (low/mod income people)	3,100	7,240	233.5%	1,100	1,490	500	1,380	3,900	4,370	112.1%
Rehabilitation of existing rental units	275	340	123.6%	75	123	50	138	239	79	33.1%
Rehabilitation of existing owner units	1,700	1,320	77.6%	500	520	300	401	300	399	133.0%
Production of new rental housing units	48	16	33.3%	48	16	0	0	0	0	
<b>HOME</b>										
Rehabilitation of existing rental units	915	539	58.9%	515	205	400	180	519	154	29.7%
Homeownership assistance	1,450	870	60.0%	450	247	400	385	321	238	74.1%
Homeowner rehab	0	102		0	68	0	27	0	7	
<b>HOPWA</b>										
Short term rent/mortgage utility payments	750	470	62.7%	150	153	150	165	(see below)		
<b>ESG</b>										
Shelter Persons	60,790	40,860	62.7%	12,158	13,989	12,158	14,665	12,658	12,206	96.4%
Homeless Prevention (includes HOPWA)								240	179	74.6%
<b>Total</b>	<b>70,128</b>	<b>52,590</b>	<b>74.8%</b>	<b>15,296</b>	<b>17,200</b>	<b>14,158</b>	<b>17,563</b>	<b>18,327</b>	<b>17,754</b>	<b>96.9%</b>

**Assess how the jurisdiction's use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.**

Table 1 presents annual goals and activity for FFY 2014 as well as the first three years of activity under the current five-year consolidated plan (2012-2016), i.e., activity for FFY 2012 - 2014. Note that five-year goals are not yet available in IDIS therefore IDIS automatically "populated" the goals in IDIS (Table 2) from the 2014 Annual Action Plan, which is misleading, e.g., compares annual goals and cumulative activity. Note also that using IDIS to produce the CAPER report has made the analysis of performance more difficult, because the system has changed reporting on expected and actual outcomes.

Minnesota's objectives in the distribution of housing and community development resources are to create suitable living environments; provide decent, affordable housing; and create economic opportunities within the state. In working to achieve those objectives, the state has prioritized populations with the greatest need for assistance (see Table 2A in the ***2012-2016 Consolidated Plan for Housing and Community Development***).

Priorities include renters with incomes at or below 30 percent of area median income (AMI), homeowners with incomes of 51% to 80% of AMI, and non-homeless people with special needs. HOME-funded units, in particular, reflect these priorities—29.9% of renter households occupying units completed in FFY 2014 had incomes below 30% of AMI and 71.4% of homebuyer and homeowner households had incomes between 51% and 80% of AMI.

Using the federal resources to which this report pertains, the state assists the largest number of people through ESG, people experiencing homelessness. ESG assistance includes operating and supportive service costs for emergency shelter facilities and rental assistance and housing relocation and stabilization services for homeless persons and those at imminent risk of homelessness who need to be re-housed.

State resources with fewer restrictions were made available to assist with the creation of jobs for low to moderate income persons. CDBG funds set aside for those activities were not used and were reallocated to other CDBG activities. As noted in the Action Plan, creation of new rental units with CDBG funds is no longer a priority and funds will be used for other activities that are a priority.

**CR-10 - Racial and Ethnic Composition of Families Assisted**

Describe the families assisted (including the racial and ethnic status of families assisted).

91.520(a)

Race and Ethnicity	CDBG	HOME	ESG	HOPWA
White	1,298	229	5,127	95
Black or African American	16	124	5,627	47
Asian	13	42	165	4
American Indian or American Native	8	1	1,012	2
Native Hawaiian or Other Pacific Islander		1	125	0
More than one race	18	2		4
Hispanic		49	357	16
Not Hispanic		350	11,699	136
<b>Total</b>	<b>1,353</b>	<b>399</b>	<b>12,056</b>	<b>152</b>

Table 1 – Table of assistance to racial and ethnic populations by source of funds

**Narrative**

According to the Census Bureau's estimates in the *American Community Survey, 2013*, households of a race other than white comprise 10.8% of the households in Minnesota; households of Hispanic or Latino ethnicity comprise 2.9% of the households in Minnesota; and households of a race other than white *or* of Hispanic ethnicity comprise 12.6% of all households in Minnesota. In the Minneapolis/St. Paul MSA, households of a race other than white comprise 14.2% of the households in the MSA; households of Hispanic or Latino ethnicity comprise 3.4% of the households in the MSA; and households of a race other than white *or* of Hispanic ethnicity comprise 16.2% of the households in the MSA.

CDBG assisted 1,353 households in FFY 2014. Of that number 1,298 were white, 16 were Black/African American, 13 were Asian, eight were American Indian/Alaskan Native, and 18 were other multi-racial. Also of the total households, 4% were of a race other than white.

According to the IDIS Report *HOME Summary of Accomplishments for FFY 2014* (see Table 1), 42.6% of the 399 HOME-assisted households were non-white and 12.2% were Hispanic.

Note that because the IDIS template does not allow for reporting of households of more than one race, the numbers shown above are less than total assisted households reported elsewhere.

DEED, Minnesota Housing, and DHS have conducted an *Analysis of Impediments to Fair Housing Choice* within the state. A full disclosure of the impediments can be found in the *2012 Analysis of Impediments to Fair Housing Choice for Minnesota* available in the **2012-2016 Consolidated Plan for Housing and Community Development** at: [Analysis of Impediments to Fair Housing](#). Each year, the state documents agency actions taken to overcome those impediments (see CR 35 of this report).

**CR-15 - Resources and Investments 91.520(a)**

**Identify the resources made available**

Source of Funds	Expected Amount Available	Actual Amount Expended Program Year 2014
CDBG	\$17,158,792	\$16,924,473
HOME	\$6,374,701	\$7,360,559
HOPWA	\$147,579	\$147,579
ESG	\$1,908,855	\$1,763,949

**Table 2 – Resources Made Available**

**Narrative**

Funding HUD provided to Minnesota for FFY 2014 under housing and community development programs is shown above. Note that amount expended may include funds from previous allocations.

State agencies provide a large amount of assistance other than federal funds for affordable housing. For example, in FFY 2013 Minnesota Housing provided nearly \$782 million in housing assistance to more than 62,000 households. Of this Minnesota Housing assistance, approximately \$8 million was from HOME and \$0.1 million from HOPWA. The agency combines a large amount of funding from a variety of sources (including bond sale proceeds, housing tax credits, state appropriations, and its own resources) with federal HOME and HOPWA funds to make these investments. (These figures from the agency’s most recent annual report, shown for context, differ from expenditures shown in IDIS because Minnesota Housing reports on loans closed while IDIS includes funds in projects completed and occupied.)

The largest amount of assistance that the state provides with federal resources is through the Small Cities Development Program funded by CDBG, particularly for the rehabilitation of owner-occupied homes and the improvement of public facilities.

**Identify the geographic distribution and location of investments**

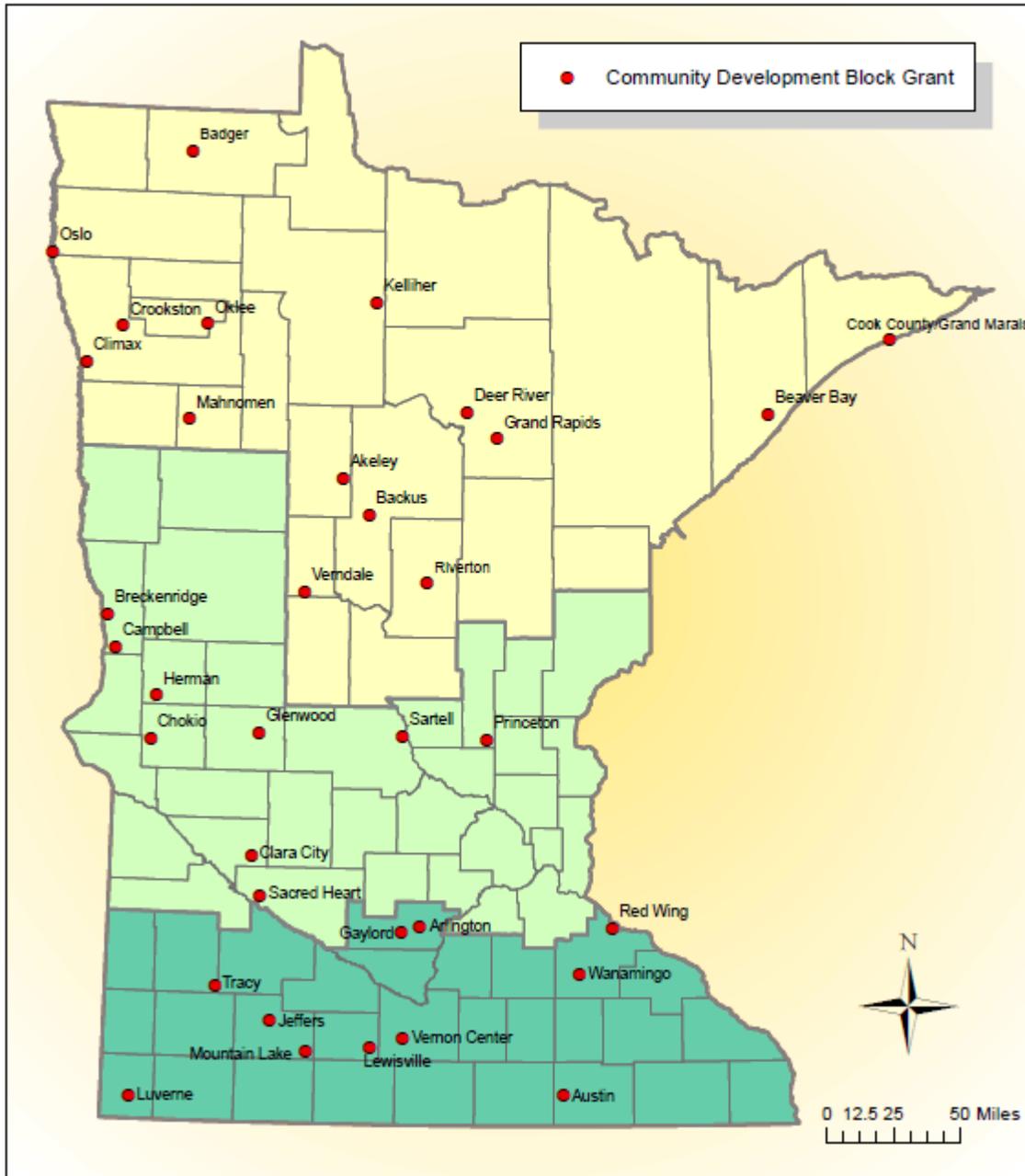
Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description
Balance of State*	85	62	Rapid Rehousing
CITYWIDE PUBLIC FACILITIES	20	21	
Economic Development	5	1	Job Creation
SLUM AND BLIGHT COMMERCIAL DISTRICT	20	17	
TARGET AREA HOUSING REHAB	55	59	

\*For ESG Rapid Rehousing, this refers to areas of the state that do not receive an ESG allocation directly from HUD, i.e., excludes Hennepin County, Minneapolis, Saint Paul, Dakota County, Saint Louis County, and Duluth.

**Table 3 – Identify the geographic distribution and location of investments**

# 2014 Awarded Projects

## *Small Cities Development Program*



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### Narrative

In an attempt to use HOME funds more effectively, Minnesota Housing implemented the Rental Rehabilitation Deferred Loan Program (RRDL) in 2012 to serve owners of smaller rental properties in need of moderate rehabilitation, who typically do not apply through the state's competitive Request for Proposals process. RRDL is funded with state and Minnesota Housing resources. At the same time, the agency implemented the current HOME Affordable Rental Preservation Program, which primarily has served larger rehabilitation and preservation projects located in the Twin Cities metro area (Anoka, Carver, Dakota, Hennepin, Ramsey, Scott, and Washington counties). In 2014, 81% of HOME funds committed to affordable rental housing in Minnesota were for projects in the seven-county Twin Cities metro area and 19% were for projects in the other counties that comprise Greater Minnesota (see attached map).

Approximately 87% of HOME down payment assistance loans were to borrowers purchasing property in the Twin Cities metro area, where Minnesota Housing's first mortgage lending activity was predominant.

### Leveraging

**Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.**

ESG requires a one-to-one matching of funds. For FFY 2014, DHS required its sub-recipients to provide the required matching funds due to changes in the ESG regulations, which made it more difficult to match these funds at the state level. The State and its ESG sub-recipients expended \$1,763,949 in non-ESG funds which were provided by federal, state, local and private sources as match for ESG-eligible activities.

DEED leverages its SCDP housing rehabilitation funds with Minnesota Housing, USDA Rural Development, lender, and property owner contributions. Each applicant jurisdiction is expected to provide as much local money as practicable, contingent upon the financial capability of the applicant. DEED and Rural Development coordinate funds for correcting or improving public infrastructure especially for low- and moderate-income communities. DEED staff estimates that SCDP projects historically have leveraged nonfederal funds in an amount at least equal to SCDP funds.

Under Minnesota Housing's new multifamily Affordable Rental Preservation Program funded with HOME, HOME provided approximately 9% of the total funds committed to projects in 2014; the remainder was provided by other public or private entities or property owners. Minnesota Housing also uses Housing Tax Credits for the development of affordable rental housing, primarily in conjunction with agency-financed first mortgages and/or other contributions such as deferred or gap funding. In 2014, Minnesota Housing financed \$27.1 million in first mortgages for the first-time homebuyers who received HOME-funded down payment assistance (a total of \$1.8 million in HOME funds).

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<b>Fiscal Year Summary – HOME Match</b>	
1. Excess match from prior Federal fiscal year	\$80,529,821
2. Match contributed during current Federal fiscal year	\$12,389,242
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	\$92,919,063
4. Match liability for current Federal fiscal year	\$2,352,079
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	\$90,566,984

**Table 4 – Fiscal Year Summary - HOME Match Report**

<b>Match Contribution for the Federal Fiscal Year</b>								
<b>Project No. or Other ID</b>	<b>Date of Contribution</b>	<b>Cash (non-Federal sources)</b>	<b>Foregone Taxes, Fees, Charges</b>	<b>Appraised Land/Real Property</b>	<b>Required Infrastructure</b>	<b>Site Preparation, Construction Materials, Donated labor</b>	<b>Bond Financing</b>	<b>Total Match</b>
1	09/30/2014	\$9,764,318	0	0	0	0	0	\$9,764,318
2	09/30/2014	\$2,624,924	0	0	0	0	0	\$2,624,924

**Table 5 – Match Contribution for the Federal Fiscal Year**

<b>Program Income – Enter the program amounts for the reporting period</b>				
<b>Balance on hand at beginning of reporting period</b>	<b>Amount received during reporting period</b>	<b>Total amount expended during reporting period</b>	<b>Amount expended for TBRA</b>	<b>Balance on hand at end of reporting period</b>
\$	\$	\$	\$	\$
644.77	936,118.72	878,880.32	0	57,883.17

**Table 6 – Program Income**

**HOME MBE/WBE report**

<b>Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period</b>						
	<b>Total</b>	<b>Minority Business Enterprises</b>				<b>White Non-Hispanic</b>
		<b>Alaskan Native or American Indian</b>	<b>Asian or Pacific Islander</b>	<b>Black Non-Hispanic</b>	<b>Hispanic</b>	
<b>Contracts</b>						
Dollar Amount	\$80,575	0	0	\$5,400	0	\$75,175
Number	12	0	0	1	0	11
<b>Sub-Contracts</b>						
Number	0	0	0	0	0	0

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Dollar Amount	0	0	0	0	0	0
	<b>Total</b>	<b>Women Business Enterprises</b>	<b>Male</b>			
<b>Contracts</b>						
Dollar Amount	\$80,575	0	\$80,575			
Number	12	0	12			
<b>Sub-Contracts</b>						
Number	0	0	0			
Dollar Amount	0	0	0			

**Table 7 – Minority Business and Women Business Enterprises**

**Minority Owners of Rental Property** – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted

Minnesota Housing HOME-assisted rental funding is to legal entities; there is nothing to report here.

	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number						
Dollar Amount						

**Table 8 – Minority Owners of Rental Property**

**Relocation and Real Property Acquisition** – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition

Parcels Acquired		3	\$20,425,000			
Businesses Displaced		0	0			
Nonprofit Organizations Displaced		0	0			
Households Temporarily Relocated, not Displaced		28	\$49,952			
Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	1	0	0	1	0	0

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Cost	0	0	0	\$5,626	0	0
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**Table 9 – Relocation and Real Property Acquisition**

**CR-20 - Affordable Housing 91.520(b)**

**Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.**

HUD does not consistently require jurisdictions participating in each of the various Community Planning and Development programs to collect or report the data necessary to determine housing affordability. The only units that receive federal assistance that can be assured of meeting the affordability standard are HOME units as noted in the Annual Action Plan.

The one-year goals in Table 10 relate to ESG, not HOME; however, the ESG program has no goal to provide affordable housing units as defined by Section 215. The goal of households receiving affordable housing units was erroneously pre-populated in the first table as an affordable housing goal for homeless persons. (Note that in FFY 2014 ESG served approximately 800 individuals in its Rapid Rehousing activities and 927 special needs individuals.)

	<b>One-Year Goal</b>	<b>Actual</b>
Number of Homeless households to be provided affordable housing units	400	
Number of Non-Homeless households to be provided affordable housing units	0	
Number of Special-Needs households to be provided affordable housing units	150	
<b>Total</b>	<b>550</b>	

**Table 10 – Number of Households**

	<b>One-Year Goal</b>	<b>Actual</b>
Number of households supported through Rental Assistance	0	
Number of households supported through The Production of New Units	0	
Number of households supported through Rehab of Existing Units	519	154
Number of households supported through Acquisition of Existing Units	321	238
<b>Total</b>	<b>840</b>	<b>392</b>

**Table 11 – Number of Households Supported**

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### **Discuss the difference between goals and outcomes and problems encountered in meeting these goals.**

The one-year goals set for HOME-funded programs are from the Minnesota Housing's ***Affordable Housing Plan (AHP)***, in which agency staff project the number of units expected to receive funding commitments during the year based on resources available and historic commitment trends. For homebuyer assistance this is not particularly problematic as funds are committed and projects completed within the same year. For the HOME Affordable Rental Preservation Program, multifamily rental projects may be completed a year or more following funding commitment thereby making AHP projections unavoidably different than and not comparable to completions.

Implementation of the HOME Affordable Rental Preservation Program has been slower than anticipated; however, funding commitments have increased in the last year. According to Minnesota Housing Accounting reports as of September 30, 2014, Minnesota Housing had commitments of \$14.5 million in HOME funds to rental projects. As of the end of the previous year, Minnesota Housing had commitments of \$9.8 million in HOME funds.

### **Discuss how these outcomes will impact future annual action plans.**

Outcomes for activities in FFY 2014 do not seem to suggest a need to change activities in the next action plan. The HOME Affordable Rental Preservation Program has not generated the unit activity expected to date; however, Minnesota Housing committed all available funds in 2014 and closed loans on a greater number of projects in 2014 than in the previous year.

While rehabilitation is a priority for Minnesota Housing, the Action Plan for 2014 allowed a portion of HOME funds allocated to Affordable Rental Preservation to be redirected to rental new construction, depending on the types of applications received in response to the consolidated Request for Proposals and the relative need for new construction or preservation. Minnesota Housing did not use any HOME funds for new rental housing construction in 2014.

Based on stakeholders' responses to a survey of local housing needs, input from public meeting participants, and an analysis of Census data, Minnesota has prioritized housing needs in its ***Consolidated Housing and Community Development Plan, FY 2012-2016***. The state will continue to solicit input from stakeholders and partners annually in order to respond to the housing and community development needs of Minnesota communities and will write future annual action plans based on that process, e.g., based on new or changing assistance needs identified by communities or providers.

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**Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.**

Number of Persons Served	CDBG Actual	HOME Actual
Extremely Low-income	127	57
Low-income	208	167
Moderate-income	252	175
<b>Total</b>	<b>587</b>	<b>399</b>

Table 12 – Number of Persons Served

### Narrative Information

Based on data available in IDIS, more than 95% of beneficiaries of SCDP housing programs in 2014 had incomes at or below 80% of HUD median income, with the greatest number in the highest low-income category.

In accordance with guidelines, all **households** assisted with HOME funds have gross incomes at or below 80% of area median income and tenant rents meet the affordability requirements of 24 CFR 92.252. The distribution of assistance shows that of **all** households in completed HOME-assisted units, 44.4% were in the lowest income category (30% of area median or less), 32.1% were in the 31%-50% category, and 23.5 % were in the 51%-80% category. For FFY 2014 only, 14.3% were in the lowest income category (30% of area median or less), 41.9% were in the 31%-50% category, and 43.8% were in the 51%-80% category.

All HOPWA participants have gross incomes at or below 80 percent of area median family income.

**CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)**  
**Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:**

**Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs**

The Minnesota Interagency Council on Homelessness (MICH) was formed in 2008 to replace the Interagency Task Force. The council currently includes 11 agencies working together to address homelessness in Minnesota. Having met the goal of the Business Plan to End Long-Term Homelessness (provide 4,000 additional housing opportunities by 2015), the state has developed a new strategic plan, endorsed by the council, to address all homelessness in Minnesota with specific interagency action plans for implementation. A copy of the plan is and a list of council contacts and representatives is found here:

[Homelessness](#)

The council has provided technical assistance to assist in the establishment of Continuum of Care Committees across the state. CoC committees have developed the regional plans that identify

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assistance needs of people experiencing homelessness or people at risk of becoming homeless, gaps in regional service delivery for the homeless, and a strategy for addressing those gaps. Currently, 10 Continuum of Care (CoC) regions exist in Minnesota covering the entire state.

DHS has offered each CoC committee the opportunity to review and provide recommendations on each ESG application submitted from their region, as well as other DHS-administered homeless programs. This ensures that ESG funding is used to address locally determined priorities for shelter, prevention and rapid re-housing.

During the past year, DHS staff conducted listening sessions and interviews at shelters around the state, gathering key informant data from persons experiencing homelessness. Interviews focused on the causes of person's homelessness and the programs or resources they believe they needed to prevent or end their homelessness. A report is in process and will be shared with all State programs working with homeless persons.

### **Addressing the emergency shelter and transitional housing needs of homeless persons**

ESG funding is used to strengthen the Continuum of Care systems by providing direct services to homeless persons. ESG funds were provided to sub-recipients in every CoC region, each of which has a priority to provide shelter and supportive services for homeless individuals and families. ESG funds also were provided to shelters in all CoC regions to pay for the operating and service costs of these programs, and to re-housing providers to rapidly re-house persons experiencing homelessness.

CoC organizations apply to HUD annually for funding under the McKinney Vento Homeless Assistance Program to address homelessness. The state funds both site-based and scattered-site transitional housing with \$3.2 million in state funds each year, and emergency shelters and day shelters with \$500,000 per year in state funds.

### **Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again**

The state received \$1,799,912 in FFY 2014 in Emergency Solutions Grant Program funding to support sub-recipients' ongoing efforts to provide shelter and rapid re-housing and prevention assistance to homeless and at-risk households. In addition, DHS received \$108,943 for state administrative costs.

ESG funds were used to provide supportive services to persons through the emergency shelter where they were staying, as well as prevent and rapidly re-house households who were at-risk of or experiencing homeless. Supportive services included case management, transportation, mental health care, substance abuse treatment, childcare, and legal advice and assistance.

The 2014 Minnesota Legislature authorized Minnesota Housing to issue \$80 million in Housing Infrastructure Bonds. Bond proceeds may be used for three purposes: construction/acquisition/rehabilitation of permanent supportive housing, preservation of existing federally assisted housing, or acquisition/rehabilitation of foreclosed properties (or new construction on foreclosed parcels of land).

**Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs**

One of the state's most significant strategies for addressing homelessness is prevention assistance, delivered through the Family Homeless Prevention and Assistance Program (FHPAP). The Minnesota Legislature funds FHPAP with a base appropriation of \$15.7 million every two years. Minnesota Housing assisted more than 7,900 households in 2014, providing short-term housing and services, primarily to families with minor children.

For the 2014-2015 biennium, the Minnesota Legislature appropriated a total of \$6 million to DHS for outreach and services to homeless youth. A key focus on this funding is preventing homelessness for youth exiting the foster care or other systems. The results of this funding are still being collected and will be reported in the next CAPER.

In September of 2014, the 11 state agencies comprising the Interagency Council on Homelessness adopted five Foundational Services Practices to provide a more holistic and coordinated approach to addressing homelessness. These practices are to be implemented within two years in all programs that may serve people at risk of or experiencing homelessness: 1) know the housing status of people served, 2) actively reach out to the homeless, 3) limit requirements for in-person appointments, 4) assist with gathering required verifications/ documentation, 5) allow for multiple methods of communication about benefits and services. They should ensure that people receive the mainstream services for which they are eligible.

The Minnesota Department of Corrections and the Minnesota Department of Human Services will work to better track individuals leaving corrections institutions, foster care, and other systems to connect them with available services and improve their transition into communities. Details on state efforts to help people avoid homelessness are at: [Plan to Prevent and End Homelessness](#).

### **CR-30 - Public Housing 91.220(h); 91.320(j)**

#### **Actions taken to address the needs of public housing**

In 2014, the Minnesota Legislature appropriated \$20 million in General Obligation bond proceeds to Minnesota Housing for the purpose of preserving public housing, e.g., under the Publicly Owned Housing Program.

The state is developing a strategy and set of standards for identifying and preserving those affordable housing developments with greatest need for preservation.

**Actions taken to encourage public housing residents to become more involved in management and participate in homeownership**

The state does not own or operate public housing and thus does not have access to public housing residents to encourage their participation in management or homeownership. The Agency facilitates homeownership for lower income households through outreach and education under the Targeted Mortgage Opportunity Program; the Enhanced Homeownership Capacity Initiative; and Homeownership Education, Counseling, and Training. Minnesota Housing's lower interest first mortgage and down-payment assistance programs are available to first-time buyers that meet income requirements.

**Actions taken to provide assistance to troubled PHAs**

The text of AP-60 reads: "If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance." Since Minnesota is not a PHA, this section does not apply to the state. If the text was meant to apply to any troubled PHA in the state's jurisdiction, the state had no plans to provide financial or other assistance to troubled PHAs in 2014.

The state provides General Obligation bond proceeds for rehabilitation and preservation under Minnesota Housing's Publicly Owned Housing Program as described previously.

**CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)**

**Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)**

Actions that the state might take are limited because most of the public policies that may serve as barriers to the development of affordable housing in Minnesota are locally controlled, e.g., land use restrictions, zoning ordinances, fees and charges.

In 2014, Minnesota Housing, the McKnight Foundation, Urban Land Institute of Minnesota (ULI-MN)/ Regional Council of Mayors (RCM), and Enterprise sponsored a competition, Minnesota Challenge, to generate ideas for reducing the per-unit cost of developing affordable multifamily rental housing. The competition winner, the University of Minnesota's Center for Urban and Regional Affairs, will identify specific barriers in the regulatory framework for development, identify best practices for cost effectiveness, and provide technical assistance to selected communities in the Twin Cities metro area.

The state has identified limited resources as being a barrier to the provision of affordable housing. In 2014, the Minnesota Legislature authorized Minnesota Housing to issue \$80 million in Housing Infrastructure Bonds. This significant resource is expected to finance the construction or preservation of approximately 1,500 units.

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### **Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)**

In its five-year plan, Minnesota identifies that the greatest unmet housing need is among low-income renters (<30% are median income) and moderate income homeowners (51%-80% of are median income). To address that need: 1) DEED uses 70% of CDBG funds to assist low- and moderate-income households; the remaining funds are used for community development; 2) Minnesota Housing allocates HOME resources primarily to rental rehabilitation (with a focus on preserving the affordability of existing federally assisted housing for low-income tenants) and also to down payment assistance for moderate income homebuyers. Among HOME-assisted households, 100% of renters had incomes <=50% of AMI and 71.4% of homebuyers had incomes of 51%-80% of AMI.

The distribution of assistance shows that 44.4% of **all** households in HOME-assisted units were in the lowest income category (30% of area median or less), 32.1% were in the 31%-50% category, and 23.5% were in the 51%-80% category. For FFY 2014, 14.3% of households in HOME-assisted units were in the lowest income category (30% of area median or less), 41.9% were in the 31%-50% category, and 43.8% were in the 51%-80% category.

For the 2014-2015 biennium, the Minnesota Legislature appropriations included \$5.7 million to provide rent assistance to households experiencing mental illness; \$15.7 million for family homeless prevention and assistance; and \$23.5 million for the Housing Trust Fund, which provides rent assistance to households in the lowest income categories.

*Note that the following information on lead hazard reduction is not requested in the IDIS template, but is provided at the request of local HUD staff:*

HUD Minnesota DEED requires that all proposed housing rehabilitation projects complete a lead risk assessment by a certified assessor. All lead hazards must be included in rehabilitation by a licensed lead supervisor and workers. Grantees must provide all housing occupants the lead based payment statement, a Summary Notice of Risk Assessment, a Relocation Screening sheet, and a Hazard Completion Notice.

Minnesota Housing requires federally-funded rehabilitation to comply with the lead-based paint requirements of 24 CFR Part 35. Agency- or state-funded rehabilitation must comply with Minnesota Housing's lead-based paint policy (see: [Lead-Based Paint](#)).

Additional information on state efforts to reduce lead hazards, including the *2013 Blood Lead Surveillance Report*, is found here: <http://www.health.state.mn.us/divs/eh/lead/index.html>.

### **Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)**

The Minnesota Family Investment Program (MFIP) is the state's major public assistance initiative for low-income families with children. MFIP's three main goals are to help people leave and remain independent of welfare, obtain and keep jobs, and increase income/decrease poverty. Efforts to ensure that the state meets MFIP goals include: employment and job training services, health care assistance, and child care subsidies for MFIP families. This includes a diversionary work program that helps people to find employment before they reach the need for MFIP.

The Office of Economic Opportunity (OEO) administers a variety of federal and state funded anti-poverty and self-sufficiency programs, allocating approximately \$35 million a year in federal and state

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appropriations to more than 150 organizations and programs working with low-income families in Minnesota. These programs address families' basic social needs while providing opportunities for the development of the skills necessary for economic self-sufficiency.

### **Actions taken to develop institutional structure. 91.220(k); 91.320(j)**

Affordable housing and community development assistance in Minnesota depends upon a large network of local lenders, housing authorities, community action agencies, nonprofit organizations, and local governments throughout the state. The state fosters cooperation through a large number of interagency task forces, councils, and other cooperative efforts identified in its *Consolidated Plan for Housing and Community Development 2012-2016*.

DEED, DHS, and Minnesota Housing work to minimize assistance gaps and to coordinate available resources in a variety of ways. For example, Minnesota Housing and its partners, such as the Metropolitan Council, issue joint requests for proposals and coordinate the process of reviewing and selecting proposals for funding with other agencies. Also, HUD, the U.S. Department of Agriculture, and Minnesota Housing have an interagency agreement to align/reduce state and federal physical inspections of subsidized rental housing.

In 2014, Minnesota Housing made available \$2.7 million in grants to local organizations under the Technical Assistance and Operating Support Program, which is funded through both legislative appropriations (\$750,000 for the 2014-2015 biennium) and Minnesota Housing resources. Minnesota Housing also funds a \$1 million capacity building revolving loan program that assists nonprofit affordable housing sponsors with pre-development costs.

### **Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)**

In an effort to coordinate resources for affordable housing, Minnesota Housing, the Metropolitan Council, and the Family Housing Fund formed the Metropolitan Housing Implementation Group (MHIG) with the HUD area office, Minneapolis Public Housing Authority, Local Initiatives Support Corporation, Greater Metropolitan Housing Corporation, and the Corporation for Supportive Housing as partners. MHIG investment guidelines are used in evaluating proposals for the development of affordable housing in the metropolitan area.

The Interagency Stabilization Group (ISG) has been working with local nonprofits and units of government since 1993 to coordinate funds for the stabilization and preservation of qualifying assisted rental housing in the Twin Cities metro area. ISG members include Minnesota Housing, the Family Housing Fund, the McKnight Foundation, the Minneapolis Community Development Agency, the Saint Paul Planning and Economic Development Department, LISC, HUD, and the Federal Home Loan Bank.

The Greater Minnesota Interagency Preservation Work Group is a consortium of public and nonprofit agencies created to work together to cooperatively address the preservation of federally assisted rental housing in Minnesota outside of the Twin Cities metro area. The participants include Minnesota Housing, HUD, USDA, DEED, Federal Home Loan Bank of Des Moines, Duluth LISC, and the Greater Minnesota Housing Fund. By coordinating information about properties at risk of converting to market rate housing or at risk of loss due to physical deterioration, the participants are able to more strategically target their combined resources.

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The Interagency Task Force on Homelessness was established by state statute in 1990 to: 1) investigate, review, and improve the current system of service delivery to people who are homeless or at risk of becoming homeless; 2) improve coordination of resources and activities of all state agencies relating to homelessness; and 3) advise Minnesota Housing in managing the Family Homelessness Prevention and Assistance Program (FHPAP). In 2008 the Minnesota Interagency Council on Homelessness ( ) was formed to replace the Interagency Task Force.

The Minnesota HIV Housing Coalition was formed in 1993 to facilitate access to quality housing and appropriate support services for individuals and families living with HIV in Minnesota. The Coalition advises the City of Minneapolis and Minnesota Housing on the expenditure of HOPWA funds.

### **Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)**

Minnesota has a large disparity in homeownership rates between white Non-Hispanic households and households of color or Hispanic ethnicity. To expand homeownership opportunities for borrowers struggling to gain access to mortgage credit, Minnesota Housing has implemented the Targeted Mortgage Opportunity Program and the Enhanced Financial Capacity Initiative.

The state submits the following additional actions taken in FFY 2014 to address impediments in its ***Analysis of Impediments to Fair Housing:***

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**Private Sector Impediments, Actions, and Measurable Objectives**

***Impediment 1: Lack of understanding of fair housing and affirmatively furthering fair housing***

<p><b>Action 1.1:</b> Ensure that Minnesota Housing-financed rental developments have Affirmative Fair Housing Marketing Plans (AFHMP).</p>	<p><b>Measurable Objective 1.1:</b> Number of approved AFHMPs.</p>
<p><b>Results:</b> An approved AFHMP is a requirement of closing. 75 developments with loans that closed in FFY 2014 all met this requirement.</p>	
<p><b>Action 1.2:</b> Review AFHMPs of Section 8 developments for which Minnesota Housing manages the subsidy at least every 5 years.</p>	<p><b>Measurable Objective 1.2:</b> Number of AFHMPs reviewed and, if necessary, modified.</p>
<p><b>Results:</b> Staff reviewed, modified (if needed), and approved 192 AFHMP's for Section 8 Traditional Contract Administration properties.</p> <p>Staff also reviewed 345 AFHMPs for Section 8 Performance Based Contract Administration.</p> <ul style="list-style-type: none"> <li>• 10 sites were unable to document that the AFHMPs had been reviewed in the past 5 years</li> <li>• Six sites were unable to provide a current AFHMP at the site for review</li> </ul> <p>Minnesota Housing is not authorized by HUD to approve AFHMPs for the Section 8 Performance Based Contract Administration Portfolio.</p> <p>Minnesota Housing monitors noted findings and deficiencies for appropriate corrective action. In these communications, sites are directed to review the AFHMP and submit to HUD for approval, if changes were needed.</p>	
<p><b>Action 1.3:</b> Explore a model to identify non Section 8 multifamily developments with AFHMPs that may be out of date and require review and modification.</p>	<p><b>Measurable Objective 1.3:</b> Develop and implement a model.</p>
<p><b>Results:</b> An analysis of AFHMP procedures and protocols will be completed during FY 2015 as a part of a larger division-wide alignment project. During this time, staff will explore a model for collection, review and tracking of AFHMP's for the non-section 8 portfolio. A recommendation for handling of this activity will be based on the requirements of individual financing and will be included as a part of the results for this action item.</p>	
<p><b>Action 1.4:</b> Review marketing activities for compliance with the AFHMP and that the fair housing logo is used in advertising materials.</p>	<p><b>Measurable Objective 1.4:</b> Number of reviews of AFHMPs.</p>
<p><b>Results:</b> Asset Management staff reviewed marketing activities for compliance on 418 developments with</p>	

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amortizing debt.

Performance Based Contract Administration: monitored 346 properties for AFHMP compliance.

- 23 properties were cited for deficiencies related to AFHMPs, of which:
  - Two properties had issues with use of the fair housing logo in advertising or signage.
  - 20 of the 23 properties with deficiencies have mitigated the findings and the findings have been closed. Of the three that remain open we are awaiting a compliant response. From these properties; HUD has been notified of the non-response in two of the three cases.

**Action 1.5:** Distribute flyers and education materials at annual conferences, public venues, and other opportunities.

**Measurable Objective 1.5:** Number of materials distributed.

**Results:**

Brochures/Posters	When ordered	How many	How many left
Are You a Victim of Housing Discrimination Brochure	2014	50	2
Fair Housing – Equal Opportunity for all Brochure	2014	100 English 100 Spanish	12 English 17 Spanish
Equal Opportunity Poster (Blue)	In house printing as needed	75 large 325 small	43 large 9 small
Residents Rights Responsibilities Brochure	In-house printing as needed	25	0

Of the total number of brochures ordered in 2014 the following number were distributed/taken by attendees at conferences:

- Fair Housing-Equal Opportunity for All: 18
- Are You a Victim of Housing Discrimination: 7
- 2014 Working Together Conference :  
Fair Housing -Equal Opportunity for All: 5 brochures  
  
Are You a Victim of Housing Discrimination: 4 brochures  
  
Equal Opportunity Posters: 0 taken
- 2014 Spring NAHRO Conference:  
Fair Housing-Equal Opportunity for All: 3 brochures

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<p>Are You a Victim of Housing Discrimination: 2 brochures, Spanish Version: 0 brochures taken</p> <p>Equal Opportunity Posters: 0 taken</p> <ul style="list-style-type: none"> <li>2013 League of MN Cities/St. Cloud, MN Fair Housing-Equal Opportunity for All: 3 brochures</li> </ul> <p>Are You a Victim of Housing Discrimination-English: 1, Spanish Version: 0 brochures taken</p> <p>Equal Opportunity Posters: 0 taken</p> <ul style="list-style-type: none"> <li>2014 Fall NAHRO Conference Fair Housing -Equal Opportunity for All: 7 brochures , Spanish Version: 0 brochures taken</li> </ul> <p>Are You a Victim of Housing Discrimination: 0 brochures</p> <p>Equal Opportunity Posters: 0 taken</p> <ul style="list-style-type: none"> <li>2014 Small Cities Development Program Implementation Training Sessions (3) Fair Housing -Equal Opportunity for All: 100 Posters , English Version: 100 posters taken , Spanish Version: 100 posters taken, Chinese Version: 100 posters taken</li> </ul> <p>Of the total number of brochures Minnesota Housing ordered in 2014, the remaining brochures were distributed to customers (which includes tenants of assisted housing):</p> <ul style="list-style-type: none"> <li>Are You a Victim of Housing Discrimination: 41</li> <li>Fair Housing-Equal Opportunity for All: 70 English, 83 Spanish</li> <li>Equal Opportunity Poster (Blue): 42</li> <li>Residents Rights and Responsibilities: 25</li> </ul>	
<p><b>Action 1.6:</b> Explore with the Minnesota Multi-Housing Association possibilities for training multifamily property owners, managers, and service providers.</p>	<p><b>Measurable Objective 1.6.1:</b> Document meetings with the Association.</p> <p><b>Measurable Objective 1.6.2:</b> Publicize the availability of training to Minnesota Housing-associated rental property owners or managers.</p>
<p><b>Results:</b></p> <p><b>Measurable Objective 1.6.1:</b> Minnesota Housing staff met with Minnesota Multi-Housing Association, HUD and NAHRO staff on 12/10/2013 to explore the possibilities of training multifamily property owners, managers and service providers on fair housing. They identified four direct training sessions and three additional sessions that would include fair housing topics as part of the training agenda.</p> <p><b>Measurable Objective 1.6.2:</b> Training sessions were available during the 2014 Working Together Conference, and were publicized by Minnesota Housing via an e-mail blast to owners, agents and service providers as well as having the information noted on the agency’s website. Minnesota Multi-Housing Association sent multiple e-mail blasts as well as hard copy mailings. HUD and NAHRO provided a list of customers to Minnesota Multi-Housing Association for further outreach of the training availability.</p> <p>2014 Working Together Conference included three specific fair housing sessions:</p> <ol style="list-style-type: none"> <li>1) What Would You Do?</li> </ol>	

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<p>2) Ensuring Equal Access through Reasonable Accommodations                  3) Legal Aid &amp; Fair Housing-New Tools of the Trade</p> <p>Three additional sessions were available to address questions related to Fair Housing:</p> <p>1) Responding Effectively to Residents Who Live with Mental Illness                  2) Ask the Attorney                  3) Violence Against Women Act: Expansion to LIHTC.</p>	
<p><b>Action 1.7:</b> Support HousingLink’s efforts to educate owners and tenants on fair housing.</p>	<p><b>Measurable Objective 1.7:</b> Provide funding to HousingLink.</p>
<p><b>Results:</b> Minnesota Housing executed an Operating Support Grant after consideration by senior leadership. Grant funds assist HousingLink with basic operational costs associated with their current web traffic and outreach activities and meetings with specific groups (e.g., supportive housing providers, representatives from the Department of Corrections, landlords). HousingLink provides intentional educational awareness efforts through their website and list serve via the bi-weekly <i>Housing Connection</i>, and monthly <i>Landlord Link</i> or Housing Authority Wait List Alerts (email). Fair Housing articles, information, and other related links are included in each of these communication tools.</p>	
<p><b>Action 1.8:</b> Implement the Sustainable Communities grant to develop a best practices and fair housing resource for rental owners.</p>	<p><b>Measurable Objective 1.8:</b> Developers and owners will be encouraged to use the work product when it becomes available.</p>
<p><b>Results:</b> Through the Sustainable Communities grant, there are a large number of resources available for renters, city/county government representatives, and information for landlords. Six agencies, including HUD and Minnesota housing, sponsored new website of resources at <a href="http://fairhousingmn.org/">http://fairhousingmn.org/</a>. The website and tool kit resources are expected to be available by November 1, 2014.</p>	

**Impediment 2: Discriminatory terms and conditions in rental markets**

<p><b>Action 2.1:</b> Conduct outreach and education activities for housing providers.</p>	<p><b>Measurable Objective 2.1:</b> Support the Working Together conference.</p>
<p><b>Results:</b> Minnesota Housing staff participated in planning and development of sessions for the 2014 Working Together Conference, which included sessions specific to Fair Housing training which addressed discrimination in rental markets. Additionally, Minnesota Housing further supported the 2014 Working Together Conference by providing volunteers to staff the registration desk and act as session coordinators.</p> <p>These sessions covered many fair housing topics and were very well attended.</p> <p>2014 Working Together Conference included three specific fair housing sessions:</p> <p>1) What Would You Do?                  2) Ensuring Equal Access through Reasonable Accommodations.                  3) Legal Aid &amp; Fair Housing-New Tools of the Trade.</p> <p>Three additional sessions were available to address questions related to Fair Housing:</p>	

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<p>1) Responding Effectively to Residents Who Live with Mental Illness                  2) Ask the Attorney                  3) Violence Against Women Act: Expansion to LIHTC</p>	
<p><b>Action 2.2:</b> Support HousingLink’s efforts to educate owners and renters on fair housing.</p>	<p><b>Measurable Objective 2.2:</b> Provide funding to HousingLink.</p>
<p><b>Results:</b> Minnesota Housing has provided ongoing operational support to HousingLink. A significant piece of their on-going mission is outreach to landlords, prospective tenants, and service providers who work with tenants seeking housing. Outreach includes Fair Housing is an important item to cover, explaining what Fair Housing is, and directing owners and renters to their Fair Housing video, located on their web site under the Housing HUB at: <a href="http://www.housinglink.org/HousingResources.aspx">http://www.housinglink.org/HousingResources.aspx</a>.</p>	
<p><b>Action 2.3:</b> Review policies of Section 8, LIHTC, and HOME assisted housing for discriminatory terms and conditions in leases, house rules and tenant selection plans and occupancy policies.</p>	<p><b>Measurable Objective 2.3:</b> Results of the reviews.</p>
<p><b>Results:</b> Minnesota Housing’s Compliance Monitoring team completed 300 tax credit, HOME and deferred loan program inspections. Staff also conducted 190 Section 8 Traditional Contract Administration tenant file reviews.</p> <ul style="list-style-type: none"> <li>• Of the 300 inspections, there were no findings. Of those reviewed, recommendations were made to the owner/agent to update language in such documents for 27 properties due to concerns with regard to discriminatory terms and conditions in leases, house rules, tenant selection plans and/or occupancy policies.</li> </ul> <p>Minnesota Housing staff completed 346 Performance Based Contract Administration Management and Occupancy Reviews (MORs). Findings and deficiencies noted are monitored for appropriate corrective action and are reported directly to HUD as appropriate.</p> <ul style="list-style-type: none"> <li>• Of the properties reviewed, <b>no</b> MOR findings were issued citing discriminatory terms/conditions in leases, house rules, tenant selection plans and/or occupancy policies.</li> <li>• Of the properties reviewed that were cited for deficiencies in their Affirmative Fair Housing Marketing Plan and related activities, three of the findings remain open and are subject to least monthly follow-up by staff. Of the three that remain open, staff sent follow-up letters requesting mitigation. Minnesota Housing currently is awaiting compliance responses from these properties; HUD has been notified of the non-response in two of the three cases.</li> </ul>	

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**Impediment 3: Failure to make reasonable accommodation or modification**

<p><b>Action 3.1:</b> Conduct outreach and education activities for housing providers.</p>	<p><b>Measurable Objective 3.1.1:</b> Support the Working Together conference.</p> <p><b>Measurable Objective 3.1.2:</b> Ensure that rent-assisted housing have formal grievance procedures that provide resolution of complaints alleging discrimination based on disability.</p>
<p><b>Results:</b>  <b>Measurable Objective 3.1.1:</b> Minnesota Housing staff participated in the planning and development of sessions for the 2013 Working Together Conference. The sessions planned included topics specific to fair housing and reasonable accommodation:</p> <p>2014 Working Together Conference included three specific fair housing sessions:</p> <ol style="list-style-type: none"> <li>1) What Would You Do?</li> <li>2) Ensuring Equal Access through Reasonable Accommodations</li> <li>3) Legal Aid &amp; Fair Housing-New Tools of the Trade</li> </ol> <p>Three additional sessions were available to address questions related to Fair Housing:</p> <ol style="list-style-type: none"> <li>1) Responding Effectively to Residents Who Live with Mental Illness</li> <li>2) Ask the Attorney</li> <li>3) Violence Against Women Act: Expansion to LIHTC</li> </ol> <p>Additionally, MN Housing further supported the 2014 Working Together Conference by providing volunteers to staff the registration desk and act as session coordinators.</p> <p><b>Measurable Objective 3.1.2:</b> Section 8 rental assistance programs require a grievance procedure for certain aspects of program participation. This requirement is reviewed annually as part of the Management and Occupancy Review or annual inspection. Information regarding grievance procedures is collected at the on-site review and reported to HUD on HUD Form 9834 Addendum B. Information that indicates a deficiency is followed up on by HUD who seeks the correction.</p> <ul style="list-style-type: none"> <li>• Staff performed 192 Section 8 Traditional Contract Administration inspections/management.</li> <li>• Staff performed 346 Section 8 Performance Based Contract Administration Management and Occupancy Reviews.</li> </ul>	
<p><b>Action 3.2:</b> Support HousingLink’s efforts to educate owners and tenants on fair housing issues, including reasonable accommodation.</p>	<p><b>Measurable Objective 3.2:</b> Provide funding to HousingLink.</p>
<p><b>Results:</b> Minnesota Housing has provided ongoing operational support to HousingLink. A significant piece of their on-going mission is outreach to landlords, prospective tenants, and service providers who work with tenants seeking housing. Outreach includes Fair Housing is an important item to cover, explaining what Fair Housing is, and directing owners and renters to their Fair Housing video, located on their web site under <a href="http://www.housinglink.org/housinghub">http://www.housinglink.org/housinghub</a>.</p>	

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**Impediment 4: Discriminatory refusal to rent**

<p><b>Action 4.1:</b> Conduct outreach and education activities for housing providers.</p>	<p><b>Measurable Objective 4.1:</b> Support the Working Together conference.</p>
<p><b>Results:</b> Training sessions were available during the 2014 Working Together Conference, and were publicized by Minnesota Housing via an e-mail blast to owners, agents and service providers as well as having the information noted on our website. Minnesota Multi Housing Association sent multiple e-mail blasts as well as hard copy mailings. HUD and NAHRO provided a list of customers to Minnesota Multi-Housing Association for further outreach of the training availability.</p> <p>2014 Working Together Conference included three specific fair housing sessions:</p> <ol style="list-style-type: none"> <li>1) What Would You Do?</li> <li>2) Ensuring Equal Access through Reasonable Accommodations</li> <li>3) Legal Aid &amp; Fair Housing-New Tools of the Trade</li> </ol> <p>Three additional sessions were available to address questions related to Fair Housing:</p> <ol style="list-style-type: none"> <li>1) Responding Effectively to Residents Who Live with Mental Illness</li> <li>2) Ask the Attorney</li> <li>3) Violence Against Women Act: Expansion to LIHTC</li> </ol> <p>Minnesota Housing further supported the 2014 Working Together Conference by providing volunteers to staff the registration desk and act as session coordinators.</p>	
<p><b>Action 4.2:</b> Periodically review occupancy of Tax Credit developments and evaluate whether households of color and disabled persons are under-represented.</p>	<p><b>Measurable Objective 4.2:</b> Production of periodic report.</p>
<p><b>Results:</b> The most current report is available at: <a href="#">Rental Housing with Tax Credits</a></p>	
<p><b>Action 4.3:</b> Discuss with the Minnesota Department of Human Resources (DHR) how state agencies can support testing and enforcement.</p>	<p><b>Measurable Objective 4.3.1:</b> Determine appropriate support roles of DEED, Minnesota Housing, and DHS.</p> <p><b>Measurable Objective 4.3.2:</b> Execute support roles.</p>
<p><b>Results:</b> Due to resource limitations, DHR limits fair housing testing to specific complaints. Until resources become available to DHR to expand testing, this action is suspended.</p>	

**Impediment 5: Failure to comply with federal and state accessibility standards**

<p><b>Action 5.1:</b> Conduct outreach and education activities for housing providers.</p>	<p><b>Measurable Objective 5.1:</b> Number of outreach and education activities conducted.</p>
<p><b>Results:</b> The state conducted outreach and education in conjunction with 2014 Working Together</p>	

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<p>Conference.</p> <p>Minnesota Housing staff participated in the planning and development of sessions for the 2014 Working Together Conference. The sessions planned included topics specific to fair housing and reasonable accommodation.</p> <p>2014 Working Together Conference included three specific fair housing sessions:</p> <ol style="list-style-type: none"> <li>1) What Would You Do?</li> <li>2) Ensuring Equal Access through Reasonable Accommodations</li> <li>3) Legal Aid &amp; Fair Housing-New Tools of the Trade</li> </ol> <p>Three additional sessions were available to address questions related to Fair Housing:</p> <ol style="list-style-type: none"> <li>1) Responding Effectively to Residents Who Live with Mental Illness</li> <li>2) Ask the Attorney</li> <li>3) Violence Against Women Act: Expansion to LIHTC</li> </ol>	
<p><b>Action 5.2:</b> Ensure that multifamily developments newly-financed by Minnesota Housing comply with applicable building codes and accessibility and visitability standards.</p>	<p><b>Measurable Objective 5.2:</b> Number of financed developments that are found to be compliant.</p>
<p><b>Results:</b> 51 developments with loans that closed in FFY 2014 were in accordance with Minnesota Housing Design Standards.</p>	
<p><b>Action 5.3:</b> Consult with representatives of the disability community to understand the type of housing discrimination the disabled population experiences and to consider whether there are strategies for how Minnesota Housing’s programs can be marketed to owners and developers who are identified as having failed to comply with accessibility standards.</p>	<p><b>Measurable Objective 5.3:</b> Conduct at least one meeting with disability community representatives and consider implementing viable strategies.</p>
<p><b>Results:</b> Minnesota Housing contracted with HousingLink to organize and facilitate nearly 20 listening sessions with persons with disabilities, families with a member who has a disability, staff from government organizations, nonprofit organizations, and housing providers that serve people with disabilities.</p> <p>Minnesota Housing also contracted with HousingLink to conduct a survey that will provide information regarding the barriers that people with disabilities may encounter in the search for rental housing. Using survey results, HousingLink will provide the information/education necessary for persons with disabilities to more easily access the housing options that best meet their needs. Additionally, HousingLink will provide resources and options to accessing housing for those persons with disabilities who may have experienced discrimination.</p>	

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***Impediment 6: Steering in the home purchase and rental markets***

<p><b>Action 6.1:</b> Support the Homeownership Opportunity Alliance, formerly known as the Emerging Markets Housing Initiative (EMHI).</p>	<p><b>Measurable Objective 6.1(a):</b> Number of advisory council meetings attended.</p> <p><b>Measurable Objective 6.1(b):</b> Funding support for the Minnesota Home Ownership Center.</p> <p><b>Measurable Objective 6.1(c):</b> Number of realtors and lenders who have been trained on Minnesota Housing homebuyer programs.</p>
<p><b>Results:</b>  <b>Measurable Objective 6.1(a):</b> Minnesota Housing staff attended five out of six advisory council meetings.  <b>Measurable Objective 6.1(b):</b> Minnesota Housing disbursed \$183,327 to the Homeownership Center.  <b>Measurable Objective 6.1(c):</b> Minnesota Housing trained 955 realtors and 2,269 lenders.</p>	
<p><b>Action 6.2:</b> Market mortgages and down payment assistance to households of color.</p>	<p><b>Measurable Objective 6.2:</b> Number of loans to households of color or Hispanic ethnicity that are made or purchased by Minnesota Housing.</p>
<p><b>Results:</b> An estimated 24% of all mortgages funded by Minnesota Housing were to a household of color or Hispanic origin.</p>	
<p><b>Action 6.3:</b> Educate homebuyers on the responsibilities and roles of realtors, lenders, and other actors who will be involved in their home buying experience so that they may recognize steering should it occur.</p>	<p><b>Measurable Objective 6.3:</b> Number of persons attending Home Ownership Center homebuyer training.</p>
<p><b>Results:</b> 4,100 households completed in-person training (of which 44% were households of color or Hispanic ethnicity) and another 2,289 households completed online homebuyer education through <i>Framework</i> (of which 13% were of color or Hispanic ethnicity).</p>	
<p><b>Action 6.4:</b> Provide housing subsidy for persons with serious mental illness (pertains exclusively to rental housing subsidy).</p>	<p><b>Measurable Objective 6.4:</b> Number of households receiving assistance.</p>
<p><b>Results:</b> 703 households with serious mental illness received assistance through funds provided under Minnesota Housing’s Bridges program in FFY 2014. Of these, 681 received a rental housing subsidy and 22 received only advocacy services, (no housing subsidy).</p>	
<p><b>Action 6.5:</b> Provide permanent supportive housing for persons and families with mental illness, substance abuse disorders, or HIV/AIDS who are homeless or at risk of homelessness.</p>	<p><b>Measurable Objective 6.5:</b> Number of households provided permanent supportive housing.</p>

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**Results:** Available data from the HMIS State Summary (State Fiscal Year 2013) show the number of persons served in the long-term homeless program included: 61 with HIV/AIDS (1.7%), 2033 with mental illness (56%) and 1275 (35%) with substance abuse disorders.

**Impediment 7: Denial of home purchase loans**

<p><b>Action 7.1:</b> Enhance homebuyer understanding of real estate transactions, provide information on resources available to them if they are denied credit or feel they are discriminated against in the mortgage market, and establishing and keeping good credit through education and training.</p>	<p><b>Measurable Objective 7.1:</b> Number of persons attending Home Ownership Center homebuyer training.</p>
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**Results:** 4,100 households completed in-person training (of which 44% were households of color or Hispanic ethnicity) and another 2,289 households completed online homebuyer education through *Framework* (of which 13% were of color or Hispanic ethnicity).

<p><b>Action 7.2:</b> Reach out to lenders, realtors, and emerging market communities through industry and emerging market community events to make them aware of Minnesota Housing's first time homebuyer programs.</p>	<p><b>Measurable Objective 7.2. (a):</b> Number of events attended or sponsored.</p> <p><b>Measurable Objective 7.2. (b)</b> Percent of loans made to households of color or Hispanic ethnicity.</p>
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**Results:**

**Measurable Objective 7.2.(a):** Minnesota Housing sponsored 77 lender trainings, 71 real estate partner trainings, six nonprofit trainings, and staff attended 49 events of which 19 were targeted to households of color or Hispanic ethnicity.

**Measurable Objective 7.2. (b):** An estimated 24% of all mortgages funded by Minnesota Housing were to a household or color or Hispanic origin.

**Impediment 8: Predatory-style lending activities**

<p><b>Action 8.1:</b> Enhance homebuyer understanding of real estate transactions so that they may recognize predatory lending and provide resources for them to discuss possible predatory loan products.</p>	<p><b>Measurable Objective 8.1:</b> Number of attendees of homeownership training</p>
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**Results:** 4,100 households completed in-person training (of which 44% were households of color or Hispanic ethnicity) and another 2,289 households completed online homebuyer education through *Framework* (of which 13% were of color or Hispanic ethnicity).

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<p><b>Action 8.2:</b> Limit subordinations of HOME HELP down payment assistance loans to mortgages at prevailing rates and fees for the borrower’s risk category.</p>	<p><b>Measurable Objective 8.2:</b> The number of requests for subordination to predatory loans that are rejected.</p>
<p><b>Results:</b> One of 254 requests for subordination was denied because of predatory loan terms of the proposed first mortgage.</p>	

**Public Sector Impediments, Actions, and Measurable Objectives**

**Impediment 1: Insufficient fair housing outreach and education efforts**

<p><b>Action 1.1:</b> Work with Minnesota NAHRO and the Minnesota Multi Housing Association to provide education to public sector housing providers through their annual conferences.</p>	<p><b>Measurable Objective 1.1:</b> Number of outreach and education activities conducted.</p>
<p><b>Results:</b> Minnesota Housing participated in the 2014 Minnesota Multi-Housing Working Together Conference and NAHRO’s 2014 State Conferences.</p>	
<p><b>Action 1.2:</b> Distribute fair housing flyers and education materials at annual conferences, public venues, and other opportunities.</p>	<p><b>Measurable Objective 1.2:</b> Number of materials distributed.</p>
<p><b>Results:</b> The state conducted outreach and education in conjunction with 2014 Working Together Conference.</p> <p>Minnesota Housing staff participated in the planning and development of sessions for the 2014 Working Together Conference. The sessions planned included topics specific to fair housing and reasonable accommodation.</p> <p>2014 Working Together Conference included three specific fair housing sessions:</p> <ol style="list-style-type: none"> <li>1) What Would You Do?</li> <li>2) Ensuring Equal Access through Reasonable Accommodations.</li> <li>3) Legal Aid &amp; Fair Housing-New Tools of the Trade.</li> </ol> <p>Three additional sessions were available to address questions related to Fair Housing:</p> <ol style="list-style-type: none"> <li>1) Responding Effectively to Residents Who Live with Mental Illness</li> <li>2) Ask the Attorney</li> <li>3) Violence Against Women Act: Expansion to LIHTC.</li> </ol>	

**Impediment 2: Lack of sufficient fair housing testing and enforcement activities**

<p><b>Action 2.1:</b> Determine with the Minnesota Department of Human Rights the process of</p>	<p><b>Measurable Objective 2.1.1:</b> Determine appropriate support roles of the Department of Employment and</p>
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<p>testing and enforcement and how it can be supported by the state.</p>	<p>Economic Development, Minnesota Housing, and the Department of Human Services.</p> <p><b>Measurable Objective 2.2.1:</b> Execute support roles.</p>
<p><b>Results: 2.1.1 and 2.2.1:</b> Due to resource limitations, DHR limits fair housing testing to specific complaints. Until resources become available to DHR to expand testing, this Action is suspended.</p>	

**Impediment 3: NIMBYism (Not in My Backyard) tendencies and planning and zoning decisions affect housing availability**

<p><b>Action 3.1:</b> Incent decisions by communities that decrease segregation and increase economic integration of populations.</p>	<p><b>Measurable Objective 3.1:</b> Number of Minnesota Housing developments that are awarded selection points for economic integration.</p>
<p><b>Results:</b> More than 73% of the 15 developments selected to receive tax credits in 2014 received points for economic integration.</p>	
<p><b>Action 3.2:</b> Provide internet links and other pre-existing materials to city staff and developers to inform citizens about affordable housing to reduce NIMBYism.</p>	<p><b>Measurable Objective 3.2:</b> Number of internet links on Minnesota Housing’s webpage, and other materials identified to be made available to city staff and developers.</p>
<p><b>Results:</b></p> <p>Fair Housing (21 links) at: <a href="#">Fair Housing</a></p> <ul style="list-style-type: none"> <li>• Minnesota Housing’s Fair Housing main page includes approximately 20 resources containing basic housing information, and how to report Fair Housing complaints.</li> <li>• This site also includes a link to the state’s <i>2012 Analysis of Impediment to Fair Housing Report</i>. Sections V through IX would be of benefit to a wide range of housing partners, including city staff and developers, on understanding the elements of NIMBYISM.</li> </ul> <p>This Minnesota Housing website includes a number of reports that could be useful to city staff and developers: <a href="#">Policy &amp; Research</a></p> <ul style="list-style-type: none"> <li>• The Impact of Affordable Housing on Communities &amp; Individuals</li> <li>• Housing of Minnesota’s Aging Population, 2010</li> </ul> <p>Community Profiles for Planning at: <a href="#">Community Profiles</a></p> <ul style="list-style-type: none"> <li>• This tool, available to City planning staff and developers, provides extensive information at the county and Census tract level concerning the characteristics of Minnesota’s population and housing stock—information useful in assessing the need for affordable housing in communities throughout the state.</li> </ul>	

## **CR-40 - Monitoring 91.220 and 91.230**

### **Description of the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements**

**SCDP:** DEED monitors each grantee through an on-site visit at least once during the term of the funding agreement. Follow-up monitoring may occur if there are significant findings of noncompliance with program requirements or if other administrative difficulties become apparent from reviews. Monitoring of economic development projects uses the same process as those established through the state of Minnesota's CDBG competitive program. There are two forms of monitoring conducted by SCDP staff: on-site and desk. Desk monitoring is conducted on an ongoing basis from the start of the grant until closeout. The SCDP representative reviews startup documents, ongoing activities, payments to grantees, annual reports, audits, final reporting, and closeouts reporting.

At the time of on-site monitoring, the grantee must provide documentation that they took affirmative steps to solicit contracts with small, minority-owned businesses, and women-owned businesses.

DEED has developed a checklist to assist in the monitoring areas such as grant management; fair housing and equal opportunity employment; Davis Bacon Labor Standards; inspections and bidding; and contractor payments. Staff ascertain if the activities stated within the approved application are eligible activities and address federal objectives, and if adequate progress has been made by the grantee within the time stated in the funding agreement.

**ESG:** Each year DHS program staff review all ESG grantees using a risk analysis tool created with technical assistance from HUD. The tool has four broad areas of analysis: 1) General agency information, e.g., previous monitoring results; 2) program operations, e.g., compliance and reporting issues; 3) fiscal operations; and 4) agency Board of Directors/Executive Management.

The tool allows DHS staff to determine if a grantee needs immediate attention or can receive a visit as part of regular monitoring. A regular monitoring rotation ensures grantees are monitored at least once every two years. Staff review a random selection of files for specific documentation of: homelessness, disability status, target population, on-going assessment, follow-up, and supportive services. Staff verify homeless participation in policies and project development, the full number of participants being served, timeliness and eligibility of grant, and matching fund expenditures.

**HOME:** Minnesota Housing monitors HOME Rental Rehabilitation and Affordable Rental Preservation properties for compliance, requiring owners to submit tenant income and rent information for review annually. Multifamily staff physically inspect each property at least as frequently as required by 24 CFR §92.504(d) for compliance with property standards and to verify the accuracy of information owners submitted.

On-site inspection consists of a review of administrative records as well as a physical inspection and tenant file review of 15% of the HOME-assisted units. Minnesota Housing typically inspects a minimum of four HOME units (or all HOME units if property has four HOME units or less). If a property is found to be out of compliance, the owner receives notice of noncompliance. If noncompliance is not corrected within the allotted period, usually 30 days, the owner is given a 10-day grace period in which to address

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issues. If noncompliance remains uncorrected, possible action may be an extension of the effective period or calling the loan due.

Minnesota Housing works to ensure minority and female business owners equal access to business opportunities on all Minnesota Housing-financed projects and equal opportunity on the workforces of firms having contractual agreements with Minnesota Housing. Contractors commit to meeting the Agency's employment and business goals, which the Agency at its discretion may set based on the location and size of a given project. The Agency has the contractual authority to demand full payment of any loan or grant, stop processing any project at any stage, or to cease to do business with anyone who does not follow its affirmative action policies or fails to meet their contractual equal opportunity obligations.

**HOPWA:** Inspection of properties assisted under Housing Opportunities for Persons with AIDS (HOPWA) includes a physical inspection of the property, tenant file review to conform eligibility, and HOPWA Development Review using the checklist that covers whether there are service plans in place; whether HOPWA residents receive the services offered to them; if any adverse actions have been taken against residents since the last HOPWA review; if residents were terminated from the program, review evidence of due process that was provided the tenants; and compliance with audit and record retention requirements.

Requirements include: 1) owners' annual submission of a Deferred Loan Owner Certification and Characteristics of Tenant Household Report; and 2) property inspections per Minnesota Housing Board-approved inspection cycle unless they have compliance issues that require more frequent inspections. The inspection cycle is every two years for properties with \$500,000 or more of deferred loan financing; every five years for deferred loan amounts greater than \$100,000 and less than \$500,000; and every 10 years for deferred loans of \$100,000 or less, unless the property also received Low-Income Housing Tax Credits, in which case the property is inspected according to the tax credit schedule.

Monitoring of emergency rent and mortgage assistance includes:

- Monthly review of administrative budget, production, and utilization of funding.
- Evidence that the grantee is collecting appropriate demographic data.
- Biennial site visits to the grantee to review the following:
  - Policies and procedures
  - Compliance with audit and data practices requirements
  - Documentation of compliance with time limits for assistance
  - Documentation of all aspects of tenant eligibility
  - Documentation that each participant was provided an opportunity to receive case management services
  - Source documentation of rental, mortgage, or utility expense

### **Citizen Participation Plan 91.105(d); 91.115(d)**

#### **Description of the efforts to provide citizens with reasonable notice and an opportunity to comment on performance reports.**

The state notified the public of the availability of the draft in the *Star Tribune* and *State Register*. The draft CAPER for 2014 was available for public comment for 15 days beginning November 14, 2014, and

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extending through the close of the business day on December 1, 2014. Copies of the CAPER were posted in the websites of Minnesota Housing and DEED and were available from Minnesota Housing and DEED upon request.

Public input into the draft CAPER is considered in preparing the final report to HUD and the state responds in writing to each commenter. There were no public comments on the CAPER draft in FFY 2014.

### **CR-45-CDBG 91.520(c)**

**Specify the nature of, and reasons for, any changes in the state's program objectives and indications of how the state would change its programs as a result of its experiences**

There were no changes to the program.

**Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?**

No, it does not.

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**CR-50 - HOME 91.520(d)**

**Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations**

DNbr	Property Name	City	Nbr Home Units	IDIS Nbr	Compliance End Dt	No Longer Monitored Dt	No Longer Monitored Reason	Date of Inspection	Compliance status
D6083	Haffner Rental	Elgin	6	5598	09/09/13	01/30/14	Compliance obligations successfully fulfilled for full term		
D5994	118 Conley Ave S	Thief River Falls	4	5573	09/10/13	06/24/14	Compliance obligations successfully fulfilled for full term		
D6084	Boyer I & II Apts.	Moorhead	6	5599	09/16/13	10/31/2013	Compliance obligations successfully fulfilled for full term		
D1031	Eastwood Apartments	Motley	13	5571	09/18/13	12/18/13	Compliance obligations successfully fulfilled for full term		
D6015	508 2nd Ave NE, Staples	Staples	3	5568	09/19/13		Affordability period close-out review in process		
D1492	Woodland Village TH	Saint Cloud	8		09/30/13	09/30/13	Compliance obligations successfully fulfilled for full term		
D6038	Willows Apartments	Little Falls	21	5580	10/19/13		Affordability period close-out review in process		
D0121	Red Pine Estates	Bemidji	77	5407	11/04/13	03/07/14	Compliance obligations successfully fulfilled for full term		
D6088	462 6th St N	Mankato	2	5561	11/10/13	06/18/14	Compliance obligations successfully fulfilled for full term		
D6092	1930 - 1930 1/2 3rd Ave	Mankato	2	5586	11/24/13	01/30/14	Compliance obligations successfully fulfilled for full term		
D6422	553 2nd St SW	Perham	3	5874	11/24/13	2/27/2014	Compliance obligations successfully fulfilled for full term		
D4039	1255 4 1/2 St NW	Rochester	6	4039	12/01/13	02/06/14	Compliance obligations successfully fulfilled for full term		
D5347	Welcome Place	Pelican Rapids	1	5394	12/08/13		Affordability period close-out review in process		
D6096	313 Riverfront Dr N	Mankato	2	5569	12/10/13	07/14/14	Compliance obligations successfully fulfilled for full term		

DNbr	Property Name	City	Nbr Home Units	IDIS Nbr	Compliance End Dt	No Longer Monitored Dt	No Longer Monitored Reason	Date of Inspection	Compliance status
D6016	325 Minnesota Ave NW, Bemidji	Bemidji	7	5574	12/17/13	05/02/14	Unable to monitor due to uncorrectable noncompliance. MN Housing repaid the HOME account.	6/4/2014	No findings
D6039	Wimmer Homes	Ada	9	5560	12/18/13	6/11/2014	Compliance obligations successfully fulfilled for full term		
D6036	B&E Apartments	Staples	9	5579	12/19/13	08/04/14	Compliance obligations successfully fulfilled for full term		
D6091	416 Broad St N	Mankato	3	5566	12/23/13	01/15/14	Compliance obligations successfully fulfilled for full term		
D6089	312 4th St N	Mankato	3	5590	12/23/13	12/24/2013	Compliance obligations successfully fulfilled for full term		
D0722	Cherry Ridge Apartments	Mankato	52	5597	12/24/13	03/07/14	Compliance obligations successfully fulfilled for full term		
D0274	Cannon Falls Congregate (stonehouse)	Cannon Falls	30		01/01/14	07/24/14	Compliance obligations successfully fulfilled for full term		
D6402	Loki Properties LLP	Red Wing	4	5879	01/10/14	01/10/14	Compliance obligations successfully fulfilled for full term		
D6093	108 5th St S	Mankato	2	5585	01/15/14	03/27/14	Compliance obligations successfully fulfilled for full term		
D6034	Mayview Apartments	Akeley	16	5576	02/27/14		Affordability period close-out review in process		
D6097	301 Riverfront Dr N	Mankato	14	5583	03/02/14	03/10/14	Compliance obligations successfully fulfilled for full term		
D3223	The Bell Apts	Belgrade	26	5905	03/05/14	10/24/2014	Compliance obligations successfully fulfilled for full term		
D0999	River Park View Apartments	Monticello	31	5578	03/05/14		Affordability period close-out review in process		

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DNbr	Property Name	City	Nbr Home Units	IDIS Nbr	Compliance End Dt	No Longer Monitored Dt	No Longer Monitored Reason	Date of Inspection	Compliance status
D6417	719 1st St NW	Rochester	3	5880	03/18/14	04/10/14	Compliance obligations successfully fulfilled for full term		
D6418	15 11th Ave NE	Rochester	4	5882	03/21/14	05/19/14	Compliance obligations successfully fulfilled for full term		
D1484	La Cruz Community (fka Great River Commons	Saint Cloud	26		03/29/14		Affordability period close-out review in process		
D6094	321 Broad St N	Mankato	2	5584	04/03/14	04/16/14	Compliance obligations successfully fulfilled for full term		
D6102	908 2nd St SW	Willmar	1	5581	04/10/14	07/17/14	Foreclosed by MN Housing		
D6101	321 1st St S	Willmar	2	5582	04/10/14	07/16/14	Foreclosed by MN Housing		
D6095	403 Broad St N	Mankato	3	5563	04/10/14	04/16/14	Compliance obligations successfully fulfilled for full term		
D0102	Sun Crest II	Avon	10	5891	04/11/14		Affordability period close-out review in process		
D1123	Prairie Manor Apartments	Pierz	15	5867	04/15/14		Affordability period close-out review in process		
D5717	509 E. Main Street	Madelia	10	5404	04/16/14	06/23/14	Compliance obligations successfully fulfilled for full term		
D5624	G.E.M. Apartments	Madelia	10	5372	04/16/14	05/30/14	Compliance obligations successfully fulfilled for full term		
D5392	1709 Pacific Ave.	Benson	5	5397	04/17/14	04/21/14	Compliance obligations successfully fulfilled for full term		
D6106	North State Apartments	Waseca	24	5556	04/23/14	5/20/2014	Compliance obligations successfully fulfilled for full term		
D6421	206 6th St SE	Stewartville	20	5887	04/23/14		Affordability period close-out review in process		
D6043	Mar-Bel Homes, Inc.	Grygla	10	5595	04/29/14		Affordability period close-out review in process		

DNbr	Property Name	City	Nbr Home Units	IDIS Nbr	Compliance End Dt	No Longer Monitored Dt	No Longer Monitored Reason	Date of Inspection	Compliance status
D6420	1718 45th St NW	Rochester	3	5885	05/01/14	10/10/2014	Affordability period close-out review in process		
D6428	106 Iowa Ave	Hoffman	1	5907	05/12/14	05/12/14	Compliance obligations successfully fulfilled for full term		
D6406	1333 12th St	Red Wing	2	5893	06/11/14	09/04/14	Compliance obligations successfully fulfilled for full term		
D6734	303 4th St	Hoffman	1	6050	07/14/14	08/22/14	Compliance obligations successfully fulfilled for full term		
D6424	Richhaven Apts	Richmond	19	5877	07/14/14		Affordability period close-out review in process		
D6427	149 Welcome Ave E	Mankato	4	5906	07/20/14	07/20/14	Compliance obligations successfully fulfilled for full term		
D6419	419 11th St NW	Rochester	1	5883	07/20/14		Affordability period close-out review in process		
D5423	828-8305th St N	Mankato	7	6047	07/27/14	08/25/14	Compliance obligations successfully fulfilled for full term		
D6492	706 & 708 Holden Ave	Henning	6	5928	07/28/14		Affordability period close-out review in process		
D3360	Oak Ridge Manor -- Melrose	Melrose	22	6070	07/29/14	10/16/2014	Compliance obligations successfully fulfilled for full term		
D6100	Parkview Apartments	Brownnton	16	5553	08/03/14	10/08/14	Compliance obligations successfully fulfilled for full term		
D6105	Midway Apartments	Brownnton	12	5554	08/13/14	10/08/14	Compliance obligations successfully fulfilled for full term		
D2346	Maple Lake Housing Dev Corp	Maple Lake	58	5916	08/29/14		Affordability period close-out review in process	10/7/2014	No findings

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DNbr	Property Name	City	Nbr Home Units	IDISNbr	Compliance End Dt	No Longer Monitored Dt	No Longer Monitored Reason	Date of Inspection	Compliance status
D3344	Hartshorn Manor	Madelia	39	5555	09/05/14	10/20/2014	Compliance obligations successfully fulfilled for full term	9/5/2014	Three units had physical violations; all were corrected.
D6412	312 Pleasant St E	Mankato	2	5901	09/28/14		Affordability period close-out review in process		
D5291	520 4th St S	Mankato	3	5909	10/23/14		Affordability period close-out review in process		
D6429	410 10th Ave E	Alexandria	2	5908	11/20/14				
D6018	Gonvick Community Homes	Gonvick	8	5570	12/02/14			12/10/2013	
D2631	Groundhouse Apts	Ogilvie	7	5875	12/10/14				
D6423	Braham Heights	Braham	11	5870	12/17/14				
D0405	Honeytree Apartments	Delano	22	5894	01/27/15			10/13/2014	
D0213	Trail Ridge	Brainerd	17	5898	02/03/15			6/11/2014	
D6409	116 Shaubut St	Mankato	2	5886	03/08/15				
D6854	1908, 1912, 1918 181/2 Ave NW	Rochester	11	6415	03/12/15			8/21/2014	
D6757	339 Pleasant St E	Mankato	2	6075	03/15/15				
D6756	211-213 Washington Ct	Mankato	4	6078	03/26/15				
D6994	1700 45th St NW	Rochester	4	6758	05/17/15				
D7054	1706 45th St NW	Rochester	4	6932	05/17/15				

DNbr	Property Name	City	Nbr Home Units	IDISNbr	Compliance End Dt	No Longer Monitored Dt	No Longer Monitored Reason	Date of Inspection	Compliance status
D1203	626 Bush Street	Red Wing	3	6938	07/08/15				MN Housing repaid the HOME account
D7095	611 Bluff St	Red Wing	2	6940	07/12/15				
D6035	B & E Apartments	Staples	8	5577	07/22/15			7/21/2014	
D1072	Three Links	Northfield	58	6045	08/13/15			9/5/2014	
D5276	242 5th St E	Red Wing	2	7037	08/16/15	05/02/14	Unable to monitor due to uncorrectable noncompliance		
D6404	1509 Bush St	Red Wing	1	5904	09/08/15	12/13/13	Unable to monitor due to uncorrectable noncompliance		
D7074	407 1st Ave NE	Byron	6	6931	09/20/15				
D6411	328 Carney Ave	Mankato	2	5903	09/20/15				
D6408	321 Riverfront Dr N	Mankato	2	5890	09/23/15				
D2233	Lake Grace Apartments	Chaska	45	3917	10/01/15			7/23/2014	
D6250	School View Square	Big Lake	50	6418	10/08/15			7/29/2014	
D6085	2414 3rd Ave N	Moorhead	18	5600	10/08/15			8/14/2014	
D7094	304 Sanderson St	Red Wing	1	6939	10/21/15				
D0733	315 South Broad & 211, 212 & 213 Liberty	Mankato	13	5889	11/30/15			7/14/2014	
D7014	28 6th Ave SE	Rochester	4	6804	12/06/15				

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DNbr	Property Name	City	Nbr Home Units	IDIS Nbr	Compliance End Dt	No Longer Monitored Dt	No Longer Monitored Reason	Date of Inspection	Compliance status
D6410	Newfolden Community Homes Inc	Newfolden	6	5878	01/07/16			11/5/2014	One unit was rented to an over income household, owner failed to fully report 2013 occupancy information for all HOME units, two units had physical violations, one unit had tenant file violations, owner failed to submit 2013 annual owner certification. Correction in process. MN Housing repaid the HOME account
D6413	701 Front St S	Mankato	3	5888	01/11/16	07/03/14	Unable to monitor due to uncorrectable noncompliance		
D7254	408 6th St SW	Willmar	10	7365	02/08/16			11/1/2013	Four units had physical and file violations; all violations are corrected.
D2476	804 2nd St N	Mankato	1	5873	02/10/16			7/14/2014	No findings
D0737	Mankato Transitional Housing	Mankato	3		04/26/16			7/14/2014	Physical violations noted in three units, one unit was not paying the correct amount of rent after income exceeded 80% AMI; all violations have been corrected.
D0737	Mankato Transitional Housing	Mankato	3		04/26/16				see above
D0737	Mankato Transitional Housing	Mankato	3		04/26/16				see above
D7234	420 Becker Ave	Willmar	3	7106	05/05/16			7/21/2014	Two units with tenant file violations; all violations corrected.
D0695	Kingsway Estates	Le Sueur	23	5550	05/27/16				
D3434	Maple Hills Apartments	Red Wing	32	5899	06/06/16			9/9/2014	No findings
D6405	213-2131/2 Bush St	Red Wing	4	5896	09/13/16			8/21/2014	No findings
D0271	Bridge Run Townhomes	Cannon Falls	18	7771	10/06/16			7/25/2014	No findings

DNbr	Property Name	City	Nbr Home Units	IDIS Nbr	Compliance End Dt	No Longer Monitored Dt	No Longer Monitored Reason	Date of Inspection	Compliance status
D7274	Black Oak Apartments	Montevideo	3	7550	12/12/16			10/3/2014	No findings
D0013	Albertville Meadows	Albertville	36	6933	01/03/17			8/4/2014	No findings
D7154	McDermott Ave	Kandiyohi	16	7003	03/12/17			7/25/2014	Two units have rents that exceed the allowable HOME rent limit for 2013 and 2014, six units had file violations. Correction in process.
D0614	Harmony Manor	Harmony	17	7034	05/10/17			8/14/2014	No findings
D3394	Village Green Manor	Moorhead	50	7021	05/23/17			8/13/2014	Eight units had physical violations, and three physical violations were found at the property level. Correction in process.
D3414	Housing of Olivia - Westcourt	Olivia	49	5549	05/23/17			10/16/2014	Four units had file violations; correction in process. This property is part of the 2014 federal inspection alignment pilot. REAC inspected 4 bldgs and 18 units on 10/16/14. All exigent H&S violations have been corrected. Sixteen units had non-health and safety deficiencies (e.g., torn screens, garbage & debris, non-functioning bath exhaust fans, kitchen cabinet doors with laminate damaged or missing, etc. Correction in process.
D1424	Spring Grove Manor	Spring Grove	28	7045	06/13/17			8/15/2014	No findings
D3435	Country Village Apts	Redwood Falls	33	5565	08/21/19			9/2/2014	No findings

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DNbr	Property Name	City	Nbr Home Units	IDIS Nbr	Compliance End Dt	No Longer Monitored Dt	No Longer Monitored Reason	Date of Inspection	Compliance status
D0216	College Drive Townhouses	Brainerd	20	5656	09/28/19				
D0419	The Crossroads	Dodge Center	37	5596	04/27/20			8/13/2014	Potential fair housing issue addressed. Owner removed the offending policy from lease addendum.
D0714	Stone Creek Townhomes	Luverne	19	5567	05/19/20				
D6401	River Valley Apartments	Wabasha	24	5881	05/24/20			9/19/2014	
D1048	Westgate-New Prague	New Prague	36	5868	08/16/20			6/5/2014	
D0665	Jackson Family Housing	Jackson	12		08/08/21			8/13/2014	
D0246	Woodmere Apartments	Buffalo	54	7043	01/08/23			9/19/2014	
D0703	Edgewood Townhomes	Litchfield	29	7115	07/26/23			8/13/2014	
D1130	Storybrook Apartments	Pipestone	12	8231	08/09/23			9/16/2014	
D0232	Unity Place	Brooklyn Center	98	8339	07/10/24				
D0137	Carter Place	Bemidji	10		04/17/26				
D1886	Three Rivers Duplexes	Northfield	8		12/31/26				
D3787	New San Marco Apartments	Duluth	6	4944	06/04/28			9/12/2014	
D2391	West Birch Townhomes	Princeton	8		10/12/28			6/24/2014	

DNbr	Property Name	City	Nbr Home Units	IDIS Nbr	Compliance End Dt	No Longer Monitored Dt	No Longer Monitored Reason	Date of Inspection	Compliance status
D2393	Ridgeview Court Townhomes	Paynesville	8		11/01/28			7/9/2014	No findings
D1893	Fisher Townhomes	Fisher	10		11/21/30			8/7/2014	No findings
D0012	Northbridge Apartments	Albert Lea	26	8936	05/31/43				Six units did not have the MN Housing-required HOME lease addendum. All violations corrected.
D1552	Lewis Park Apartments	Saint Paul	63	9239	01/16/44			7/23/2014	
D0489	Yorkdale Townhomes	Edina	22	8933	06/01/48				
D0854	Seward Square	Minneapolis	19		04/01/55				

### Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)

Minnesota Housing has provided a *HOME Rental Rehabilitation Compliance Manual* to owners and management agents of each HOME-assisted rental property. In 2012, Minnesota Housing implemented the HOME Affordable Rental Preservation Program; a similar compliance manual is distributed in that program as well. The manuals cover all HOME compliance issues including leases, rents, incomes, maintaining unit mix, affirmative marketing, and property standards.

Minnesota Housing's manuals for lending or development promote and require compliance with fair housing laws and regulations. When applicable, Minnesota Housing requires Affirmative Fair Housing Marketing Plans for the marketing and occupancy of assisted units in developments of five units or more. Owners must update Affirmative Fair Housing Marketing Plans once every five years.

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According to HUD's *HOME Summary of Accomplishments*, in HOME projects completed in FFY 2014, 42.6% of householders were of a race other than white and 12.2% were of Hispanic ethnicity. According to the Census Bureau's *American Community Survey, 2013*, an estimated 14.2 % of Minnesota's households are of a race other than white and 3.4% are of Hispanic ethnicity.

Note that HOME funds historically have been available primarily in non-entitlement areas, i.e., with relatively smaller non-white populations than the rest of the state, which may have limited previous opportunity for HOME-funded projects to serve a higher percentage of non-white tenants.

### **Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics**

Minnesota Housing does not separately track activities (or parts of activities) that are funded with program income, which the agency uses on a first-in-first-out basis and which may be used simultaneously with new grant funds. Tracking project, owner, and/or tenant characteristics separately is impossible; however, since program income is not used for purposes different from entitlement funds, characteristics would be the same as entitlement-funded activities.

### **Describe other actions taken to foster and maintain affordable housing. 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 91.320(j)**

Based on an assessment of local housing needs, Minnesota Housing has developed and updates a tax credit allocation plan that gives preference to certain types of development, e.g., serves the lowest income households, serves the long-term homeless, and preserves federally assisted housing. The state's most recent Qualified Allocation Plan and priorities for housing tax credit allocation may be viewed at: [Tax Credits](#)

Minnesota Housing requests funding proposals from housing sponsors under a consolidated application process. The agency combines tax credits with below-market interest rate mortgages and deferred loans using state appropriations, agency reserves, and contributions from funding partners to make tax credit developments more affordable to lower income households.

The State of Minnesota awarded a total of more than \$8 million in housing tax credits in 2014 for an estimated 800 affordable rental units.

### **CR-55 - HOPWA 91.520(e)**

#### **Identify the number of individuals assisted and the types of assistance provided**

Table for report on the one-year goals for the number of households provided housing through the use of HOPWA activities for: short-term rent, mortgage, and utility assistance payments to prevent homelessness of the individual or family; tenant-based rental assistance; and units provided in housing facilities developed, leased, or operated with HOPWA funds.

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<b>Number of Households Served Through:</b>	<b>One-year Goal</b>	<b>Actual</b>
Short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family	170	152

**Table 13 – HOPWA Number of Households Served**

**Narrative**

Since 1999, Minnesota Housing has received an annual allocation of HOPWA funds from HUD to provide housing assistance and support services to people outside the 13-county Twin Cities metro area (which is served through a grant to the City of Minneapolis). Minnesota Housing and the Department of Human Services collaborate to undertake outreach efforts that will ensure the needs of people with HIV/AIDS living in Greater Minnesota are met. This collaboration allows for greater coordination in the implementation of HIV/AIDS related projects.

Minnesota Housing received \$147,579 in HOPWA funds in FFY 2014 and committed this HOPWA funding to the Minnesota AIDS Project (MAP), as in previous years. MAP is an agency that has established statewide networks to deliver assistance in areas of need throughout Greater Minnesota. MAP’s Greater Minnesota emergency housing assistance program has worked closely with HIV service providers in Greater Minnesota, including the Mayo Clinic’s HIV Clinic and Social Services, the Rural AIDS Action Network, and MAP’s own case management program in Duluth.

Presently HOPWA funds are used to assist people living with HIV in Greater Minnesota to prevent homelessness through the provision of short-term emergency housing (rental and mortgage assistance) and utility payments. In addition to emergency housing assistance, some of the activities funded with HOPWA grants in the past include a comprehensive needs assessment and the development of a five-year plan for meeting the housing needs of people living with HIV. To respond to the difficulty isolated Minnesotans experience in locating and accessing services, Minnesota HOPWA funds also have been used to fund an information and housing intervention network to connect participants to needed services that will help individuals maintain their housing or obtain housing.

**CR-60 - ESG 91.520(g) (ESG Recipients only)**

**ESG Supplement to the CAPER in *e-snaps***

**For Paperwork Reduction Act**

**1. Recipient Information—All Recipients Complete**

**Basic Grant Information**

<b>Recipient Name</b>	MINNESOTA
<b>Organizational DUNS Number</b>	804832640
<b>EIN/TIN Number</b>	411599130
<b>Identify the Field Office</b>	MINNEAPOLIS
<b>Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance</b>	

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**ESG Contact Name**

**Prefix**  
**First Name**  
**Middle Name**  
**Last Name**  
**Suffix**  
**Title**

**ESG Contact Address**

**Street Address 1**  
**Street Address 2**  
**City**  
**State**  
**ZIP Code** 55164-  
**Phone Number**  
**Extension**  
**Fax Number**  
**Email Address**

**ESG Secondary Contact**

**Prefix**  
**First Name**  
**Last Name**  
**Suffix**  
**Title**  
**Phone Number**  
**Extension**  
**Email Address**

**2. Reporting Period—All Recipients Complete**

**Program Year Start Date** 10/01/2013  
**Program Year End Date** 09/30/2014

**3a. Subrecipient Form – Complete one form for each subrecipient**

**Subrecipient or Contractor Name:** ANOKA COUNTY COMMUNITY ACTION PROGRAM

**City:** Blaine

**State:** MN

**Zip Code:** 55434, 3370

**DUNS Number:**

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 76441

**Subrecipient or Contractor Name:** BI-COUNTY COMMUNITY ACTION PROGRAM, INC

**City:** BEMIDJI

**State:** MN

**Zip Code:** 56601, 2463

**DUNS Number:**

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 20000

**Subrecipient or Contractor Name:** PRAIRIE FIVE COMMUNITY ACTION COUNCIL, INC

**City:** MONTEVIDEO

**State:** MN

**Zip Code:** 56265,

**DUNS Number:**

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 15000

**Subrecipient or Contractor Name:** THREE RIVERS COMMUNITY ACTION COUNCIL

**City:** Zumbrota

**State:** MN

**Zip Code:** 55992, 0157

**DUNS Number:**

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 79784

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**Subrecipient or Contractor Name:** ARROWHEAD ECONOMIC OPPORTUNITY

**City:** Virginia

**State:** MN

**Zip Code:** 55792, 2776

**DUNS Number:**

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 80500

**Subrecipient or Contractor Name:** KOOTASCA COMMUNITY ACTION, INC.

**City:** Grand Rapids

**State:** MN

**Zip Code:** 55744, 3982

**DUNS Number:**

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 20000

**Subrecipient or Contractor Name:** SIMPSON HOUSING SERVICES

**City:** Minneapolis

**State:** MN

**Zip Code:** 55404, 2347

**DUNS Number:** 783848922

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 35000

**Subrecipient or Contractor Name:** LAKES AND PRAIRIES COMMUNITY ACTION PARTNERSHIPS

**City:** Moorhead

**State:** MN

**Zip Code:** 56560, 2083

**DUNS Number:** 039375647

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 80000

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**Subrecipient or Contractor Name:** LUTHERAN SOCIAL SERVICE OF MINNESOTA - BRAINERD

**City:** Brainerd

**State:** MN

**Zip Code:** 56401, 2857

**DUNS Number:** 079728721

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Faith-Based Organization

**ESG Subgrant or Contract Award Amount:** 20000

**Subrecipient or Contractor Name:** RED LAKE HOMELESS SHELTER, INC

**City:** Redlake

**State:** MN

**Zip Code:** 56671, 0280

**DUNS Number:** 623149254

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 50000

**Subrecipient or Contractor Name:** SALVATION ARMY ROCHESTER

**City:** Rochester

**State:** MN

**Zip Code:** 55906, 3706

**DUNS Number:** 125485958

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Faith-Based Organization

**ESG Subgrant or Contract Award Amount:** 62432

**Subrecipient or Contractor Name:** SCOTT CARVER DAKOTA CAP

**City:** Shakopee

**State:** MN

**Zip Code:** 55379, 1840

**DUNS Number:** 085104610

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 114193

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**Subrecipient or Contractor Name:** ST. STEPHEN'S HUMAN SERVICES

**City:** Minneapolis

**State:** MN

**Zip Code:** 55404, 3315

**DUNS Number:** 607313850

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 35000

**Subrecipient or Contractor Name:** Avenues for Homeless Youth

**City:** Minneapolis

**State:** MN

**Zip Code:** 55411, 3944

**DUNS Number:** 196777184

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 39250

**Subrecipient or Contractor Name:** Community Action Center of Northfield, Inc.

**City:** Northfield

**State:** MN

**Zip Code:** 55057, 2760

**DUNS Number:** 164131369

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 22000

**Subrecipient or Contractor Name:** Catholic Charities - Hope Street

**City:** Minneapolis

**State:** MN

**Zip Code:** 55403, 2513

**DUNS Number:** 108504168

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Faith-Based Organization

**ESG Subgrant or Contract Award Amount:** 39000

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**Subrecipient or Contractor Name:** Catholic Charities - St. Paul/Mpls Higher Ground/Dorothy Day

**City:** Minneapolis

**State:** MN

**Zip Code:** 55403, 2513

**DUNS Number:** 108504168

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Faith-Based Organization

**ESG Subgrant or Contract Award Amount:** 45000

**Subrecipient or Contractor Name:** Lakes and Pines Community Action Council, Inc.

**City:** Mora

**State:** MN

**Zip Code:** 55051, 1227

**DUNS Number:** 074217639

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 60050

**Subrecipient or Contractor Name:** Mahube-Otwa Community Action Partnership

**City:** Detroit Lakes

**State:** MN

**Zip Code:** 56501, 2722

**DUNS Number:** 037473071

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 141203

**Subrecipient or Contractor Name:** Lutheran Social Service of Minnesota - St. Paul TLPY

**City:** Saint Paul

**State:** MN

**Zip Code:** 55104, 2302

**DUNS Number:** 079728721

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Faith-Based Organization

**ESG Subgrant or Contract Award Amount:** 26250

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**Subrecipient or Contractor Name:** New Pathways

**City:** Cambridge

**State:** MN

**Zip Code:** 55008, 1519

**DUNS Number:** 044054570

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 41750

**Subrecipient or Contractor Name:** Our Saviour's Outreach Ministries

**City:** Minneapolis

**State:** MN

**Zip Code:** 55404, 3842

**DUNS Number:** 601158137

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Faith-Based Organization

**ESG Subgrant or Contract Award Amount:** 32000

**Subrecipient or Contractor Name:** Ours to Serve House of Hospitality, Inc.

**City:** Bemidji

**State:** MN

**Zip Code:** 56601, 2918

**DUNS Number:** 962478096

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 86540

**Subrecipient or Contractor Name:** Partners for Affordable Housing

**City:** Mankato

**State:** MN

**Zip Code:** 56001, 4430

**DUNS Number:** 015129260

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 117708

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**Subrecipient or Contractor Name:** Salvation Army - Brainerd

**City:** Brainerd

**State:** MN

**Zip Code:** 56401, 3506

**DUNS Number:** 081033115

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Faith-Based Organization

**ESG Subgrant or Contract Award Amount:** 7500

**Subrecipient or Contractor Name:** Salvation Army - St. Cloud

**City:** Saint Cloud

**State:** MN

**Zip Code:** 56304, 1247

**DUNS Number:** 002805922

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Faith-Based Organization

**ESG Subgrant or Contract Award Amount:** 59000

**Subrecipient or Contractor Name:** Semcac

**City:** Rushford

**State:** MN

**Zip Code:** 55971, 8812

**DUNS Number:** 066860073

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 26000

**Subrecipient or Contractor Name:** Ruth's House of Hope

**City:** Faribault

**State:** MN

**Zip Code:** 55021, 6027

**DUNS Number:** 149047396

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 20000

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**Subrecipient or Contractor Name:** Dorothy Day House of Hospitality

**City:** Moorhead

**State:** MN

**Zip Code:** 56560, 3531

**DUNS Number:** 164181034

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 40000

**Subrecipient or Contractor Name:** Beacon Interfaith Housing Collaborative

**City:** Saint Paul

**State:** MN

**Zip Code:** 55114, 1528

**DUNS Number:** 174530800

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Faith-Based Organization

**ESG Subgrant or Contract Award Amount:** 56250

**Subrecipient or Contractor Name:** Grace House of Itasca County

**City:** Grand Rapids

**State:** MN

**Zip Code:** 55744, 3835

**DUNS Number:** 799149344

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 60000

**Subrecipient or Contractor Name:** Heartland Community Action Agency

**City:** Willmar

**State:** MN

**Zip Code:** 56201, 3368

**DUNS Number:** 037473485

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 20000

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**Subrecipient or Contractor Name:** Partners for Affordable Housing

**City:** Mankato

**State:** MN

**Zip Code:** 56001, 4430

**DUNS Number:** 015129260

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 92708

**Subrecipient or Contractor Name:** Western Community Action, Inc.

**City:** Marshall

**State:** MN

**Zip Code:** 56258, 1315

**DUNS Number:** 114274582

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Other Non-Profit Organization

**ESG Subgrant or Contract Award Amount:** 105563

**Subrecipient or Contractor Name:** St. Paul Area Council of Churches

**City:** Saint Paul

**State:** MN

**Zip Code:** 55105, 1815

**DUNS Number:**

**Is subrecipient a victim services provider:** N

**Subrecipient Organization Type:** Faith-Based Organization

**ESG Subgrant or Contract Award Amount:** 62000

**CR-65 - Persons Assisted**

**4. Persons Served**

**4a. Complete for Homelessness Prevention Activities**

<b>Number of Persons in Households</b>	<b>Total</b>
Adults	15
Children	12
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>27</b>

**Table 14 – Household Information for Homeless Prevention Activities**

**4b. Complete for Rapid Re-Housing Activities**

<b>Number of Persons in Households</b>	<b>Total</b>
Adults	411
Children	368
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>779</b>

Table 15 – Household Information for Rapid Re-Housing Activities

**4c. Complete for Shelter**

<b>Number of Persons in Households</b>	<b>Total</b>
Adults	9,519
Children	1,843
Don't Know/Refused/Other	3
Missing Information	35
<b>Total</b>	<b>11,400</b>

Table 16 – Shelter Information

**4d. Street Outreach**

<b>Number of Persons in Households</b>	<b>Total</b>
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 17 – Household Information for Street Outreach

**4e. Totals for all Persons Served with ESG**

<b>Number of Persons in Households</b>	<b>Total</b>
Adults	9,945
Children	2,223
Don't Know/Refused/Other	3

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Missing Information	35
<b>Total</b>	<b>12,206</b>

**Table 18 – Household Information for Persons Served with ESG**

**5. Gender—Complete for All Activities**

	<b>Total</b>
Male	8,708
Female	3,454
Transgender	9
Don't Know/Refused/Other	35
Missing Information	0
<b>Total</b>	<b>12,206</b>

**Table 19 - Gender Information**

**6. Age—Complete for All Activities**

	<b>Total</b>
Under 18	2,188
18-24	1,656
25 and over	8,324
Don't Know/Refused/Other	3
Missing Information	35
<b>Total</b>	<b>12,206</b>

Table 20 – Age Information

**7. Special Populations Served—Complete for All Activities**

**Number of Persons in Households**

<b>Subpopulation</b>	<b>Total Persons Served – Prevention</b>	<b>Total Persons Served – RRH</b>	<b>Total Persons Served in Emergency Shelters</b>	<b>Total</b>
Veterans	322	0	12	311
Victims of Domestic Violence	836	4	112	729
Elderly	124	0	9	115
HIV/AIDS	5	0	0	5
Chronically Homeless	1,014	0	92	927
<b>Persons with Disabilities:</b>				
Severely Mentally Ill	895	3	79	821
Chronic Substance Abuse	573	0	29	547
Other Disability	618	3	70	520
Total (Unduplicated if possible)	2,368	9	25	2,126

Table 21 – Special Population Served

**CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes**

**10. Shelter Utilization**

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	1,221,290
Total Number of bed-nights provided	1,029,156
Capacity Utilization	84.27%

**Table 22 – Shelter Capacity**

**11. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)**

As stated in the State's FY2014 Action Plan, the following performance standards will be used for evaluating each FY2014 ESG-funded activity:

Emergency Shelter;

GOAL: 12,658 individuals in households receiving safe, adequate emergency shelter. OUTCOME: 12,201

The goal of shelter 12,658 individuals was nearly achieved, but changes in the types and capacity of shelters funded with ESG during FFY2013 resulted in a slight reduction of persons shelters using ESG funds.

Prevention (Re-Housing)/Rapid Re-Housing;

GOAL: 460 individuals in households are stably re-housed. OUTCOME: 806

GOAL: 350 individuals households remain stably housed at program exit (or 76 percent). OUTCOME: Of the 276 individuals who exited during the program year, 248 (or 90 percent) remained stably housed at program exit.

In addition to the above performance standards developed in consultation with the CoCs, the State has implemented a process by which the outcomes of ESG-funded programs (documented in semi-annual and annual HMIS reports) are sent to each CoC Coordinator for their information and review.

**CR-75 – Expenditures**

**11. Expenditures**

**11a. ESG Expenditures for Homelessness Prevention**

	Dollar Amount of Expenditures in Program Year		
	FY 2012	FY 2013	FY 2014
Expenditures for Rental Assistance	0	67,725	64,104
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	61,469	7,785
Expenditures for Housing Relocation & Stabilization Services - Services	0	73,738	78,901
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
<b>Subtotal Homelessness Prevention</b>	<b>0</b>	<b>202,932</b>	<b>150,790</b>

Table 23 – ESG Expenditures for Homelessness Prevention

**11b. ESG Expenditures for Rapid Re-Housing**

	Dollar Amount of Expenditures in Program Year		
	FY 2012	FY 2013	FY 2014
Expenditures for Rental Assistance	0	302,943	164,330
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	122,463	20,940
Expenditures for Housing Relocation & Stabilization Services - Services	0	253,830	128,152
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	1,179,582	1,178,277	0
<b>Subtotal Rapid Re-Housing</b>	<b>1,179,582</b>	<b>1,857,513</b>	<b>313,422</b>

Table 24 – ESG Expenditures for Rapid Re-Housing

**11c. ESG Expenditures for Emergency Shelter**

	Dollar Amount of Expenditures in Program Year		
	FY 2012	FY 2013	FY 2014
Essential Services	0	0	480,865
Operations	0	0	677,582
Renovation	0	0	0
Major Rehab	0	0	0
Conversion	0	0	0
<b>Subtotal</b>	<b>0</b>	<b>0</b>	<b>1,158,447</b>

Table 25 – ESG Expenditures for Emergency Shelter

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**11d. Other Grant Expenditures**

	<b>Dollar Amount of Expenditures in Program Year</b>		
	<b>FY 2012</b>	<b>FY 2013</b>	<b>FY 2014</b>
HMIS	0	15,330	20,719
Administration	79,543	119,340	120,571
Street Outreach	0	0	0

**Table 26 - Other Grant Expenditures**

**11e. Total ESG Grant Funds**

<b>Total ESG Funds Expended</b>	<b>FY 2012</b>	<b>FY 2013</b>	<b>FY 2014</b>
5,218,189	1,259,125	2,195,115	1,763,949

**Table 27 - Total ESG Funds Expended**

**11f. Match Source**

	<b>FY 2012</b>	<b>FY 2013</b>	<b>FY 2014</b>
Other Non-ESG HUD Funds	0	796,018	298,072
Other Federal Funds	0	291,738	190,120
State Government	1,259,125	981,731	355,453
Local Government	0	1,323	232,674
Private Funds	0	103,987	673,494
Other	0	20,318	13,500
Fees	0	0	0
Program Income	0	0	636
<b>Total Match Amount</b>	<b>1,259,125</b>	<b>2,195,115</b>	<b>1,763,949</b>

**Table 28 - Other Funds Expended on Eligible ESG Activities**

**11g. Total**

<b>Total Amount of Funds Expended on ESG Activities</b>	<b>FY 2012</b>	<b>FY 2013</b>	<b>FY 2014</b>
10,436,378	2,518,250	4,390,230	3,527,898

**Table 29 - Total Amount of Funds Expended on ESG Activities**

## Attachment 1: IDIS Reports

Detailed IDIS reports summarized in this PER are available upon request, including:

- PR09 Program Income, CDBG
- PR23, HOME Summary of Accomplishments
- PR26, CDBG Financial Summary Report

To obtain more information or a copy of an IDIS report contact:

- the Minnesota Department of Employment and Economic Development at:  
<http://mn.gov/deed/>
- Minnesota Housing at:  
[Minnesota Housing](#)

Attachment 2: Minnesota Regions

