

This update informs the Board about the number of conflict of interest, misuse of funds, and fraud/embezzlement investigations opened, resolved, and still in-process for the identified period.

Status of Conflict of Interest, Misuse of Funds, and Fraud/Embezzlement Investigations Opened by the Agency or Chief Risk Officer For the Period July 1, 2020 – December 31, 2020				
Allegation Type	Investigations in-process as of June 30, 2020	New Investigations Opened During the Period	Investigations Resolved During the Period	Comments Regarding Investigations
Alleged Conflict of Interest (COI)	0	0	0	No allegations reported and no investigations currently in-process
Alleged Misuse of Funds (MOF) less than \$50,000	0	1	0	1) One allegation reported and the investigation remains in-process
Alleged Misuse of Funds (MOF) greater than \$50,000	0	0	0	No allegations reported and no investigations currently in-process
Alleged Fraud/Embezzlement	1	4	5	1) One allegation was substantiated for a small amount of money. The Agency is awaiting the return of the funds and the matter has been reported to appropriate bodies 2) Four allegations were found to be unsubstantiated in terms of Agency funds 3) No investigations are currently in process
Summary	1	5	5	